President’s Message

I hope everyone is faring well with all the flooding in Eastern Iowa. The city of Cedar Rapids did a great job, and was well prepared for the flood and was able to limit the damage done. With the flood came a cooling of the weather and fall is finally here.

Members that are involved in assessing service providers may want to consider our upcoming training. In the October 5th meeting at the Radisson Hotel in Coralville, the Heartland-Iowa chapter will partner with the IIowa ISACA chapter to present ‘Third Party Risk Assessment,’ the review and analysis of SOC 1/2 reports.

We are continuously seeking enthusiastic volunteers – at all stages of their careers – to volunteer on one of our many committees; members can earn CPEs through volunteering efforts. The chapter is currently looking for a volunteer to manage the chapter website and social media presence; anyone interested in volunteering should contact Julie Hupp, hupp2345@southslope.net or me, Royce Pevy, pevyr@act.org.

Thanks Again!

Royce Pevy
President, Heartland-Iowa IIA

Upcoming IIA Heartland Iowa Chapter Meeting/Event Schedule

**Third Party Risk Assessment (joint meeting w/ ISACA)**
*(5 CPEs)*
**October 5, 2016**

**Location:** Radisson Hotel & Conference Center (fmrly Holiday Inn)
1220 First Avenue, Coralville, IA 52241

**Agenda:**
- 8:00 – 8:30  
  Registration & Continental Breakfast
- 8:30 – 9:30  
  "Conducting a Third Party Risk Assessment”  
  By Bill Wells – Transamerica
- 9:30 – 9:40  
  Break
- 9:40 – 10:40  
  “What is a SOC Report and What are the Different Reports?”  
  By Chris Kirchberg – RSM
- 10:40 – 10:50  
  Break
- 10:50 – 11:50  
  “Examples of SOC Reports”  
  By Chris Kirchberg – RSM
October 17-19, 2016

Board Members

March 20-22, 2017
General Audit Management Conference
Gaylord Palms, Orlando, FL

11:50 – 12:30 Lunch
Chicken Supreme (boneless breast of chicken, sautéed and topped with supreme sauce, served over a bed of long grain wild rice)

12:30 – 1:30 “How to Use and Access a SOC Report”
By Chris Kirchberg – RSM

1:30 – 1:40 Break

1:40 – 2:40 “SOC 2 From the Auditee’s Perspective”
By Solomon Smith – ProCircular Inc.

Cost: $75 IIA and ISACA members
$100 Non-members
$45 Students

Make checks payable to “Heartland-Iowa Chapter IIA.” Pay at the door or mail to: Heartland-Iowa Chapter IIA, PO Box 11681, Cedar Rapids, IA 52410. Invoices and receipts available upon request. Indicate any special dietary requirements with your reservation.

NOTE: If you need to cancel your reservation, please do so by the RSVP deadline below or send a substitute. No shows will be charged.

Please send reservations to Julie Hupp at hupp2345@southslope.net by Noon on Monday, October 3, 2016

About our Speakers:

William L. “Bill” Wells - Bill has worked for Fortune 100 and Global 500 companies for over 30 years in IT and IT-related positions, the last ~15 of which been in areas of IT governance, risk management, information security, information privacy and information assurance. In his current position as Lead Information Security Analyst he performs security and privacy risk assessments on Business and IT projects. He has developed and performed 3rd party risk assessments for companies such as 3M and Transamerica. His core responsibilities revolve around industry and regulatory compliance assessments, including HIPAA, PCI, SOX, GLBA, SEC Title IX, FINRA 3012, and State and Federal Privacy legislated requirements.

He is a published author and an accomplished public speaker, known regionally for his expertise in information security and privacy. He has extensive experience in business writing, technical communications and creative writing. He has written white papers, positions papers, fiction and creative non-fiction, poetry, policy and procedure manuals, and developed reports and presentations for audiences of all organizational levels.

