September 2019 Newsletter

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September Membership Anniversaries:
2 Year – Dillon Gusta, Dawn Borcherding, Jacob Clark
3 Year – Deborah Avery
4 Years – Samantha Musgrove
11 Years – Christina Mowry
14 Years – Debra Lenth
27 Years – Richard Hensey

New Members:
Colleen Bean, Hills Bank; Dawn Jidrich, Office of the Linn County Auditor; Mikaela Brockett, City of Iowa City; Angel Wallis, Walcott Trust & Savings Bank; Teresa Kelley, Heartland Financial USA, Inc.

Contact Us
Website
https://chapters.theiia.org/heartland-iowa/Pages/default.aspx
Email
iiaheartlandchapter@gmail.com

President’s Message

Hello,

Last month IIA released the official results for the 2018-2019 Chapter Achievement Program (CAP). CAP measures chapter success based on various performance levels for serving their members and the profession. Chapters accumulate CAP points towards achieving status ranging from Bronze to Diamond. Chapters who achieve Gold status for ten+ years are given the Platinum status. The Heartland-Iowa Chapter earned Platinum once again! Chapters need to have Gold status for 20 consecutive years to reach the next level which is Ruby. The CAP point system has been updated and it is a bit more difficult to earn points. Members can help our chapter reach Gold status by attending and promoting our events, volunteering at chapter events, and earning certifications. Going for the Gold again this year!

Earlier this month our chapter Board of Governors approved our 2019-2020 budget. Our budget includes funds for visits to college accounting clubs to promote internal auditing as a career. I’m still looking for someone to fill the Academic Relations Chair position. Contact me if you are interested.

If you hold one or more IIA certifications, now would be a good time to double check your CPE count for calendar year 2019. All certified individuals must report annual CPE credit hours by December 31st. Whether or not you still need 2019 CPE credits I hope you are able to attend our October seminar. See content and registration details below.

Thanks
Julie Hupp
President, Heartland-Iowa IIA

Member Certification News

Congratulations to Erin Keppy who achieved her CIA designation on September 4th!!!

CIA Changes Effective September 1, 2019
- The exam waiting period (formerly 90 days) is now 60 days. This applies to all IIA programs.
- The Certified Internal Auditor® (CIA®) program window (formerly 4 years) will now be 3 years.
• The Internal Audit Practitioner program will no longer require work experience (six months of experience was required previously).

Action Required for CCMS Update:
If you hold one or more IIA certifications or are in the process of sitting for exams please note:
In preparation for an upcoming Certification Candidate Management System (CCMS) migration in 2019, please verify the primary email address in your CCMS profile (this is different from your IIA membership profile), because your email address will be used to log into the new system you will use to report CPE.


Total Quality Auditing

Date: Tuesday October 15, 2019
Time: Registration 8:00am - 8:30am  
Program 8:30am - 5:00pm, with lunch served at noon
Location: The Hotel at Kirkwood Center, Cedar Rapids
CPEs: 8
Field of Study: Auditing (6 CPE) & Ethics (2 CPE)
Cost: $200/members; $215/non-members

Program Description:
Total Quality Auditing™: How a Total Quality Mindset Can Help Internal Audit Add Value

W. Edwards Deming, the founding father of the Total Quality Management (TQM) movement, said you cannot “inspect in” quality. Well, I am here to say you cannot “audit in” ethical conduct, adherence to good standards of conduct, good culture, governance, risk management, internal controls, or compliance with laws and regulations. And you cannot “consult in” satisfying customers, improving productivity, and eliminating waste.

Total Quality Auditing™ – TQA – was born based on my research of the successful TQM movement. It is a simple concept that starts with one idea: Internal Audit functions should complement their traditional, required activities with an active role in the organization culture itself. It is all about being a proactive audit function, not a reactive one.

