President’s Message

Hello,

As the summer comes to an end our chapter programming season is kicking off. A big thanks to Sarah Dickson who has the September, October, and November events all nailed down! See registration details below.

The September meeting will include a discussion on Quality Assessments. If an internal audit function wants to state in their reports that they audit in accordance with IIA’s International Standards for the Professional Practice of Internal Auditing, they must undergo an External Quality Assessment (EQA) of their policies and practices every five years. In addition to accounting firms, IIA can be engaged to perform an EQA. For more information on this IIA service see https://na.theiia.org/services/quality/Pages/Quality-Services.aspx#quality.

The October seminar will include some Ethics content. CIAs must report 2 hours of ethics CPEs every year and CPAs must report 4 hours of ethics CPEs every two years.

If you know others in your organization who are not IIA members but may be interested in one of these Fall chapter events feel free to forward this newsletter to them. Guests are always welcome!

Thanks
Julie Hupp
President, Heartland-Iowa IIA

Certification News

Congratulations to Our 2019 Certification Exam Passers to Date!

Julie Appleget CIA
Ethan Manning CIA
Jenna Morrell CIA
Delora Winchell CIA
Jason Werderman CRMA

Way to go! There are currently 40 Heartland-Iowa Chapter members who hold at least one IIA certification. For more information on IIA certifications see this link.
Quality Assurance and Construction Auditing Fraud

Date: Thursday September 12, 2019
Time: Registration 11:30am - 11:45am  
Lunch 11:45am - 12:30pm  
Program 12:30pm - 2:30pm
Location: Biaggi’s Ristorante Italiano, Cedar Rapids
CPEs: 2
Cost: $50/members; $55/non-members

Presenters:
Matt Gardner, Partner – Construction Audit Services, Honkamp Krueger & Co, P.C.  
Brian Kruk, Senior Director Quality Assessment Services, Honkamp Krueger & Co, P.C.

Program Description:
The first portion will cover best practices to ensure internal audit departments are mitigating risk and/or recovering unsubstantiated costs related to construction contracts. The training will focus on how organizations can save on construction costs and minimize risk by planning ahead for capital projects and putting controls in place to review the projects throughout. Note: Content applies to any organization that has building projects (new construction, remodeling, etc.).

The second portion will cover Quality Assurance and Improvement Program (QAIP) best practices and will focus on the QAIPs required by the IIA’s Red Book in the 1300 to 1322 series standards. This session will address the definition and measurement of internal audit effectiveness and efficiency as well as external assessments.

Register and pay by 5PM 9/9/19 at: https://www.eiseverywhere.com/475509

Total Quality Auditing

Date: Tuesday October 15, 2019
Time: Registration 8:00am - 8:30am  
Program 8:30am - 5:00pm, with lunch served at noon
Location: The Hotel at Kirkwood Center, Cedar Rapids
CPEs: 8
Field of Study: Auditing (6 CPE) & Ethics (2 CPE)
Cost: $200/members; $215/non-members
Program Description:
Total Quality Auditing™: How a Total Quality Mindset Can Help Internal Audit Add Value

W. Edwards Deming, the founding father of the Total Quality Management (TQM) movement, said you cannot “inspect in” quality. Well, I am here to say you cannot “audit in” ethical conduct, adherence to good standards of conduct, good culture, governance, risk management, internal controls, or compliance with laws and regulations. And you cannot “consult in” satisfying customers, improving productivity, and eliminating waste.

Total Quality Auditing™ – TQA – was born based on my research of the successful TQM movement. It is a simple concept that starts with one idea: Internal Audit functions should complement their traditional, required activities with an active role in the organization culture itself. It is all about being a proactive audit function, not a reactive one.

Presented by: Amanda "Jo" Erven, CPA, CIA, CFE, Founder and President, Audit. Consulting. Education. LLC

Learning objectives:
In this session, participants will learn:
1. How Total Quality Management (TQM) principles can be applied to Internal Audit; Total Quality Auditing™ (TQA) defined and explained.
2. Six TQA points of focus for Internal Audit excellence; how to become a more proactive and less reactive function.
3. How to achieve traditional Internal Audit objectives and improve the effectiveness of organizations, by changing how audit goes to work.

