President’s Message

It is my distinct honor to be the President of the Houston Chapter of The Institute of Internal Auditors for this new Chapter year. I would like to thank Rumy Jaleel-Khan, and the entire Board and Officer group for all the time and effort they dedicated to making last year another success in serving the members of the Houston Chapter!

I recently had the opportunity to attend the IIA’s Annual Leadership Conference, held in Orlando, Florida. It gave me and other Chapter leaders a great opportunity to meet the many volunteers across North America who work hard to serve as Officers and Board Members for their local IIA chapters. As one of the largest chapters in North America, the Houston Chapter received many achievement awards at the Conference:

- 2015 Qualified Chapter of Excellence
- 2013-2014 Chapter Achievement Program Award – Platinum Chapter
- IIA Academic Relations – Diamond Partner
- IIA Research Foundation – Gold Partner

We could not have achieved these awards without the participation from each and every one of you, so thank you!

On an Academic Relations note, we were pleased to present the first two scholarships from the Endowment the Chapter established in 2013 at the University of Houston. The Chapter is committed to assisting students and university programs that are focused on internal auditing.

In May, various members of our Chapter participated in the CIA Test Development Workshop that was facilitated by IIA Headquarters. Our Test Development Team is now up and running. The Chapter will get Chapter Achievement Points for each exam item submitted. The team has already submitted a number of items during the workshop and will submit additional questions on a quarterly basis. Thank you to all who participated and who have committed to future participation!

I encourage you to participate in the Chapter’s annual member survey by clicking here. Your feedback is needed so we can continue to plan quality events that meet and exceed your needs as a member of this organization.

I look forward to seeing you at this month’s luncheon and seminar, and at future events. Have a great June!

Connie Khawaja
Houston Chapter President
Connie.Khawaja@abm.com
The monthly luncheon meetings are held at the Petroleum Club. This great downtown venue has moved to 1201 Louisiana St. on the top floor of the downtown Total building. The seated plate lunch begins at 11:30, with the program beginning at noon. Both public and valet parking are available. Please note valet parking is cash only.

**Please note online registration is open until 9:00AM the day of the event, and as a result walk-in registration is no longer active.**

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic(s)</th>
<th>Speaker(s)</th>
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<tbody>
<tr>
<td>June 1</td>
<td>Houston IIA Fraud Conference (full day event)</td>
<td>John Hall</td>
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<tr>
<td>June 1</td>
<td>The Auditor’s Role in Fraud Risk Management (luncheon only)</td>
<td>John Hall</td>
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<tr>
<td>July 5-8</td>
<td>IIA International Conference (Vancouver)</td>
<td>100+ from around the Globe</td>
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</tbody>
</table>
Full Day Fraud Conference Topic:

Fraud Issues & Awareness for Auditors: A fast-paced program providing an opportunity for auditors at all levels to learn the skills necessary to respond appropriately and effectively to fraud challenges in their work.

Objectives: Participants in the program will learn about:
- The auditor's role in fraud risk management
- What the professional standards say (and what they don't say)
- Fraud risk assessment during audit planning
- Fraud discovery skills for internal auditors
- Fraud examples and the impact on audit planning, work programs and controls
- Continuous Monitoring suggestions
- Helping management correct control weaknesses following a fraud
- Best practices in prevention, early detection and effective incident response
- Examples of fraud cases and lessons learned for auditors

Experience level: Basic

Prerequisites: None Recommended

CPE hours: 8 (includes the luncheon presentation)

Luncheon Topic:

The Auditor's Role in Fraud Risk Management: Learn eight specific steps to leading an Anti-Fraud Campaign that makes a measurable difference in helping your management team manage fraud risks Better!

Objectives: Participants in the program will learn about:
- How to Lead or Sponsor an Anti-Fraud Campaign
- Eight Critical Steps to take to manage fraud risks
- How to recruit executives, managers and employees at all levels to fight fraud
- How to add measurable value to their audits by teaching daily prevention steps

Experience level: Basic

Prerequisites: None Recommended

CPE Hours: 1

Fees:

Full Day Conference: Houston Chapter Members - $80/100 (before/on 5-28) / Non-Members - $110/$120 (before/on). Note the monthly chapter luncheon is included in registration for the full day fraud conference.

