President’s Message

Summer is over, the kids are back in school, and football season is a week away. With the end of summer comes a new year of chapter events. The Heartland-Iowa chapter will welcome Mary Mosiman, the Auditor of State for Iowa, for our first luncheon on September 8th at the Clarion hotel in Cedar Rapids. The Heartland-Iowa chapter will partner with the IIIowa ISACA chapter to present ‘Third Party Risk Assessment,’ the review and analysis of SOC 1 & 2 reports, at the October 5th meeting at the Radisson Hotel in Coralville.

As a reminder, anyone who volunteers should report their activities to the chapter as we may receive CAP points. The CAP report tracks our activities throughout the year. We receive points for our monthly meetings, seminars and annual conference. In addition, we can receive points for any service that a member provides to the audit profession, including:

- Speaking engagements
- Junior Achievement involvement
- Publishing articles
- Writing exam questions for IIA certifications
- ANY other advocacy effort

Please let us know if you’ve provided these types of services so that you can get the proper recognition. Also, let me know if you have any questions regarding the CAP program.

The Chapter has a great group of volunteers this year but we could always use additional creative thinking, insight, and initiative! We are always looking for volunteers to help with the chapter; members can earn CPEs through volunteering efforts. The chapter is currently looking for volunteers to chair hospitality and to manage the chapter website; anyone interested in volunteering should contact Julie Hupp, hupp2345@southslope.net or me, Royce Pevy, pevyr@act.org.

Thanks Again!

Royce Pevy
President, Heartland-Iowa IIA

Contact Us

Website
https://chapters.theiia.org/heartland-iowa/Pages/default.aspx

Email
iiaheartlandchapter@gmail.com

Upcoming IIA Heartland Iowa Chapter Meeting/Event Schedule
Upcoming IIA Conferences

October 17-19, 2016
General Audit Management Conference
Gaylord Palms, Orlando, FL

March 20-22, 2017
General Audit Management Conference
Gaylord Palms, Orlando, FL

Fraud/Ethics (1 CPE)
September 8, 2016

Speaker: Mary Mosiman, Auditor of State
Topic: Fraud in Government
Location: Cedar Rapids Clarion Hotel, 525 33rd Ave SW
Menu Choices: Grilled Chicken Sandwich or Meat Lasagna with garlic bread (both served with a salad)
Cost: $25 for members and guests
Make checks payable to Heartland Chapter IIA. Pay at the door or mail to: PO Box 11681, Cedar Rapids, IA 52410
NOTE: If you need to cancel your reservation, please do so by the RSVP deadline below or send a substitute. No shows will be charged.

Please send reservations to Julie Hupp at hupp2345@southslope.net by end of business Friday, September 2, 2016

About our Speaker: Mary Mosiman has been serving as Iowa’s Auditor of State since May 13, 2013. Initially appointed by Governor Terry Branstad to fill the unexpired term of her predecessor, she was elected to a full term in the November 2014 general election.

Mary serves the State as the “Taxpayer’s Watchdog” and her Office is responsible for the audits of state agencies, counties, cities, school districts and other governmental subdivisions. The Office is also required to provide guidelines to CPA firms performing governmental audits, and plays a major role in fraud investigations around the State.

Previously, Mary served for 2 years as a Deputy in the Secretary of State’s Office and 10 years as the elected Story County Auditor. Mary graduated from Iowa State University with a bachelor’s degree in Business/Accounting and is a Certified Public Accountant. She is a member of the Iowa Society of Certified Public Accountants (ISCPA), the American Institute of Certified Public Accountants (AICPA), the National Association of State Auditors, Comptrollers and Treasurers (NASACT), the Ames Morning Rotary, and the Ames Chamber of Commerce.

A native of Hubbard, Iowa, Mary values her farm-family background. She and her husband Dan reside in Ames. They are the proud parents of four daughters.

Third Party Risk Assessment (joint meeting w/ ISACA) (5 CPEs)
October 5, 2016

Location: Radisson Hotel & Conference Center (fmrly Holiday Inn)
Agenda:

8:00 – 8:30  Registration & Continental Breakfast
8:30 – 9:30  "Conducting a Third Party Risk Assessment”  
By Bill Wells – Transamerica
9:30 – 9:40  Break
9:40 – 10:40 “What is a SOC Report and What are the Different Reports?”  
By Chris Kirchberg – RSM
10:40 – 10:50 Break
10:50 – 11:50 “Examples of SOC Reports”  
By Chris Kirchberg – RSM
11:50 – 12:30 Lunch  
Chicken Supreme (boneless breast of chicken, sautéed and topped with supreme sauce, served over a bed of long grain wild rice)
12:30 – 1:30  “How to Use and Access a SOC Report”  
By Chris Kirchberg – RSM
1:30 – 1:40  Break
1:40 – 2:40  “SOC 2 From the Auditee’s Perspective”  
By Solomon Smith – ProCircular Inc.

