President’s Message

There were several great learning opportunities presented by the IIA during October, including:

- the 2015 All-Star Conference,
- the Dallas IIA Super Conference, and
- the Fort Worth IIA’s October Seminar.

Hopefully you had the chance to attend at least one of these events! Those who attended our October Seminar learned a variety of Linguistic Lie Detection techniques from our speaker, Ms. Nejolla Korris. I learned a number of unexpected facts and analysis methods during the seminar. Since then I’ve found myself “testing” the techniques and being able to gain some new insights from people’s statements.

We’ve got another great Chapter meeting coming up soon! The next meeting is on November 10th at the Petroleum Club in Fort Worth. Be sure to register and join us for learning, networking, and as usual…a great lunch! The agenda for the meeting this month includes:

- Ryan Grace, AVP Continuous Audit and Project Management at GM Financial, will present examples of fraud testing during our ACL/IDEA user group session.
- Jeannette Hanna, CFE; Forensic Financial Analyst – Tarrant County DA’s Office – White Collar Crime will speak to the Chapter about Testifying as an Expert Witness.
- Sonia Thomas, Grant Thornton BAS Exp Manager, will be facilitating a Staff/Senior Roundtable discussion around common challenges experienced at the Staff and Senior levels.

Additional details are included below. I look forward to seeing you there - click here to register!

Also, as a reminder, IIA Chapter performance is measured in part through points earned in the Chapter Achievement Program (CAP).

(Continued on next page)
President’s Message

(Continued from previous page)

Our Chapter achieved “Gold” last year and so far we are on track to achieve “Gold” again this year! We’ve added a section to this newsletter to provide some additional information on the CAP program. Some of the information included identifies ways that you can help the Chapter to earn additional CAP points in order to help ensure that we maintain “Gold”. If you have any questions about the CAP program, or about what activities qualify, or if you have performed any of the activities identified please reach out to me.

Finally, my thanks again to all of the volunteers who have helped throughout this year to help make this Chapter a success!

-James Moller,
Fort Worth Chapter IIA President
Meeting's and Registration

Almost all of our meetings are held at the Fort Worth Petroleum Club. It is a great downtown location. Beautiful views from the 40th floor.

We highly recommend pre-registration. Walk-ins availability may be limited.

You can register on our new website coming up very soon. If you experience difficulty with our website, you can register directly with Lee Hagelstein at Lee.Hagelstein@arlingtontx.gov

Please visit the Petroleum club website for Map & Directions at: www.fwpetroleumclub.com

2015-2016 Calendar

<table>
<thead>
<tr>
<th>November 10, 2015</th>
<th>January 12, 2016</th>
</tr>
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<tbody>
<tr>
<td>9:30 IDEA/ACL Users Group</td>
<td>9:30 IDEA/ACL Users Group</td>
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<tr>
<td>10:30 Jeannette Hanna</td>
<td>10:30 IIA Overview-Larry Harrington</td>
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<tr>
<td>12:00 Staff/Senior Roundtable - Biggest Challenges</td>
<td>12:00 Pam Short Jenkins</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>December 15, 2015</th>
<th>February 9, 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>9:30 IDEA/ACL Users Group</td>
<td>9:30 IDEA/ACL Users Group</td>
</tr>
<tr>
<td>10:30 Fraud Month - ACFE Joint Meeting</td>
<td>10:30 Information/Cybersecurity</td>
</tr>
<tr>
<td>12:00 Fraud Month - ACFE Joint Meeting</td>
<td>12:00 Joint ISACA</td>
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<tr>
<th>March 7-9, 2016</th>
<th>April 15, 2016</th>
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<tr>
<td>2016 General Audit Management Conference</td>
<td>8-5 Full Day Seminar Risk Management</td>
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<table>
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<tr>
<th>May 10, 2016</th>
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</tr>
</thead>
<tbody>
<tr>
<td>9:30 IDEA/ACL Users Gr.</td>
<td>10:30 Building an IA Department– Steve Goodson</td>
</tr>
<tr>
<td>10:30 Leading Audit Projects- Sandy Jansen, ACUA</td>
<td>12:00 Building an IA Department– Steve Goodson</td>
</tr>
<tr>
<td>12:50 Daniel Fontenot, PWC</td>
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November Pre-Meeting

Pre-lunch Meeting: 9:30am -10:30am

Presentation Subject: ACL - IDEA

Speaker: Ryan Grace, CIA, CFE

If you are an ACL or an IDEA user, plan to come early to our November meeting. Get there at 9:30am and join us for some informal discussions about ACL and IDEA. If you are a user, come prepared to talk about some of the cool things you do with the package of your choice. If you are thinking about using one or the other, come with plenty of questions that our users can answer. This informal group has exciting potential for all of us to share ideas and learn from each other.

