President’s Message

Happy New Year and New Decade!

Hello!

Hope you are all keeping warm! Our Nominations Committee Chair, Yvonne Kenworthy is in the process of identifying Officers and Governors for the chapter year beginning June 1st. Thank you to those who responded to our call for nominations. Election results will be available soon.

Thanks to Sarah Dickson, our VP and Programs Chair, we have our spring meetings and seminars planned out. Our February meeting on casino controls emphasizing cash controls should be interesting. Hope to see many of you there!

Thanks
Julie Hupp
President, Heartland-Iowa IIA

Controlling Cash…Insights from the Gaming Industry

Date:  Wednesday February 26, 2020
Time:  Registration & Lunch 11:45 - 12:30; Program 12:30 - 1:30
Location:  Hills Bank
590 West Forevergreen Road
North Liberty
CPEs:  1
Cost:  $25/members; $30/non-members

Program Description:  Christina Mowry, Regional Internal Audit Manager for Eldorado Resorts, Inc., will present on auditing at a cash based business. She will focus on the controls that Eldorado Resorts has in place to prevent and detect theft.

Register and pay by 6PM on Sunday 2/23/20:  https://www.eiseverywhere.com/518996

If you encounter any issues with your reservation please contact Sarah Dickson or Julie Hupp.
Heartland Chapter Spring Seminar

Date: Tuesday March 24, 2020

Time: AM Session: Registration 7:30 - 8:00; Program 8:00 - 11:45
       PM Session: Registration 12:00 - 12:15; Program 12:15 - 4:00

Participants may attend the AM and/or PM session. Lunch will be provided for those attending both sessions.

Location: The Hotel at Kirkwood Center, Cedar Rapids

CPEs: 8

Cost: Full Day: $200/members; $220/non-members
      Half Day: $100/members; $110/non-members

Speaker Info: Mr. John Gatto was in the IT Audit profession for over 40 years. He retired from Blue Cross Blue Shield of Illinois in January 2015 where he was Divisional Vice President of IT Audit. For many years he has been speaking to various ISACA and IIA Chapters on a variety of topics. In 2013 he was named the “Educator of the Year” for the Chicago IIA.

Session Descriptions:

1. Back to the Basics - IT General Controls - A Primer

EXECUTIVE SUMMARY: All auditors, either financial, operational or IT come into contact with IT processes and outputs. Understanding how this impacts the area being audited is crucial to performing a comprehensive and valuable engagement. Numerous companies are focusing their reviews on the latest in technology, cloud computing, cybersecurity, etc. but the entire concept of general controls has been overlooked so this is a perfect primer for these individuals to get “back to basics” and remember some of things they may have “forgotten”.

The training will look at the various control models, the need for controls and risk management. Focus will be on the details for the general control framework needed in any IT Organization and discuss 8 of the more important IT General controls. Each control section will describe the need for the control, how it should be developed and what the auditor should look for during any involvement in those areas.

KEY HIGHLIGHTS:
- The need for the controls
- Research from Corporate Executive Board
- Control frameworks
- IT General Controls: Policy Management, Physical Security, Change Control, Release Management, Incident Management, SDLC and End User Computing

2. Auditor’s Role in System Development

EXECUTIVE SUMMARY: This session focuses on the need for the auditor to be involved in the various phase of the SDLC process, the
different types of review that can be done based on timing and staffing, the risks the audit involvement should help to avoid.

KEY HIGHLIGHTS:
- Understand the various phases of the SDLC
- Utilize a risk approach to identify high risk projects
- Learn the benefits of being involved during the project life
- Various reporting mechanisms
- Time allocation by risk area Value add process for selecting the applications that pose the greatest risk to the company, along with the time allocation, audit steps, and reporting requirements.

Lunch Break (Buffet)
- Mixed Greens with Vegetable Garnishes and Choice of Dressing
- Pasta Salad
- Fresh Fruit Salad
- Assorted Wraps & Gourmet Sandwiches
- Potato Chips
- Chef's Dessert Assortment
- Coffee & Tea

3. Enterprise Risk Management (ERM) and Internal Audit

EXECUTIVE SUMMARY: This session will review the risks associated with ERM, the interaction between ERM and Internal Audit, and how best to ensure there is alignment.

KEY HIGHLIGHTS:
- Definition of ERM
- Risk Areas for ERM
- The need for ERM
- Obstacles for implementing ERM
- How Internal Audit can help ERM
- How ERM can help Internal Audit

4. Outsourcing and the Need for Supplier Audits

EXECUTIVE SUMMARY: This presentation will discuss the reasons why companies use third party suppliers, either as in an outsourced arrangement or in a true vendor arrangement, the risks involved and what audit should be doing.

