Hello!

Congratulations to our new Officers and Governors for the new chapter year beginning June 1st!

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**Secretary** Julie Appleget University of Iowa
**Treasurer** Sarah Dickson ACT

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- Jon Watson RSM US LLP

**Governors with a two-year term ending 5/31/22:**
- Jeremy Bahl GreatAmerica Financial Services Corp.
- Dan Dostal United Fire Group
- Jeffrey Duytschaver Transamerica
- Toni Milnes Clinton National Bank
- Christina Mowry Eldorado Resorts

Our next event is a seminar in March at the wonderful Kirkwood venue with the option to attend a half day for 4 CPEs or the full day for 8 CPEs. While the morning session relates to IT auditing the presentations are intended for both IT and non-IT auditors. See reservation details below.

Julie Hupp
President, Heartland-Iowa IIA

**Heartland Chapter Spring Seminar**

**Date:** Tuesday March 24, 2020

**Time:** AM Session: Registration 7:30 - 8:00; Program 8:00 - 11:45
PM Session: Registration 12:00 - 12:15; Program 12:15 - 4:00

Participants may attend the AM and/or PM session. Lunch will be provided for those attending both sessions.

**Location:** The Hotel at Kirkwood Center, Cedar Rapids

**CPEs:** 8
Contact Us

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https://chapters.theiia.org/heartland-iowa/Pages/default.aspx

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Cost:
Full Day: $200/members; $220/non-members
Half Day: $100/members; $110/non-members

Speaker Info:
Mr. John Gatto was in the IT Audit profession for over 40 years. He retired from Blue Cross Blue Shield of Illinois in January 2015 where he was Divisional Vice President of IT Audit. For many years he has been speaking to various ISACA and IIA Chapters on a variety of topics. In 2013 he was named the “Educator of the Year” for the Chicago IIA.

Session Descriptions:

1. Back to the Basics - IT General Controls - A Primer

EXECUTIVE SUMMARY: All auditors, either financial, operational or IT come into contact with IT processes and outputs. Understanding how this impacts the area being audited is crucial to performing a comprehensive and valuable engagement. Numerous companies are focusing their reviews on the latest in technology, cloud computing, cybersecurity, etc. but the entire concept of general controls has been overlooked so this is a perfect primer for these individuals to get “back to basics” and remember some of things they may have “forgotten”.

The training will look at the various control models, the need for controls and risk management. Focus will be on the details for the general control framework needed in any IT Organization and discuss 8 of the more important IT General controls. Each control section will describe the need for the control, how it should be developed and what the auditor should look for during any involvement in those areas.

KEY HIGHLIGHTS:
- The need for the controls
- Research from Corporate Executive Board
- Control frameworks
- IT General Controls: Policy Management, Physical Security, Change Control, Release Management, Incident Management, SDLC and End User Computing

2. Auditor's Role in System Development

EXECUTIVE SUMMARY: This session focuses on the need for the auditor to be involved in the various phase of the SDLC process, the different types of review that can be done based on timing and staffing, the risks the audit involvement should help to avoid.

KEY HIGHLIGHTS:
- Understand the various phases of the SDLC
- Utilize a risk approach to identify high risk projects
- Learn the benefits of being involved during the project life
- Various reporting mechanisms
- Time allocation by risk area Value add process for selecting the applications that pose the greatest risk to the company, along with the time allocation, audit steps, and reporting requirements.

Lunch Break (Buffet)
3. Enterprise Risk Management (ERM) and Internal Audit

EXECUTIVE SUMMARY: This session will review the risks associated with ERM, the interaction between ERM and Internal Audit, and how best to ensure there is alignment.

KEY HIGHLIGHTS:
- Definition of ERM
- Risk Areas for ERM
- The need for ERM
- Obstacles for implementing ERM
- How Internal Audit can help ERM
- How ERM can help Internal Audit

4. Outsourcing and the Need for Supplier Audits

EXECUTIVE SUMMARY: This presentation will discuss the reasons why companies use third party suppliers, either as in an outsourced arrangement or in a true vendor arrangement, the risks involved and what audit should be doing.