Hours Available: 1.0
Prerequisites: None
Knowledge Level: Basic/ Intermediate
Advance Preparation: None
November Meeting

Pre-lunch Meeting: 10:30am -11:30am

Presentation Subject: Testifying as an Expert Witness

Speakers: Jeanette M. Hanna, CIA, CFE, CGAP

Speaker’s Bio: Jeanette M. Hanna, CFE, is a Forensic Financial Analyst with the Tarrant County District Attorney’s Economic Crimes Unit. She works directly with prosecutors, investigators, law enforcement agencies, and injured parties in prosecuting economic crimes. In addition to analyzing and preparing data for prosecutors and juries, she serves as an expert witness for the state of Texas and has firsthand experience in the courtroom, presenting and testifying about financial crimes.

She has more than 30 years of experience, including seven years as a forensic analyst, eleven years internal auditing in local municipalities, the mortgage and banking industries, and public corporations, as well as ten years in public accounting and two years in private industry accounting.

She received a Bachelors of Business Administration from the University of Texas at Arlington in 1984. As a Certified Fraud Examiner since 2006, she has served in the Fort Worth Chapter as secretary and treasurer. In addition, Ms. Hanna has, in the past, been active in the Fort Worth chapter of the Institute of Internal Auditors, serving as secretary, treasurer, and president. She is dedicated to promoting the profession of fraud examination and forensic accounting.

Topic:

Fraud and other financial crimes have several unique litigation considerations, due largely to their complexity when compared to other crimes. Whether it’s the jury, the judge, or even the attorneys arguing the case, it is common for those involved in litigation to get bogged down in complex cases. The clarity of analysis during a financial crimes case, therefore, is critical. Concepts and issues that are complex must be explained in such a way that even laypersons can understand them and the presenter will do just that in this session.

Hours Available: 1.0
Prerequisites: None
Knowledge Level: Basic/Intermediate
Advance Preparation: None
November Meeting

Post-lunch Meeting: 12:00pm -1:00pm

Presentation Subject: Staff/Senior Roundtable - Biggest Challenges

Topic:

Sonia Thomas, Grant Thornton BAS Exp Manager, will be facilitating a Staff/Senior Roundtable discussion around common challenges experienced at the Staff and Senior levels.

Panelist's:

- Kathy Perkins, Fort Worth ISD
- Joshua Stone, WhitleyPenn
- Elijah Metcalf, GM Financial
- David Klimczak, DynCorp International
- Tiffany Swindell, Sabre

Moderator:

Sonia Thomas

Hours Available: 1.0
Prerequisites: None
Knowledge Level: Basic/ Intermediate
Advance Preparation: None
Registration is now Open!

We highly recommend pre-registration. Walk-ins availability may be limited.

Registration is OPEN for the November Meeting:

https://chapters.theiia.org/fort-worth/Events/Pages/November-10,-2015---Chapter-Meeting.aspx

If you experience difficulty with our website, you can register directly with Lee Hagelstein at Lee.Hagelstein@arlingtontx.gov.
Upcoming Events

2015 Southern Regional Conference

What: 2015 IIA Southern Regional Conference
When: November 8-11, 2015
Where: New Orleans, LA, at the Sheraton Hotel

The IIA and IIA–Baton Rouge and IIA–New Orleans Chapters are excited to host the 2015 Southern Regional Conference. CAEs, auditors, and audit professionals will have the opportunity to learn, share, and network with the industry’s most respected leaders. Core topics will include governance and risk management, ethics and fraud, IT, and emerging trends in enhancing proficiency and executing audit plans.

The SRC Conference will take place November 8-11, 2015, at the Sheraton New Orleans in New Orleans, LA and offers you exceptional opportunities for:

Network and knowledge sharing with industry peers from around the region.
Tools and techniques to enhance proficiency in planning and executing audit plans and communicating with key stakeholders.
Industry-specific tracks for those interested in government, healthcare, and financial institutions.
Connecting with industry suppliers in the Exhibition Hall, featuring the latest products and services to support your profession.

Attendance is limited, so secure your place now.

Earn up to 18 CPE hours!

Register today at http://www.theiia.org/goto/SRC to save US$100 now and ensure your place at the 2015 Southern Regional Conference!

