Notes, Reminders, and Upcoming Events

- Don’t forget about our social hour following the November meeting. The meeting wraps up around 3:00 pm and the social hour will immediately follow. We hope to see you there!

- Congratulations to Aaron Hollingshead, the August survey prize winner! We appreciate all of your feedback and encourage you to continue to complete the surveys.

- Thank you to ISACA for partnering with the KC IIA chapter for the October meeting! We appreciate the opportunity to work together!
DATE: Thursday, October 3, 2013

TIME:
Registration 8:00 AM
Lunch 12:00 PM
Program 9:00 AM – 4:00 PM

LOCATION:
The Ritz Charles
9000 West 137th Street
Overland Park, KS 66221
(Two blocks south of 135th and Antioch Road. Next to the Blue Valley Recreation Complex.)

PROGRAM: Setting your Journey from Data Analysis to Continuous Auditing
Joe Oringel, Managing Director, Visual Risk IQ, LLC
This session is designed to help beginner, intermediate, and also advanced users of data analysis and continuous auditing tools and processes. Through a combination of lecture and individual and group exercises, attendees will accomplish the following learning objectives.

- Review the business case for audit data analytics and identify the skills that are needed for successful execution.
- Explore IIA Guidance for Data Analytics, Anti-Fraud Programs, and for Continuous Auditing. Understand the combination of business, auditing, and technology knowledge domains that are needed to work together to plan and execute a successful data-driven audit project.
- Review common barriers to success with data analytics. Identify available resources and discuss alternatives for overcoming these barriers.
- Develop an audit program for common business processes that considers a mix of metric queries, outlier queries, and traditional exception queries.
- Understand QuickStart methodology that can be applied during audit planning to maximize value of audit data analytics. QuickStart is a tool-neutral approach that works regardless of whether software used will be IDEA, ACL, Tableau, or SQL, etc.
- Define Big Data and discuss Big Data risks.
- Identify organization-specific objectives related to Continuous Auditing, and develop a roadmap to help accomplish those objectives through data analysis projects.

CPE: 6 CPE Hours

MENU: Lunch – Best of the Southwest Buffet
Southwest bean salad, beef and chicken fajitas with sautéed onions & peppers, seasoned ground beef, shredded cheese, lettuce, olives, tomato, onions, salsa, guacamole, sour cream, flour tortillas, taco shells, tortilla chips, refried beans, spanish rice, Tres leches cake and cinnamon sugar sopapillas

Please denote any dietary restrictions when registering and accommodations will be made.

COST: Member: $85
Non Member: $100
Joe Oringel

Joe Oringel is a CPA and CIA with twenty-five years of experience in internal auditing, fraud detection and forensics. He has over ten years of Big 4 external audit, internal audit, and risk advisory experience, prior to helping found Visual Risk IQ. His corporate experience includes information security, internal auditing, and risk and control of large ERP systems for companies in highly regulated industries, including Pharmaceuticals, Utilities, and Financial Services.

Joe and his business partner Kim Jones left PwC in 2006 to start Visual Risk IQ, where they help large, complex organizations take advantage of new and emerging technologies for continuous auditing, continuous monitoring, visual reporting and risk-focused data analysis. Joe studied at LSU’s acclaimed Center for Internal Auditing, where he earned a BS in Accounting. He also has an MBA from the Wharton School at the University of Pennsylvania. Joe has taught on the accounting faculty of UNC-Charlotte in addition to various corporate training experience with both Big 4 and industry firms.