Chris Kirchberg, Supervisor, Risk Advisory Services RSM - Chris performs Service Organization Control (SOC) engagements for the purpose of identifying weaknesses in control design and operating effectiveness as they relate to information systems and financial reporting. His background with SOC engagement reporting includes many industries, such as technology and insurance,
President
Royce Pevy
(319) 329-6714
pevyr@act.org

Vice President
Julie Hupp
(319) 263-1507
hupp2345@southslope.net

Secretary
Yvonne Kenworthy
(319) 294-6135
ykenworthy@collinscu.org

Treasurer
Sarah Dickson
(319) 355-8516
Sarah.Dickson@transamerica.com

Governors – 2nd Term
Pat Stepanek, GreatAmerica Financial
Terry Whaley, Rockwell Collins
Nate Overturf, Transamerica
Jeremy Bahl, GreatAmerica Financial
Della Rhodes, University of Iowa
Pam Hill, ACT
Julie Appleget
Liz Burgmeier, HNI
Doug Sanders, UICCU
Karen Brunscheen, Transamerica

Governors – 1st Term
Cassie Connolly, RSM
Amber McLaren, Transamerica

Chapter Committee Chairs

Academic Relations
Della Rhodes
(712) 779-0712
della-rhodes@uiowa.edu

Membership/Programs
Julie Hupp
(319) 263-1507
hupp2345@southslope.net

Hospitality
Open

Newsletter
Jeremy Bahl
(319) 734-4544
jbahl@greatamerica.com

Website Management
Open

CAP Point Monitor
Cassie Connolly
(563) 888-4044
cassie.connolly@rsmus.com

Focusing primarily on the financial services industry. Additionally, Chris performs Sarbanes-Oxley (SOX) compliance field testing and internal control system analysis and testing. Chris is a CPA and earned a Bachelor of Science, accounting and business administration, with an emphasis in finance at The University of Wisconsin-Platteville.

Fraud Seminar
November, 2016

Location & Date: TBD

Speakers: Kevin Techau, US Attorney
Ronald Jewell, US Postal Inspector
Jill Gogel, Fraud Services Supervisor, Dupaco

Other Area Meetings
The Chicago IIA and ISACA Chapters are hosting a two day conference and have invited our members to attend if interested. Their goal is to present a two day event that contains the most real world hands-on application of Hacking knowledge and skills that can be applied to the Internal Audit/IT Audit/Info Sec world. This event attracted more than 150 attendees last year with extremely high feedback ratings, and this year they are hoping to surpass 200 attendees.

Cyber Civil War: Are You - Team InfoSec or Team Audit?
Dates: Thursday, November 3rd and Friday, November 4th
Time: 8:00 am to 5:00 pm
Location: Summit West, 500 W Madison St (inside the Ogilvie Train Station)
CPEs: 16 (Auditing:9, Computer Science:4, Other-3)
Cost: $350.00 ISACA or IIA Member/ $375.00 Non-Member
For upcoming IMA Events Use Link Below:
http://cedarrapids.imanet.org/event-calendar

### Upcoming IIA Members Only Webinars

The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

<table>
<thead>
<tr>
<th>18-October-2016</th>
<th>Leveraging Data to Manage Your Fraud Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>15-November-2016</td>
<td>Auditing at the Speed of Risk</td>
</tr>
</tbody>
</table>

Details and registration at
https://na.theiia.org/training/eLearningPages/Webinars.aspx

Link to additional free CPE webinar opportunities:
Career Opportunities (1)

There is an open **Lead Auditor** opportunity available at **Transamerica**. For additional information on qualifications, responsibilities, etc. see the link below as well as the separate attachment that accompanied the Newsletter email:

[www.transamerica.com](http://www.transamerica.com)

If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Royce Pevy or Julie Hupp. The cost for members to post an ad is $25 per month.

Certification Information

The **CIA** credential can be your master key to professional success, opening doors for career opportunities and earning you increased credibility and respect within the profession and your organization. Prepare to pass the Certified Internal Auditor exam using the IIA's CIA Learning System.

You can do self-study with a kit that costs $845 for all parts. IIA members can save up to $100. These materials can be combined with an on-line Facilitator Led Course. For a list of on-line dates and times right click on the tile to the left and select “open hyperlink,” or click on the following link: [http://www.learncia.com](http://www.learncia.com).

Northern Illinois University Online CIA Exam Review Courses are also available for those looking for an instructor led learning option. Complete details about the NIU online courses are available on the website [www.cia.niu.edu](http://www.cia.niu.edu).

District Updates

**Chapter Events**
Coming Soon

**IIA News/Updates**
Coming Soon

Our Latest Research Reports:
20% off the Chapter Book of the Month

THIS MONTH’S FEATURE:

Your Choice of Any CGAP Study Materials

Order your study materials today! Use promotion code CHAPTER when ordering to save 20% on any CGAP study materials through Oct. 31, 2016.

During October, The IIA is waiving the CGAP exam application fee, so encourage those interested to take advantage of extra savings on any CGAP study materials to help prepare for the exam.