President’s Message

The start of a new year provides an opportunity to reflect on where we have been and craft New Year Resolutions with goals for the new year. At this time in January, most Resolution-makers have already abandoned their resolutions only half a month into the new year. Perhaps Auditors are not part of that majority, but just in case, I would like to take you back to the SMART acronym that applies equally to our personal and professional goals. Remember to be Specific when creating your goals, but don’t overwhelm yourself by tackling too many goals at once. Remember that A is for Attainable or Achievable. We all have multiple obligations and adding too many restrictions and expectations can add to the stress and frustration in our lives, and who needs more stress. Be honest with yourself about setting realistic goals that are achievable. M is for Measurable. The achievement of your goal should be obvious to you such that you know when you have arrived. With these thoughts in mind, your goals will be measurable. Break-up larger goals into smaller steps. Setting and accomplishing small goals will build your confidence and give you courage to tackle the next steps towards your larger stretch goals. Remember that your small goals should keep in mind your end-game and be Relevant to your overall objectives. Finally, assign a deadline for meeting each goal to make them Time-bound.

Even if you are one of the many that kicked your New Year Resolutions to the curb, it’s not too late. Now is the perfect time to consider what you would like to accomplish this year and commit it to paper, including each of the SMART elements.
Perhaps you would like to expand your network or become a prominent advocate for the Internal Audit profession. Now is the time to consider an officer or governor position in our Heartland-Iowa IIA Chapter. Please reach out to a current officer by January 31st. Our contact information is included.

Here’s to a Great New Year!

Yvonne Kenworthy
President, Heartland-Iowa IIA

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**Trends in Annual Audit Plans**

**Presenter:** Barb Bergmeier, Senior Manager Advisory Services, Ernst & Young

**Date:** January 29, 2018

**Time:** Lunch 11:30-Noon, BBQ from TC Bonz in North Liberty

**Program:** Noon-1:00

**Location:** Hills Bank & Trust, Forevergreen Branch, 590 W Forevergreen Rd, North Liberty, IA 52317

**Cost:** $27

**CPE:** 1

**Registration:** Cutoff is Thursday 1/25 at 5PM

[http://www.eiseverywhere.com/297762](http://www.eiseverywhere.com/297762)

**About Our Speaker:**

Barb Bergmeier is a Senior Manager within the Financial Services Office - Process and Controls Practice of EY. Barb has 30 years of audit, accounting, regulatory, compliance and risk management experience in the financial services industry and served in the role of a Chief Audit Executive for more than 20 years. She has significant experience in the design and performance of dynamic risk assessments and development and updates to internal audit plans. Barb holds numerous certifications in areas of audit, financial services, IT, and insurance including: CPA, CIA, CFSA, CRMA, CISA, CRISC, CLU, CFP, FMLI, and AHIP

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**Data Analytics**

**Topic #1:** “Rockwell Collins Internal Audit Data Analytics”- Learn how Rockwell Collins Internal Audit is using data analytics to assist auditors.

**Topic #2:** “Data Analytics for Auditors” – Tools, Methods, Industry Standards, Application

**Presenter:** Royce Ramos, Senior Data Analytics IT Auditor at Rockwell Collins

**Date:** Thursday, February 15th, 2018

**Time:** Lunch 11:30-Noon, Menu Box lunches with sandwiches and wraps

**Program:** Noon-2:00

**Location:** Linn Area Credit Union, 3015 Blairsferry Road NE, Cedar Rapids, IA

**Cost:** $45

**CPEs:** 2

Register and Pay by Noon 2/12/18 at [http://www.eiseverywhere.com/302425](http://www.eiseverywhere.com/302425)
Ethics Program

Presenter: Nancy Hauserman, Professor Emeritus, Department of Management and Organizations, Henry B. Tippie College of Business, U of I
Date: Tuesday March 6th, 2018
Time: Lunch 11:30-Noon
Program: Noon-2:00
Location: Biaggi’s Ristorante Italiano, 320 Collins Road NE, Cedar Rapids
Cost: $50
CPEs: 2
Registration: by Noon on March 2nd at http://www.eiseverywhere.com/310277

Note: Beginning in 2018 CIAs must have two CPEs every year on ethics topics

About Our Speaker
Nancy Hauserman is currently Professor Emeritus, Department of Management and Organizations, at the University of Iowa Henry B. Tippie College of Business.

Nancy has expertise in Business Ethics as well as Women and Employment Law.

Prior positions including, but are not limited to:
• Interim Dept. Executive Officer, Associate Dean, Professor, Associate Professor and Assistant Professor in the Department of Management and Organizations of the U of I Henry B. Tippie College of Business
• U of I Ombudsperson
• Lecturer at the U of I College of Law

Nancy has earned numerous teaching awards including:
• U.S. Professor of Year nominee, Carnegie Foundation for the Advancement of Teaching, 2010
• President and Provost Award for Teaching Excellence, University of Iowa, 2010
• IOWA for exceptional effort in creating positive results in the workplace, 2006
• Delta Sigma Pi Faculty of the Month, Tippie College of Business (March), 2004
• Collegiate Teaching Award, University of Iowa, 2003

Nancy earned a BA in English and Political Science from the University of Rhode Island and her Juris Doctorate from the University of Iowa.