Presented by: Amanda "Jo" Erven, CPA, CIA, CFE, Founder and President, Audit. Consulting. Education. LLC

Learning objectives:
In this session, participants will learn:
1. How Total Quality Management (TQM) principles can be applied to Internal Audit; Total Quality Auditing™ (TQA) defined and explained.
2. Six TQA points of focus for Internal Audit excellence; how to become a more proactive and less reactive function.
3. How to achieve traditional Internal Audit objectives and improve the effectiveness of organizations, by changing how audit goes to work.
Register and pay by 5PM 10/8/19 at: https://www.eiseverywhere.com/473487

Iowa Auditor of State

Date: Tuesday November 19, 2019
Time: Registration 11:30am - 11:45am
       Lunch 11:45am - 12:30pm
       Program 12:30pm - 1:30pm
Location: Cedar Rapids RSM Office (room and parking validation info will be sent to registrants prior to the meeting)
CPEs: 1
Cost: $25
Presenter: Rob Sand, Iowa Auditor of State
Program Description: We are excited to have Rob Sand, Auditor of State, join us for our November meeting. Rob will provide insight on the activities of the State Auditor's Office and highlight recent cases.
Register and pay by 5PM 11/15/19 at: https://www.eiseverywhere.com/475505

Benchmark with Other Audit Departments with Audit Intelligence Suite

An exclusive product developed by The IIA, the Audit Intelligence Suite (AIS) was designed with internal auditors in mind. The suite of services features stakeholder surveys, individual and team skills assessments, and benchmarking capabilities — offered a la carte or bundled. Benchmark your audit activity, assess your team, and survey your key stakeholders. Once you know the results, you will be in a better position to improve your audit activity. For more information, including pricing see the flyer at https://dl.theiia.org/AECPublic/Audit-Intelligence-Suite-Info-Sheet.pdf

IIA Publication News

September book of the month
Through September, chapter members can save 20% off any single title, including the latest release, New Auditor’s Guide to Internal Auditing, published by the Internal Audit Foundation.

Use promo code SEPT2019 by Sept. 30, 2019, to save 20% on any single title published by the Internal Audit Foundation.*

*Some exclusions apply.
The IIA has released two new practice guides available members, *Demonstrating the Core Principles for the Professional Practice of Internal Auditing* and *Foundations of Internal Auditing in Financial Services Firms*.

### Upcoming IIA Webinars

The IIA’s complimentary Members-only Webinar Series is available exclusively to you as part of your membership investment. These monthly educational webinars are developed specifically with IIA members in mind (1 CPE each).

01-October-2019  
**EHSAC Webinar: Product Stewardship Recycler Audits vs. Conventional EHS Audits**

05-November-2019  
**PSAC Virtual Symposium: Preparing Public Sector Auditors for Today’s Terrain**

Details and registration at [https://na.theiia.org/training/eLearning/Pages/Webinars.aspx](https://na.theiia.org/training/eLearning/Pages/Webinars.aspx)

### Did You Know: Online Training Discount

IIA members save up to $100 with early registration for Online Training courses. Online Training offers you the flexibility and convenience of learning from your office or preferred location. These real-time, interactive courses are scheduled in short sessions over multiple days to fit your busy schedule.

### Career Opportunities

**Meredith Corporation Senior Auditor**

The Senior Auditor leads risk-based operational, financial, and compliance internal audits necessary to promote the reliability and integrity of financial and operational information; to evaluate compliance with internal policies and procedures, laws and regulations; and to analyze the system of internal controls. In addition, performs audit work related to the annual financial statements audit, employee benefit plan audits, and special investigations.


If you wish to post a job opening in the chapter newsletter and on the chapter website, contact Julie Hupp or Sarah Dickson. The cost for members to post an ad is $25 per month.
Certification Information

CIA Learning System materials for the 2019 CIA exam are now available via the IIA Bookstore; however, the Heartland-Iowa Chapter can help you obtain an additional discount!

The Heartland-Iowa Chapter has secured a special discount for the CIA Learning System Version 6 (online version only – no books). The regular IIA member price for the system for all three parts is $795 plus tax. If you order the kit through the chapter the price is $610, a savings to our members of $185!

New for Version 6.0!
- Aligned with updated CIA Exam Syllabi, testing January 1, 2019 and beyond
- 500 new test questions
- Download books to your e-reader, read online, or choose printed books (optional add-on)
- Link seamlessly from online quiz questions directly to pertinent sections of the online reading materials for convenient topic review
- Includes most recent IPPF enhancements
- Video tutorials providing an overview of the IPPF components
- Purchasers will have on-line access for two years from date of purchase.

This offer is not available from the IIA Bookstore. You must be a current Heartland-Iowa Chapter member to obtain this discount. If you wish to order a CIA Learning System at the price of $610, contact Julie Hupp at hupp2345@southslope.net.

Training Options
Northern Illinois University Online CIA Exam Review Courses are also available for those looking for an instructor led learning option. Complete details about the NIU online courses are available on the website www.cia.niu.edu.