Luncheon Only: Houston Chapter Members & by 5/28 - $30/Non-Members - $40

Note that registration for the full day conference will close on Thursday May 28. Registration will only remain open for the luncheon until 9 AM the day of the event, June 1.
The 18th annual Houston Audit Challenge Golf Tournament was held on Thursday, May 21, 2014 at the prestigious BlackHorse Golf Club. This year’s event included 128 golfers. The weather held out for the most part, and let us finish the tournament.

The schedule of events included:
- Closest to the Pin
- Beat the Pro
- Longest Drive
- Bloody Mary and Mimosa Booth
- On the Course Pictures

This year’s tournament winners included:

**1st Place Gross:**
Aaron Stewart, Drew Burgoyne, Kurt Defenbaugh, Todd Amy

**1st Place Net:**
Tyler Swenson, Patrick Flanigin, Steven Faucher, Jeff Sharp

Tournament Sponsors and door prize supporters:

**Ace Sponsor:**
Experis

**Tee Sponsors:**
Half Moon Solutions
Niche Assurance

**In Kind Sponsors:**
BKD
IIA

**Eagle Sponsors:**
Berkeley Research Group
Calpine
Deloitte
Sirius Solutions
Spectra Energy
Vanek Consultancy Group
Woodforest National Bank

**Promotional Supporters:**
Academy
Audimation
BlackHorse Golf Club
Deloitte
Donovan & Watkins
Luby’s/Fuddruckers
Protiviti
Stewart Title

Tournament Committee:
- Tournament Chair - Jim McAnelly, Deloitte
- Sponsorship Coordinator - Jim Tomlinson, Morgan Garris
- Volunteer Coordinator - Jamie Dugas, Deloitte
- Advisor - Eric Murray, Deloitte
Spring 2015 was another successful semester for the University of Houston Internal Audit Student Association (UH IASA). To celebrate, IASA held a Celebration Dinner at the French Corner. We celebrated students who worked hard and earned over 100 points by participating in activities to become active member with the organization. We also extended our thanks to advisors and alumni who strongly supported us along the way.

This semester UH IASA had 13 active members! We would like to recognize each of these students and say ‘huge thank you’ for their engagement and contributions.

<table>
<thead>
<tr>
<th>Active Member Name</th>
<th>Points Earned</th>
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<tbody>
<tr>
<td>Hyunjung Kim</td>
<td>245</td>
</tr>
<tr>
<td>Van Vo</td>
<td>215</td>
</tr>
<tr>
<td>Pai Peng</td>
<td>170</td>
</tr>
<tr>
<td>Chuc Chu</td>
<td>130</td>
</tr>
<tr>
<td>Khyati Sanchiher</td>
<td>130</td>
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<tr>
<td>Ryan Armstrong</td>
<td>130</td>
</tr>
<tr>
<td>Thanh Pham</td>
<td>130</td>
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<tr>
<td>Duy Duong</td>
<td>120</td>
</tr>
<tr>
<td>Audrey Davis</td>
<td>110</td>
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<tr>
<td>Jennifer Wang</td>
<td>110</td>
</tr>
<tr>
<td>Trang Le</td>
<td>110</td>
</tr>
<tr>
<td>Uyen Bui</td>
<td>100</td>
</tr>
<tr>
<td>Veronica Perez</td>
<td>100</td>
</tr>
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We thank all students who participated in the events. All students’ contributions to UH IASA are greatly appreciated! We also would like to recognize our faculty and staff advisors, whose support this semester was outstanding: Dr. Michael Newman, Dr. Mohan Kuruvilla, and Melissa Cruz. Thank you for your guidance and continuous support of UH IASA!

In May, the new officer team had been selected in preparation for the fall 2015 semester. The new team is working through this summer to schedule professional meetings and other events such as social or community service for the fall. New officers and active members volunteered at the 2015 Houston IIA Audit Challenge Golf Tournament on May 21st. We helped with making gift bags, registration, and assisted with the golf course contests. During this event, IASA Officers had the opportunity to network with professionals and invite them to present to UH IASA students in the fall 2015.

As always, we are grateful for the continuous support that the Houston IIA provides with professional events and networking. This enables students to not only learn more about internal audit and expand their professional network, but also have the opportunity to receive internships and full-time jobs as a result of participation in these events. Thank you!