Audit Skills Seminar with John Hall

Date: Thursday, April 5, 2018
Time: Registration & Breakfast: 7:30AM – 8:00AM
Seminar: 8:00AM – 4:30PM
Topic 1: How to Audit...Better: Field Tested Ideas for Use on Every Audit - half day AM
Topic 2: High Impact Auditing: Practices that Pay - half day PM
Where: Radisson Hotel & Conference Center, Coralville, IA
Speaker: John Hall, Hall Consulting, Inc.
Cost: $245 Members, $270 Non-members
CPEs: 8 CPEs
Registration: by 5PM on March 28th at
http://www.eiseverywhere.com/310293

About Our Speaker:
John J. Hall, CPA is the founder and President of Hall Consulting, Inc. and the author of the award-winning book “Do What You Can! Simple Steps–Extraordinary Results”. He is also the developer and author of The Anti-Fraud Toolkit—a self-paced system for leading an Anti-Fraud Campaign in any size organization.

Mr. Hall has 40 years of experience as a professional speaker, consultant, corporate executive, and business owner. In addition to operating his own businesses since 1990, he has worked in senior leadership positions in large corporations and international public accounting firms. John is a Certified Board Advisor, and a member of the National Speakers Association, the American Institute of CPAs, and the Institute of Internal Auditors.

Upcoming IIA Members Only Webinars
The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

06-February-2018
Integrating Sustainability and Enterprise Risk through the Audit Function

20-February-2018
Preparing for the Future: What Internal Auditors Can Expect in 2018

Details and registration at
https://na.theiia.org/training/eLearning/Pages/Webinars.aspx

New fraud risk guidance released
The IIA’s latest Practice Guide, Engagement Planning: Assessing Fraud Risks, describes the characteristics of fraud and the process of identifying and assessing fraud risks when planning individual audit engagements. The recommended guidance defines how to brainstorm fraud scenarios and assess fraud risks to determine which risks should be evaluated further during the engagement.
Members can download for free.

Other Area Meetings
For upcoming IMA Events Use Link Below:
http://cedarrapids.imanet.org/event-calendar
Career Opportunities

The University of Iowa’s Office of Internal Audit is seeking a qualified applicant for the position of Staff Auditor. This position will be responsible for planning and conducting a wide variety of internal audits by evaluating operational and business processes for appropriate internal controls and compliance with various policies and regulations; identify areas of process risk and recommend specific steps for improvement; conduct follow-up review of audited areas and programs to ensure management has taken appropriate corrective actions. This person must have the ability to map out processes to define gaps in internal controls and/or efficiencies and be able to make recommendations for improvements to senior leaders on campus. [https://uiowa.edu/audit](https://uiowa.edu/audit)

If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Yvonne Kenworthy or Julie Hupp. The cost for members to post an ad is $25 per month.

January Chapter Book of the Month

Trusted Advisors: Key Attributes of Outstanding Internal Auditors
TRUSTED ADVISORS

By: Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA

Trust. A word often underused when audit comes to mind. In Trusted Advisors, President and CEO Richard Chambers surveyed nearly 300 Chief Audit Executives and interviewed many executives to offer readers insight into developing attributes that will make readers an indispensable resource within their organizations.

Use promo code CHAPTER to save 20% on this title by Jan. 31, 2018.

Certification Information

The CIA credential can be your master key to professional success, opening doors for career opportunities and earning you increased credibility and respect within the profession and your organization. Prepare to pass the Certified Internal Auditor exam using the IIA’s CIA Learning System.

You can do self-study with a kit that costs $845 for all parts. IIA members can save up to $100. These materials can be combined with an on-line Facilitator Led Course. For a list of on-line dates and times right click on the tile to the left and select “open hyperlink,” or click on the following link: http://www.learncia.com.

CIA Learning System Discount
The Heartland-Iowa Chapter has secured a special discount for the CIA Learning System Kit. The regular IIA member price for the full kit is $795 plus shipping of $25. If you order the kit through the chapter the price is $570 plus $25 shipping for a total of $595!

The discounted price includes the study materials for all three parts with books, e-book access, plus a license for interactive on-line study materials. See this link for content details: https://bookstore.theiia.org/the-iias-cia-learning-system-3-part-study-guide-with-online-review-3

This offer is not available from the IIA Bookstore. You must be a current Heartland-Iowa Chapter member to obtain this discount. If you wish to order a CIA Learning System Kit contact Julie Hupp at hupp2345@southslope.net.

Additional Training Options
Northern Illinois University Online CIA Exam Review Courses are also available for those looking for an instructor led learning option.
Complete details about the NIU online courses are available on the website www.cia.niu.edu.