CPE Hours Available: 18.0
Prerequisites: None
Knowledge Level: All Levels
Advance Preparation: None
Upcoming Events

2016 General Audit Management Conference

What: 2016 General Audit Management Conference

When: March 7–9, 2016

Where: Gaylord Texan Resort / Dallas-Ft. Worth, TX

Driving Success in a Changing World

For more than 35 years, the General Audit Management (GAM) Conference has been the essential experience for CAEs, audit management, and key stakeholders who want to drive change and deliver results. That tradition of excellence will continue in 2016 with a new theme – Driving Success in a Changing World. The agenda comprises the industry’s best and brightest thought leaders from Fortune 500 companies and more who will share their perspectives on how to best position internal audit leaders for the future. Driving Success in a Changing World

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Who Should Attend?

Audit executives including CAEs, audit directors, audit committee and board members, and other stakeholders affected by the internal audit process will find the in-depth exploration of issues facing today’s executives and the opportunity to network with all of their peers over 2.5 days an invaluable experience.

Earn up to 15 CPE with an educational program consisting of five general and 31 concurrent sessions in the following tracks: Assessing Information Technology Risks, Leading Effectively, Building High Performance Teams, Auditing Strategically, and Excelling at the Fundamentals. Details are available on the Program Information page.

Make connections and share best practices with peers during the many networking opportunities, including a welcome reception Monday evening and a vendor reception Tuesday evening.

Save US$200* Today – Register Now

Upcoming Events

Dallas Seminar
Introduction to IT Auditing

When: November 10-13, 2015
Location: Deloitte & Touche Training Facility - Dallas

Understanding the ins and outs of constantly changing information technology environments is critical in understanding the roles, responsibilities, and overall success of audit as a function in every industry. A key driver in doing your job effectively today and achieving greater success tomorrow resides in having baseline knowledge of the IT function and its alignment to business strategy, and knowing how information systems impact the organization.

This seminar outlines the concepts of information technology you need to know in order to address the audit concerns in your IT environment. It is a refreshed seminar covering current IT trends, evolving business risks, and revised control frameworks and standards. You will leave this session with a solid foundation in the basics of information technology as they apply to audits and security concerns. The seminar will cover hot topics such as cyber security, cloud computing, and IT considerations for COSO 2013. In addition, we will introduce other frameworks that govern IT controls such as COBIT5 and ISO27001:2013.

Taught by practitioners with real-world IT audit experience, this seminar incorporates facilitated discussions, group discussions, and practical exercises for a wide range of IT audit considerations. It is a joint effort between The IIA and Deloitte & Touche.

Please visit the IIA Seminar site for more information https://na.theiia.org/training/seminars/Pages/Browse-Our-Seminars.aspx

Course Duration: 3.5 day(s)
IIA Members Only Webinar Series

Don’t miss this opportunity for free CPE

The IIA’s webinars are free informational programs available in both live and on-demand formats for 24/7 access. Webinars provide viewers with a cost-effective and convenient way to immediately boost business know-how. Downloadable presentation materials are available for each webinar.

*Topics and dates are subject to change.

Upcoming Webinars:

17-November-2015
Members-only Webinar: Measuring IA Value and Performance

03 - December-2015
CAP Reporting

Our goal is nothing short of Gold!

Overview

Chapter Achievement Program (CAP) is a chapter benchmarking tool that provides guidelines for expected performance at the chapter level. The IIA sponsors the CAP as a way to recognize and encourage local chapters to participate in activities that promote the overall IIA and chapter objectives and membership growth. The chapter earns points by participating in activities that support its members, the profession, and the community.

IIA currently offer four levels of CAP performance. A chapter can advance from one level to the next by accumulating the points noted below:

- **BRONZE** – 685 CAP Points
- **SILVER** - 1,060 CAP Points
- **GOLD** – 1,560 CAP Points
- **PLATINUM** – Special level to recognize chapters that attain GOLD status for 10 of 11 consecutive years.

CAP points are earned for our accomplishments in three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration
- How can you help us reach our goal?
- Any speaking engagement on internal auditing for which CPE was awarded, date, location and number of CPE
- Submit articles to be published in the Internal Auditor (extra points for getting published!!!)
- Submit articles to be published in other professional journals
- Serve on an IIA Quality Review Team
- Submit exam questions for CIA
- Teach in Junior Achievement

These are few examples. If you have performed any of the above, please email our President, James Moller at jamesmoller@gmail.com with details.
FWIIA Chapter supports Junior Achievement

(Volunteers Needed)

The Fort Worth IIA Chapter visits the Boswell High School in Saginaw every Thursday to promote business education. Specifically, FWIIA Chapter representatives teach the JA Personal Finance.