KEY HIGHLIGHTS:
- Understanding the risks from a security and privacy perspective
- Contract elements
- Minimum security requirements that should be put in contracts
- Supplier Audit techniques

Register and pay by 5PM on Friday
3/20/20:  https://www.eiseverywhere.com/519023
SAVE THE DATE – ISACA/IIA JOINT EVENT

Date: Tuesday April 28, 2020

Location: Radisson Hotel & Conference Center
1220 First Avenue
Coralville

CPEs: 7

Further details coming soon…

Upcoming IIA Webinars

The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

04-February-2020
EHSAC Webinar: Environmental Fraud: Introduction to Prevention and Detection

18-February-2020
Members-only Webinar: IT Change Management

17-March-2020
Members-only Webinar: A Plan for Regulatory Change

Details and registration at
https://na.theiia.org/training/eLearning/Pages/Webinars.aspx

Member Certification News

Reminder: If you hold an IIA certification see the email sent to you by the IIA on January 14th with subject “IA CCMS Access — Action Required to Access IIA system”.

You must access your Certificate Candidate Management System (CCMS) account using the primary email address in your IIA profile in order to activate Single Sign On (SSO) that allows CCMS users the ability to have one username and password to manage their access to The IIA global website, CCMS, and PearsonVUE exam scheduling system.

Did You Know: New Member Discount Through February 28th

January 1 through the end of February, all new professional members will receive a 20% discount. For more information, contact Ivey Rose Smith, Director of North American Membership at Ivey.R.Smith@theiia.org.
New IIA Downloads:

**Global Knowledge Brief Auditing Social Media: Adding Value by Improving Governance:** examines internal audit's involvement in the implementation and evaluation of social media strategy.

**New Tone at the Top:** explores how to measure effective governance, and discusses why governance evaluations are often wrong and to what can be done to fix the problem.

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**Career Opportunities**

**Hills Bank and Trust Company**
Hills Bank has an opening for an **Internal Auditor**. If you’re looking for a local, stable company (providing community banking services for 115 years!) that offers growth opportunities, then consider Hills Bank! We offer excellent on-site training, benefits, and a professional team atmosphere. **EOE. Member FDIC.** Review our openings and apply online at [https://www.hillsbank.com/careers](https://www.hillsbank.com/careers).

**GreatAmerica Financial Services**
GreatAmerica, a $2B asset small ticket finance company, is looking to fill the newly created position of **Business Assurance Data Analyst** for its Internal Audit team. If you want to join a company with an exciting future and a competitive benefits package, visit the following link for more details: [https://www.greatamerica.com/careers/current-openings.aspx](https://www.greatamerica.com/careers/current-openings.aspx)

If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Julie Hupp or Sarah Dickson. The cost for members to post an ad is $25 per month.

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**Certification Information**

**CIA Learning System materials for the CIA exam are available via the IIA Bookstore; however, the Heartland-Iowa Chapter can help you obtain an additional discount!**

The Heartland-Iowa Chapter has secured a special discount for the CIA Learning System Version 6 (online version only – no books). The regular IIA member price for the system for all three parts is $795 plus tax. **If you order the kit through the chapter the price is $610, a savings to our members of $185!**
Certification Information (cont’d)

- Aligned with updated CIA Exam Syllabi
- 500 new test questions
- Download books to your e-reader, read online, or choose printed books (optional add-on)
- Link seamlessly from online quiz questions directly to pertinent sections of the online reading materials for convenient topic review
- Includes most recent IPPF enhancements
- Video tutorials providing an overview of the IPPF components
- Purchasers will have on-line access for two years.

This offer is not available from the IIA Bookstore. You must be a current Heartland-Iowa Chapter member to obtain this discount. If you wish to order a CIA Learning System at the price of $610, contact Julie Hupp at hupp2345@southslope.net.

Audit Executive Center

Attention CAEs: Join the Audit Executive Center

With hundreds of members worldwide, the Audit Executive Center (AEC) is a comprehensive program for chief audit executives (CAEs)/heads of internal audit from industries and organizations of all sizes. Three annual membership levels are offered (add-ons to your regular yearly IIA membership), containing a variety of benefits so high-performing audit leaders can choose the program best suited for their internal audit function, staff, and stakeholder needs.

Audit Executive Center Goals:
- Be the essential resource for CAEs and their staff, making them more effective in serving their internal and external stakeholders.
- Provide customized solutions for today’s CAEs and senior audit leaders.
- Deliver exceptional concierge service to each member, letting them know how much they are valued.
- Produce relevant, insightful, future-focused, and solution-based content, supporting CAEs’ every need.

See this link for more information:
https://www.theiia.org/centers/aec/Pages/benefits.aspx

https://na.theiia.org/training/conferences/GAM/Pages/GAM-Conference.aspx