KEY HIGHLIGHTS:
- Understanding the risks from a security and privacy perspective
- Contract elements
- Minimum security requirements that should be put in contracts
- Supplier Audit techniques

Register and pay by 5PM on Friday 3/20/20: https://www.eiseverywhere.com/519023

SAVE THE DATE - ISACA/IIA JOINT EVENT

Date: Tuesday April 28, 2020
Location: Radisson Hotel & Conference Center
1220 First Avenue
Coralville

CPEs: 7

Lanny Morrow, Data Scientist with BKD, will present on data analytics topics in the morning and the PM topic is TBD. We will provide full registration details via email as soon as they are available.
Upcoming IIA Webinars

The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

17-March-2020
**Members-only Webinar: A Plan for Regulatory Change**

07-April-2020
**Members-only Webinar: Environmental, Social and Corporate Governance (ESG) and its growing Importance to Corporate Boards**

16-June-2020
**Members-only Webinar: Ransom Attack: What Happens Next?**

Details and registration at [https://na.theiia.org/training/eLearning/Pages/Webinars.aspx](https://na.theiia.org/training/eLearning/Pages/Webinars.aspx)

Upcoming IIA Courses in the Midwest

**Tools & Techniques I: New Internal Auditor**
- Kansas City April 14-17
- Chicago May 19-22

**Tools & Techniques II: Lead Auditor**
- Minneapolis May 12-15

**Essential Audit Leadership: Skills for Today and Tomorrow**
- Chicago May 19-22
[https://na.theiia.org/training/courses/Pages/Essential-Audit-Leadership-Skills-for-Today-and-Tomorrow.aspx](https://na.theiia.org/training/courses/Pages/Essential-Audit-Leadership-Skills-for-Today-and-Tomorrow.aspx)

Member Certification News

Are you looking for a CIA exam preparation course, but don’t want to travel to an instructor led class?

- On-line courses are available via the IIA
  - Courses are 2.5 hours three times per week over a two-week period
  - There are separate courses for each of the three Exam parts
  - Each course is $1,195 with early-bird pricing at $995
  - Dates for both instructor led and online courses can be found at [https://www.learncia.com/cia-course-listings/](https://www.learncia.com/cia-course-listings/)
• Do you hold a CFSA certificate, but not the CIA?
  • You may be eligible to obtain your CIA through a CIA Specialty Challenge Exam (just 150 questions in one 3-hour sitting) if you do so by 12/31/20
  • See details and pricing at https://global.theiia.org/certification/Pages/Certification-Transition.aspx#CIA%20Challenge%20Exam

• Self-Study is also an option – see the CIA Self-Study Materials section below

FYI - The IIA’s Certification Candidate Management System (CCMS), will be inaccessible starting March 8 at 12:00AM Eastern Standard Time until March 15 due to a system upgrade.

IIA Publication News

New IIA Downloads:
Practice Guide: Auditing Culture - This practice guide will help internal auditors understand risks associated with an organization’s culture, how effective management of those risks supports a successful control environment, and how to approach an assessment of culture.
https://na.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Auditing-Culture.aspx?utm_source=SilverpopMailing&utm_medium=email&utm_campaign=20203852_The_Network_February_021920%20(1)&utm_content=&spMailingID=31534867&spUserID=NdI1NDc3OTg1NDQS1&spJobID=1644081712&spReportId=MTY0NDA4MTcxMg

IIA Bookstore Discounts
In addition to receiving the 20% IIA member discount get an additional $10 off until 7/31/20 with promo code MIHCAT220.

Career Opportunities
If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Julie Hupp or Sarah Dickson. The cost for members to post an ad is $25 per month.
Certification Information

CIA Learning System materials for the CIA exam are available via the IIA Bookstore; however, the Heartland-Iowa Chapter can help you obtain an additional discount!

The Heartland-Iowa Chapter has secured a special discount for the CIA Learning System Version 6 (online version only – no books). The regular IIA member price for the system for all three parts is $795 plus tax. If you order the kit through the chapter the price is $610, a savings to our members of $185!

Certification Information (cont’d)

- Aligned with updated CIA Exam Syllabi
- 500 new test questions
- Download books to your e-reader, read online, or choose printed books (optional add-on)
- Link seamlessly from online quiz questions directly to pertinent sections of the online reading materials for convenient topic review
- Includes most recent IPPF enhancements
- Video tutorials providing an overview of the IPPF components
- Purchasers will have on-line access for two years.

This offer is not available from the IIA Bookstore. You must be a current Heartland-Iowa Chapter member to obtain this discount. If you wish to order a CIA Learning System at the price of $610, contact Julie Hupp at hupp2345@southslope.net.

Upcoming Conferences

Attend the GAM Conference March 16-18 in person or online!

https://na.theiia.org/training/conferences/GAM/Pages/GAM-Conference.aspx
2020 International Conference July 20-22 in Miami!

https://na.theiia.org/training/conferences/GAM/Pages/GAM-Conference-Live-Streaming.aspx

2020 Midwest District Conference in Des Moines in August!

https://chapters.theiia.org/madison/News/Pages/2020-Midwest-District-Conference.aspx