Visual Risk IQ is an alliance partner and implementation specialist for ACL, IDEA, and Tableau, and they deliver tailored solutions for clients both directly and in concert with other firms. Joe and his partner Kim are frequent speakers and authors about continuous auditing and data analysis, and have presented similar topics at MISTI’s SuperStrategies, the IIA’s Governance Risk and Compliance Conference, and numerous Chapter and District Conferences around the country.
### Save the Date!!
#### 2013 – 2014 Schedule of Programs

<table>
<thead>
<tr>
<th>Month</th>
<th>Date</th>
<th>Topic</th>
<th>Speaker/ # CPE's</th>
<th>Location</th>
<th>Price</th>
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</thead>
<tbody>
<tr>
<td>Sept</td>
<td>9/5/2013</td>
<td>Communication at Work - How to Say What You Mean and Mean What You Say</td>
<td>Mary Kay Mueller MK Mueller Enterprises 4 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$85 Member $100 Non-Member</td>
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<td></td>
<td>10/3/2013</td>
<td>Setting your Journey from Data Analysis to Continuous Auditing</td>
<td>Joe Oringel Managing Director, Visual Risk IQ 6 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$95 Member $110 Non-Member</td>
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<td>Nov</td>
<td>11/7/2013</td>
<td>Focus on Internal Control - Is Your Company Ready For the New COSO?</td>
<td>Lynn Fountain Fountain GRC 6 CPE Hours</td>
<td>Kauffman - Plaza</td>
<td>$95 Member $110 Non-Member</td>
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<td>Dec</td>
<td>12/5/2013</td>
<td>Adding Quality to Quality Assessments</td>
<td>Larry Hubbard Larry Hubbard &amp; Associates 3 CPE Hours</td>
<td>Kauffman - Plaza</td>
<td>$85 Member $80 Non-Member</td>
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<tr>
<td>Feb</td>
<td>2/6/2014</td>
<td>Time to get Real - Refocusing Controls to Fight Modern Threats</td>
<td>Daimon Geopfert National Leader, Security &amp; Privacy, McGladrey</td>
<td>Ritz Charles-Overland Park</td>
<td>$85 Member $80 Non-Member</td>
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<td>Evidence Gathering in a Fraud Investigation</td>
<td>H. David Kotz Director, Berkeley Research Group 3 CPE Hours</td>
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<td>Mar</td>
<td>3/6/14</td>
<td>Innovation &amp; Internal Audit</td>
<td>Philip Flora Principal, FloBiz &amp; Associates 6 CPE Hours</td>
<td>TBD</td>
<td>$95 Member $110 Non-Member</td>
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<td>April</td>
<td>4/3/14</td>
<td>Mystery Fraud Event</td>
<td>Timothy Hungerford Hungerford Vinton, LLC 4 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$85 Member $100 Non-Member</td>
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<td>May</td>
<td>5/1/14</td>
<td>Panel Discussions*: Chief Audit Executives C-Level Management Audit Stakeholders</td>
<td>Various Local CAEs, C-Level Management &amp; Audit Stakeholders TBD 3 CPE Hours</td>
<td>TBD</td>
<td>$85 Member $80 Non-Member</td>
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<td>*Members will be surveyed for topics of interest &amp; questions</td>
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<td>June</td>
<td>6/5/14</td>
<td>Materiality: Are You Chasing Geckos or Alligators?</td>
<td>Edmundo Calderon Chief Internal Auditor, City of El Paso 3 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$85 Member $80 Non-Member</td>
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Greetings from the Pittsburg State University IIA Student Chapter (IIASC) and the Internal Auditing Education Partnership program (IAEP). The Fall 2013 semester is off to a great start and the officers have been busy with planning and recruitment for the Student Chapter and the Internal Auditing Education Partnership program. Officers for the 2013-2014 academic year are:

President: Jacquelyn Allen  
VP of Membership: Amber Marks  
VP of Finance: Chris Steward  
VP of Communications: Kendra Spears  
VP of Information Systems: Stefan Huggins

Our first meeting of the semester was held Wednesday, September 4, 2013. Ben Burns, VP of Internal Audit and Due Diligence for Leggett & Platt, Inc., gave a riveting presentation entitled “The Internal Auditors’ Role in Fraud Prevention and Operational Improvement”. Mr. Burns is a PSU alumnus and a member of the Pittsburg State University Internal Auditing Education Partnership Advisory Board. While attending Pittsburg State, Mr. Burns was one of the first students to complete the Internal Auditing Education Partnership program. The meeting was a great success with over 60 in attendance.