Junior Achievement is a not-for-profit organization whose purpose is to inspire young people to succeed in a global economy. Since its founding in 1919, JA has contributed to the business and economics education of young people throughout the world.

Junior Achievement's unique delivery system provides the training, materials, and support necessary to bolster the chances for student success.

Become one of the Junior Achievement volunteers. Help us spread the word and inspire the next generation of internal auditors!!!

Contact our Junior Achievement Chair, Brandy Greene, at Brandy.Greene@untsystem.edu to join the Junior Achievement Committee.
Fund Internal Audit Research and Education

Can We Count On Your Support?

Internal audit’s future is supported in two ways — knowledge and education. Research fuels deeper knowledge and understanding of emerging issues and establishing long-term solutions, while academic relations supports internal audit education to fuel a pipeline of new and stronger talent to address the emerging issues of tomorrow.

The IIA Research Foundation (IIARF) and The IIA’s Internal Auditing Academic Advancement Fund (IAAAF) were established specifically to address these ongoing and equally important initiatives.

Our charitable foundations solely exist to cultivate quality internal audit educational programs and relevant research. Our work is only made possible through generous contributions and investments in the future of the profession.

Pulse of Internal Audit

Since 2009, The IIA’s Audit Executive Center has conducted annual Pulse of Internal Audit surveys to assess the state of the internal audit profession and identify trends and emerging issues in the profession and with its stakeholders.

Embracing Opportunities in a Dynamic Environment
Publication date: July 2015

Emerging risk is not slowing down … it’s accelerating. And CAEs are expected to adapt quickly to addressing those risks. The 2015 Global Pulse of Internal Audit report analyzes data from more than 4,500 CAEs and directors from around the world to provide a look at the challenges and opportunities present for internal audit in today’s dynamic business environment and identifies four major themes CAEs must have on their radar.
The IIA’s Internal Audit Compensation Study

The IIA's 2015 Compensation Study Now Available!
The IIA's Internal Audit Compensation Study is the most comprehensive analysis available on the compensation of internal audit professionals. Referenced by internal audit leaders and practitioners, human resources departments, and audit committees, the study provides internal audit salary and pay practices of hundreds of organizations across industries in the United States and Canada.

Some Key Points From the 2015 Internal Audit Compensation Study:

- A growing percentage of companies are awarding raises to the majority of their internal auditors.
- The median salary of internal auditors with one or more certifications is 43 percent higher than the median salary of their counterparts without a certification.
- Median salaries decreased in the United States for all internal auditor roles except IT audit director.

The percentage of organizations offering contributions to flex spending accounts has increased.

Benefit for Study Participants
Participants who completed both parts of the study are eligible to purchase a copy of the final report at a significant discount (up to 62% off the nonparticipant rate).

Audit Executive Center participants who completed both parts of the survey receive a complimentary copy of the full report and an exclusive executive report. Find out how you can receive next year’s report for free when you join the Audit Executive Center. Learn more.

Executive Report – Exclusive AEC Benefit

A complimentary copy of the exclusive executive report is available on the Audit Executive Center website.

Those who did not participate in the study can purchase the full report through The IIA’s Research Foundation Bookstore.
Unlock Your Door to Opportunity:

CIA Designation

The Certified Internal Auditor (CIA) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field.

If you decided to open the door to this professional opportunity, the Fort Worth Chapter can help.

The IIA’s CIA Learning System® is an interactive Certified Internal Auditor® (CIA®) review program combining reading materials and online study tools with optional facilitator-led courses to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format.

CIA Program at Rice University

Rice University partners with the Institute of Internal Auditors to offer a three-part live, instructor-led online Certified Internal Auditor® (CIA®) program taught by Vicki McIntyre. www.glasscock.rice.edu/cia

Our expert instructor will deliver live, scheduled lectures via the Internet, covering the global CIA exam syllabus and materials included in the IIA’s CIA Learning System™. Earning the CIA designation is more than just proof of what you know and what you have achieved — it’s the best way for auditing professionals to communicate to the world that they are prepared to meet today’s challenges.
MEET YOUR 2015 CIA GOAL BEFORE TIME RUNS OUT!

Accelerate Your CIA Exam Studies with The IIA’s CIA Learning System!