If you are interested in working with IASA, please contact IASA Vice President Tam Huynh at iasa.vp@gmail.com.
SAVE THE DATE - CIA REVIEW COURSE FOR THE HOUSTON IIA CHAPTER

When: July 20 – 24, 2015 (one or several days depending on registration)

Who: Houston IIA members and non-members

Why: This course is offered to assist candidates in their preparation for successful completion of the Certified Internal Auditor (CIA) examination. The course will combine lectures, discussions, and classroom exercises in a diversified approach to exam preparation. The material covered in this review course is basic to the internal auditor’s work; therefore, the course can also be used to provide training to new internal auditors or for maintaining the skills of more experienced auditors.

The course will be instructed by Dr. Glenn Sumners from Louisiana State University. Professor Sumners has over 20 years of professional experience in industry and public accounting. He was named "Educator of the Year" in 1987 by the IIA.

Where: University of Houston, CT Bauer College of Business, 4800 Calhoun St, MH118, Houston, TX, 77004

Prerequisites: No prerequisites for any of the sessions.

Experience Level: Basic (applies to all sessions)

Course Schedule & Fees:
Registration Fee before 6/20/2015:
• PART 1 (July 20 & July 21): $300 for Houston IIA Members and $350 for Non Houston IIA Members
• PART 2 (July 22): $150 for Houston IIA Members and $200 for Non Houston IIA Members
• PART 3 (July 23 & July 24): $300 for Houston IIA Members and $350 for Non Houston IIA Members
• EARLY REGISTRATION STRONGLY ADVISED

Registration Fee on or after 6/20/2015:
• PART 1 (July 20 & July 21): $350 for Houston IIA Members and $400 for Non Houston IIA Members
• PART 2 (July 22): $200 for Houston IIA Members and $200 for Non Houston IIA Members
• PART 3 (July 23 & July 24): $350 for Houston IIA Members and $400 for Non Houston IIA Members
• REGISTRATION DEADLINE IS FRIDAY, JULY 3, 2015

Register: Click here to register.

Questions: Questions can be directed to the Houston Chapter Certification Chair, Lisa Arroyas, at lisa.arroyas@anico.com.
Membership Survey

Your feedback is extremely valuable so that we may continue to improve our service offerings and member benefits. It is your answers to this survey that drives decision making over future benefits, resources and services. As a thank you for completing the survey, you can enter into a drawing for one of two gift cards.

The survey takes 10 - 15 minutes to complete. If you don't have time to complete in one sitting or would like to change your responses before submitting, then please click the “Exit this Survey” icon in the top right corner of the survey page. This will allow you to save your survey results so that you can come back and complete the survey at a later time.

We would greatly appreciate your completion of this survey by June 30, 2015. If you have additional questions, suggestions or comments, please contact Lauren Elkins Harris, VP of Membership at lauren.m.elkins@us.pwc.com

Click here to take the survey.

Thank you for being a Member of the Houston IIA!

IIA International Conference

On behalf of IIA–Vancouver Chapter and IIA–Canada, we invite you to join us in Vancouver, British Columbia, for the 2015 International Conference, July 5–8, 2015.

We are excited to represent North America and our fellow chapters by hosting this annual event that draws more than 2,000 of our colleagues from around the globe for rich educational and networking opportunities. Join us to explore the Mountains of Change ... Oceans of Opportunities for the internal audit profession through 65+ career-spanning sessions plus exciting pre-conference workshops.

Click here to register!
Chapter Achievement Points (CAP)!

One of the ways we gauge our success as a Chapter is through the Chapter Achievement Program (CAP). CAP points are earned for our accomplishments in three areas:

1. Service to Members
2. Service to the Profession
3. Chapter Administration

As of April 30, 2015 we have earned 2,155 CAP points which means we’ve reached our goal and have yet again earned Platinum status! This was possible in large part by our members’ participation in our monthly luncheons and our annual conference. In addition, members have contributed points by submitting details of how they have given back to the profession. There are many ways for our members to earn points. To name a few you could,

- Speak about the profession or internal audit related topics to others outside of your company
- Submit exam questions for the CIA exam
- Participate in an IIA-sponsored Quality Review
- Participate in IIA research projects
- Teach in Junior Achievement
- Submit an article to the Internal Auditor (extra points for getting published!)

Click here for a complete listing.

We would like to give special thanks and recognition to those members who contributed to the profession and reported their contribution to the Chapter to help us earn CAP points. The following are the members who have reported CAP Service to the Profession activities from June 2014 – April 2015.