Our next meeting will be held Wednesday, October 2, 2013. Roy Greenway, General Auditor for the City of Kansas City, Missouri will present “Interviewing and Interrogation Techniques for Internal Auditors”. We are anticipating another great turnout.

Our service project for the semester will be a coat drive. We will collect donations of clean, gently used coats in Pittsburg to donate to the Pittsburg Salvation Army. Additionally, we would like to collect donations of coats from members at the IIA KC Chapter meetings in November and December to donate to the Kansas City Salvation Army.

We have had a powerful, exciting start to the new school year, and we intend to continue to grow and prosper throughout the year. We would not be able to sustain all we do without the support of the Kansas City Chapter of the IIA. The members of the IIASC and IAEP program at Pittsburg State University are very appreciative of your support.

If you are interested in learning more about the IAEP program at PSU or would like information about recruiting at PSU, please contact Becky Casey (rcasey@pittstate.edu) or Steve Haenchen (shaenchen@pittstate.edu).
Mike Jacka, CIA, CPA, CPCU, CLU, worked within internal audit for nearly 30 years working with Farmers Insurance Group. He is currently Co-founder and Chief Creative Pilot for Flying Pig Audit, Consulting, and Training Services (FPACTS). A popular speaker and co-author of three books, you can follow Mike on Twitter under his personal handle (@figre) or that of FPACTS (@FlyingPigSpeaks).

A (Maybe I Should Just Quit Calling them Quick) Note About Where Ideas Come From

This blog is called "From the Mind of Mike Jacka". Let's take a scary little visit into that mind.

People often want to know how one gets started being creative and what one should do to be creative and, the ultimate impossible to answer question, "Where do you get your ideas?"

Attached is a picture that shows how creativity, idea generation, and innovation came together for me yesterday.

I've got an idea for a project I'm thinking of pitching to the IIA based on some of Seth Godin's work. (At this very moment, a collective chill went through the spines of IIA Headquarters' staff as they all started trying to figure out how to make me someone else's problem.)

As I grappled with what this project would really be "about", I started scribbling.

That color-coded conglomerate of uncalibrated calligraphy captured in the attached image
is my personal brainstorming session. It is the way, in this instance, I recorded and coordinated (yes, those are coordinated) my ideas. (And, since this picture was taken, more has been added.)

Now I draw your attention to a little area on the far right hand side. I know you can't see it that well, but there is a section in purple just below all the writing in blue.

While generating ideas around my proposal, I found myself traveling down a strange little wormhole. That wormhole will be the subject of my next column in the magazine. (Is that David I see suddenly hiding under his desk?)

Had I not been exploring, had I not been stretching, had I not been frantically documenting, and had I not been keeping my eyes open, what I think will be an excellent topic for discussion might never have come to be.

No one really has the ultimate answers to the how, what, and where of creativity. Everyone has to come up with their own answers. But to do that you have to explore and find your way.

And I'll climb on my soapbox one more time.

I've said it before; I'll say it again. To succeed – to maintain relevance in the future – internal auditors must embrace creativity and innovation.

Now, go out and start scribbling.
2013 – 2014 Chapter Leadership

**Officers:**
Rob Carter – President
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Angela York – VP Programs
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Tony Kisner – Treasurer
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Josh Kettler – Secretary
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Rick Wright – VP Services
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Luke Sims – VP Web Services
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**Board – Past Presidents:**
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Stephanie Jones
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**District Representative:**
Stephanie Jones
Stephanie.Jones@Pentana.com

**Ex-Officio Member:**
Becky Casey
rcasey@pittstate.edu

If you have any questions or would like to submit an article for the newsletter, please email Rick Wright, VP Services, at Rick.Wright@yrcw.com.