Prepare to pass the CIA exam with convenient and flexible IIA training resources:

**Self-Study Materials:** Enjoy ultimate flexibility with online study tools and printed reading materials. [Learn More.](#)

**Facilitator-Led Courses:** Convenient virtual and live classroom courses are available around the globe. [Find a Course.](#)

**IIA CIA eSeminars:** Join a comprehensive course led by an expert facilitator from the comfort of your home or office. [Find a Part 1, Part 2, or Part 3 eSeminar.](#)

Increase Your Chances of Passing the

**Join our free webinar** November 12, 1:00 - 2:00 pm EST (New York, GMT-5:00).

- Understand the CIA exam and tested topics
- Determine how much time you’ll need to prepare for the exam
- Learn about CIA preparation with The IIA’s CIA Learning System
- Utilize simple test-taking tips to increase your success
- Save 10% off your purchase of The IIA’s CIA Learning System

**All webinar attendees will be entered for a chance to win an IIA CIA eSeminar.** *(The winner will be drawn at the end of the session and must be present on the webinar to win.)*

**Register Now!**
Student Memberships

Students remember that you get 2 free monthly meetings when you join the IIA as a member of the Fort Worth chapter. You pay only $35 to join the IIA as a student, then the Fort Worth chapter pays for you to attend 2 monthly meetings. Net cost for your membership to you becomes just $5!!! For $35 you mingle with potential employers, get more connected with the Internal Auditing profession, and get 2 great meals for which our professional members pay $30 each. After you have had your 2 free meals, future meetings cost you only $15. Sign up today at www.theiia.org!!!

Member Profiles

Be sure to update your personal profile with IIA headquarters. We plan to offer some new features this year that you will want to take full advantage of, and the only way to do that is to ensure your contact information is correct with headquarters.

Calling all CAE’s

If you are a CAE and haven’t been contacted about attending our CAE meetings, please contact Sonia Thomas, Sonia.Thomas@us.gt.com, Lesa Powell, Lesa.Powell@GMFinancial.com or Michelle Atkins, M.Atkins@JPSHealth.org, our CAE co-Chairs. As a CAE, you have proven your ability to lead; come join us and help lead our chapter into a bright future! We had our CAE meeting before the March monthly meeting.
Employment Opportunities

Risk Advisory Services Experienced Associate or Senior Associate – Weaver – Fort Worth
Location: Fort Worth, TX

Internal Audit Associate - UNT System
Location: Denton, TX

Senior Internal Auditor - UNT System
Location: Denton, TX

Senior Internal Auditor - UNT System
Location: Denton, TX

Financial Institutions Internal Audit Senior – Weaver
Location: Fort Worth or Dallas, TX

Financial Institutions Compliance Senior – Weaver
Location: Fort Worth or Dallas, TX

Think Finance - Compliance Auditor
Location: Fort Worth, TX

UNT System Internal Audit - Continuous Auditing Associate
Location: Denton, TX

Advertise with us !!!

Employment advertisements may be placed on the Ft. Worth IIA website.

All submittals should be sent via email in finalized format and preferably using Microsoft Office Software.

IIA members may post jobs for free. All other postings are $50 for one month or $100 for 3 months. Contact Roshan Jayawardene at: Roshan.Jayawardene@arlingtontx.gov or 817-459-6245 for more information or to submit an advertisement.
Membership Corner

Our Newest Members:

We would like to extend our warmest welcome to the newest members:

<table>
<thead>
<tr>
<th>First and Last Name</th>
<th>Company</th>
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<tbody>
<tr>
<td>Tera Boone</td>
<td>First Command Financial Planning, Inc.</td>
</tr>
<tr>
<td>Greg Kigen</td>
<td>University of North Texas System</td>
</tr>
<tr>
<td>Lamar anderson</td>
<td>GM Financial</td>
</tr>
<tr>
<td>Oyeyemi Mustapha</td>
<td>GM Financial</td>
</tr>
<tr>
<td>Sue Paguel</td>
<td>University of North Texas System</td>
</tr>
<tr>
<td>Billy E. Oswald, Jr.</td>
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Please introduce yourself and welcome them to the Fort Worth Chapter.

How to Join:

To join our chapter, please visit the IIA website at [www.theiia.org](http://www.theiia.org). Please contact our Membership Chair Kathy Perkins (Kathy.perkins@fwisd.org) to learn about local Chapter membership benefits and how you can get involved.

Contribute to our newsletter

We welcome articles and suggestions for our newsletter. Please send your newsletter article contributions to be featured in our next edition to our editor.