<table>
<thead>
<tr>
<th>Total Service to the Profession Submissions</th>
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<tbody>
<tr>
<td>Houston IIA Member</td>
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<tr>
<td>---------------------</td>
</tr>
<tr>
<td>Jay Qatato</td>
</tr>
<tr>
<td>Constance Lehmann</td>
</tr>
<tr>
<td>Keith Kahl</td>
</tr>
<tr>
<td>Lyn Beaty</td>
</tr>
<tr>
<td>Wendi Stout</td>
</tr>
<tr>
<td>Becky Noe</td>
</tr>
<tr>
<td>Ryan Hubbs</td>
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<tr>
<td>Jamie DuBray</td>
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<tr>
<td>Michael Porier</td>
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<tr>
<td>Houston IIA Member</td>
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<tr>
<td>---------------------</td>
</tr>
<tr>
<td>Irene Sasaki</td>
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<tr>
<td>Susan Willrodt</td>
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<tr>
<td>Tina Hoang</td>
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<tr>
<td>Yuen Fusselman</td>
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<tr>
<td>Todd Freeman</td>
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<tr>
<td>Ed Tucker</td>
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<tr>
<td>Gayla Samuels</td>
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<tr>
<td>Kevin McMahon</td>
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<tr>
<td>Tara Hall</td>
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</table>

To everyone who has submitted to date, thank you for providing CAP points for our Chapter and giving back to the profession! We know there are many more of our members who have contributed to the profession this chapter year, so let us know what great work you have done! There is still plenty of time to submit your June 2014 – May 2015 activities to Wendi Stout at stout.wendi@gmail.com. For more information, see the CAP section of our website.

Thanks for your help!
Lyn Beaty, Former President and CAP Administrator
LOCATION: Houston, Texas

DEPARTMENT: Financial Reporting

JOB TITLE: Internal Audit Director

COMPANY NAME: Carrizo Oil & Gas, Inc.

JOB DESCRIPTION: Lead the internal audit function by delivering an internal audit plan, a SOX compliance program, as well as improving company control systems. Will have a high degree of leadership, teamwork, and interpersonal skill, along with demonstrable ability to deliver projects on-time.

JOB RESPONSIBILITY: Proactively performs annual internal audit risk assessment process and development of annual audit plan using both qualitative and quantitative analyses to rank areas of risk within the Company. Plans, directs and conducts internal audits to cost-effectively address risks. Plans, directs and conducts SOX testing of key internal controls for financial reporting including assessing risks of errors in financial statements, testing and helping improve effectiveness of internal controls over financial reporting, and assisting management in evaluating classification of noted internal control deficiencies.

JOB QUALIFICATIONS: 10+ years of progressive experience in oil & gas exploration and production, experience with SOX compliance and reporting, and risk assessment experience; public accounting experience preferred.

CLOSING DATE: Until filled

TO APPLY: To apply for this position you may visit the following link: www.carrizo.com

If you are interested, please send your resume to Erin Al-Salman at erin.al-salman@crzo.net
LOCATION: Houston, Texas

DEPARTMENT: Office of Internal Audit

JOB TITLE: Senior Internal Auditor

COMPANY NAME: Houston Community College

JOB DESCRIPTION: The Senior Internal Auditor is responsible for planning and conducting a wide variety of audits, advisory services, and investigations projects. Performs and documents audits and advisory services in accordance with the International Standards for the Professional Practice of Internal Auditing and Practice Advisories established by the Institute of Internal Auditors. Plans, prioritizes, manages, and performs multiple projects and tasks in line with policies of Houston Community College System Internal Audit Department.

JOB RESPONSIBILITIES:

- Lead and/or participate in conducting the preliminary phase of audit and develop the audit program
- Complete or direct the completion of the approved audit program
- Develop or direct development of accurate and complete audit work papers that adequately support audit findings and document work performed
- Prepare or direct preparation of audit points summarizing findings and recommendations and discuss them with the audit client
- Prepare draft audit reports for review and subsequent Director Internal Audit issuance
- Lead a selection of Internal Auditors in conducting an audit project
- Provide direct supervision for Internal Auditors that have been assigned to the Senior Auditor's assignments
- Review work papers and conduct closing meetings, if needed
- Assist Audit Management by conducting risk assessments, including audit programs and tests
- Represent the department within interdepartmental meetings
- Occasional travel within city to perform audit at various HCCS campuses
- Other duties may be assigned

TO APPLY: Interested applicants MUST complete an online application. Visit www.hccs.edu, click on JOBS@HCC at the top.

Prior to completing the online application, please review each job requisition for complete details and requirements.