Cost: $75 IIA and ISACA members  
$100 Non-members  
$45 Students

Make checks payable to “Heartland-Iowa Chapter IIA.”  Pay at the door or mail to: Heartland-Iowa Chapter IIA, PO Box 11681, Cedar Rapids, IA  52410.  Invoices and receipts available upon request. Indicate any special dietary requirements with your reservation.

NOTE: If you need to cancel your reservation, please do so by the RSVP deadline below or send a substitute.  No shows will be charged.

Please send reservations to Julie Hupp at hupp2345@southslope.net by Noon on Monday, October 3, 2016

About our Speakers:

William L. “Bill” Wells  -  Bill has worked for Fortune 100 and Global 500 companies for over 30 years in IT and IT-related positions, the last ~15 of which been in areas of IT governance, risk management, information security, information privacy and information assurance.  In his current position as Lead Information Security Analyst he performs security and privacy risk assessments on Business and IT projects.  He has developed and performed 3rd party risk assessments for companies such as 3M and Transamerica.  His core responsibilities revolve around industry and regulatory compliance assessments, including HIPAA, PCI, SOX, GLBA, SEC Title IX, FINRA 3012, and State and Federal Privacy legislated requirements.

He is a published author and an accomplished public speaker, known regionally for his expertise in information security and
privacy. He has extensive experience in business writing, technical communications and creative writing. He has written white papers, positions papers, fiction and creative non-fiction, poetry, policy and procedure manuals, and developed reports and presentations for audiences of all organizational levels.

Chris Kirchberg, Supervisor, Risk Advisory Services RSM - Chris performs Service Organization Control (SOC) engagements for the purpose of identifying weaknesses in control design and operating effectiveness as they relate to information systems and financial reporting. His background with SOC engagement reporting includes many industries, such as technology and insurance, focusing primarily on the financial services industry. Additionally, Chris performs Sarbanes-Oxley (SOX) compliance field testing and internal control system analysis and testing. Chris is a CPA and earned a Bachelor of Science, accounting and business administration, with an emphasis in finance at The University of Wisconsin-Platteville.

Other Area Meetings

For upcoming IMA Events Use Link Below:
http://cedarrapids.imanet.org/event-calendar

Upcoming IIA Members Only Webinars

The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

20-September-2016: Technology Champions: Key Enablers for Internal Audit
18-October-2016: Leveraging Data to Manage Your Fraud Risk
15-November-2016: Auditing at the Speed of Risk

Details and registration at https://na.theiia.org/training/eLearning/Pages/Webinars.aspx
Link to additional free CPE webinar opportunities:
https://sites.google.com/site/4freecpe/

Career Opportunities (2)

There are two opportunities available for a growing company in Wisconsin. See the link below for the open Internal Audit Manager
and Senior Internal Auditor opportunities:


If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Royce Pevy or Julie Hupp. The cost for members to post an ad is $25 per month.

Certification Information

The CIA credential can be your master key to professional success, opening doors for career opportunities and earning you increased credibility and respect within the profession and your organization. Prepare to pass the Certified Internal Auditor exam using the IIA’s CIA Learning System.

You can do self-study with a kit that costs $845 for all parts. IIA members can save up to $100. These materials can be combined with an on-line Facilitator Led Course. For a list of on-line dates and times right click on the tile to the left and select “open hyperlink,” or click on the following link: http://www.learnia.com.

Northern Illinois University Online CIA Exam Review Courses are also available for those looking for an instructor led learning option. Complete details about the NIU online courses are available on the website www.cia.niu.edu.

District Updates

Chapter Events
Coming Soon

IIA News/Updates
Coming Soon

Our Latest Research Reports:

20% off the Chapter Book of the Month

THIS MONTH’S FEATURE:

Auditing Human Resources Bundle
Internal audit essentials for any human resource audit activity are included in this group of books, which includes the NEW Auditing Employee Management. A must-have for every audit shop, these resources have been bundled for savings.

Order your copy today!  Click here

Use promotion code CHAPTER when ordering to save 20% on this title through September 30, 2016.