Register and pay by 5PM 10/8/19 at:
https://www.eiseverywhere.com/473487
Other Midwest IIA Course Offerings

October 1-4, 2019 – MicroTek Training Facility – Chicago

- October 1-2, 2019: Fraud Detection, Deterrence, and Incident Response for Internal Auditors
- October 1-2, 2019: Fundamentals of IT Auditing
- October 1-2, 2019: Fundamentals of Risk-based Auditing
- October 1-2, 2019: Root Cause Analysis for Internal Auditors
- October 1-4, 2019: Tools and Techniques I: New Internal Auditor
- October 1-4, 2019: Tools & Techniques III: Audit Manager
- October 3-4, 2019: Advanced Risk-based Auditing
- October 3-4, 2019: COSO Enterprise Risk Management Certificate Program
- October 3-4, 2019: Critical Thinking in the Audit Process
- October 3-4, 2019: Cybersecurity Auditing in an Unsecure World

For more details see:
https://na.theiia.org/training/seminars/Pages/Chicago-Seminars.aspx?utm_source=SilverpopMailing&utm_medium=email&utm_campaign=20193372_SEM_Training_MW_Aug_082219%20(1)&utm_content=&spMailingID=28627818&spUserID=NjI1NDc3OTg1NDQS1&spJobID=1523965780&spReportId=MTUyMzk2NTc4MAS2

Member Certification News

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Ethan Manning - CIA
Jenna Morrell - CIA
Delora Winchell - CIA
Jason Werderman - CRMA

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https://na.theiia.org/certification/new/Pages/Why-Become-Certified.aspx

Upcoming IIA Webinars

The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

17-September-2019
Members-only Webinar: Agile Auditing: Transforming the Internal Audit Process and Value Proposition Using the Scrum Process Framework

01-October-2019
EHSAC Webinar: Product Stewardship Recycler Audits vs. Conventional EHS Audits
PSAC Virtual Symposium: Preparing Public Sector Auditors for Today’s Terrain

Details and registration at https://na.theiia.org/training/eLearning/Pages/Webinars.aspx

Did You Know: Online Training Discount

IIA members save up to $100 with early registration for Online Training courses. Online Training offers you the flexibility and convenience of learning from your office or preferred location. These real-time, interactive courses are scheduled in short sessions over multiple days to fit your busy schedule.

Career Opportunities

Senior Internal Auditor – part time (20 hours per week)

Will be filled as Senior Auditor or Staff Auditor, based on the candidate. Part time benefits eligible. 20 hours per week (flexible). This position supports Mercy's philosophy of patient centered care by performing individual internal audit projects and assisting Internal Audit management as part of the total internal audit plan.

To learn more about this opportunity or to apply visit:
www.mercycare.org/employment,
https://pm.healthcaresource.com/CS/mercycare/#/job/3529

If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Julie Hupp or Sarah Dickson. The cost for members to post an ad is $25 per month.

Certification Information

CIA Learning System materials for the 2019 CIA exam are now available via the IIA Bookstore; however, the Heartland-Iowa Chapter can help you obtain an additional discount!

The Heartland-Iowa Chapter has secured a special discount for the CIA Learning System Version 6 (online version only – no books). The regular IIA member price for the system for all three parts is $795 plus tax. If you order the kit through the chapter the price is $610, a savings to our members of $185!
New for Version 6.0!
- Aligned with updated CIA Exam Syllabi, testing January 1, 2019 and beyond
- 500 new test questions
- Download books to your e-reader, read online, or choose printed books (optional add-on)
- Link seamlessly from online quiz questions directly to pertinent sections of the online reading materials for convenient topic review
- Includes most recent IPPF enhancements
- Video tutorials providing an overview of the IPPF components
- Purchasers will have on-line access for two years from date of purchase.

This offer is not available from the IIA Bookstore. You must be a current Heartland-Iowa Chapter member to obtain this discount. If you wish to order a CIA Learning System at the price of $610, contact Julie Hupp at hupp2345@southslope.net.

Training Options
Northern Illinois University Online CIA Exam Review Courses are also available for those looking for an instructor led learning option. Complete details about the NIU online courses are available on the website www.cia.niu.edu.