From the President...

I hope everyone has had a great start to 2014! As a chapter, we have a lot to look forward to over the coming year. Our nominations committee has been busy preparing the official slate for our membership to vote upon. We are pleased to announce the following slate:

2014 – 2015 Slate of Officers and Board of Governors for Elections:

- President: Angela York
- VP-Programs: Marie Nelson
- VP-Membership: Sean Brough
- VP-Services: Rick Wright
- VP-Web Services: Anthony Middleton
- Treasurer: Tony Kisner
- Secretary: Ryan Anstaett
- Board Member: Tony Jackson
- Board Member: Marc Woodward
- Board Member: Scott Way
- Board Member: Barb Schaffert

This slate will be voted upon at the March KC IIA meeting. Please be sure to attend and make your vote count! I would like to thank each of the above individuals for their willingness to volunteer and serve as leaders for our chapter. Also, I would like to thank Stephanie Jones and Doug Welch for your work on the nominations committee.

Thank you to all our attendees of the IIA onsite training, Beginning Auditor Tools & Techniques. This first time offering of specialized training was a success and I hope there is support to continue offering this in the future with various topics. The success is also due in large part to the support provided to our chapter by our membership. Specifically for this specialized training, I want to extend a special thank you to Protiviti, Inc. and McGladrey LLP for providing lunch and refreshments!

I hope to see each of you soon at a meeting!

Rob Carter
2013-2014 KC IIA President
March 2014 Meeting Information

DATE: Thursday, March 6, 2014

TIME: Registration 8:00 AM Program 9:00 AM – 4:00 PM
Lunch 12:00 PM
*Social Hour following event at Café Trio on the Plaza

LOCATION: The Kauffman Foundation Conference Center
4801 Rockhill Road
Kansas City, MO 64110
(One block north of the UMKC campus.)

PROGRAM: Innovation and Internal Audit
Phil Flora, Principal, FloBiz & Associates

The changing world and resulting impact to organization’s business environment is something that auditors need to be better equipped to recognize and address. Considering innovation will enable auditors to better meet primary stakeholder expectations through process enhancements that focus on organizational changes, expectations, strategic plans/initiatives and efficiency/effectiveness. This session will cover the following learning objectives:

- Understand what innovation is and how it relates to internal audit
- Realize the barriers to innovation
- Learn why auditor innovation is necessary for auditors to continue meeting primary stakeholder expectations and stay relevant
- Understand the reasons that internal audit functions should consider innovation in the development/execution of their Quality Assurance and Improvement Program
- Identify ways to address emerging issues and how innovation can be used
- Recognize the importance of considering strategic initiatives in the annual risk assessment
- Learn about strategic auditing/consulting to better help your organization manage risk
- Share resources and a tip list for consideration in determining how innovation and greater strategic auditing strengthens the Internal Audit value proposition and brand

CPE: 6 CPE Hours

MENU: Lunch – Kansas City-Style BBQ
Kansas City-style beef brisket and pulled pork, baked beans, coleslaw, garden salad, dinner rolls, and cookies

Please denote any dietary restrictions when registering and accommodations will be made.

COST: Member: $95
Non Member: $110
**Save the Date!!**

**2013 – 2014 Schedule of Programs**

<table>
<thead>
<tr>
<th>Month</th>
<th>Date</th>
<th>Topic</th>
<th>Speaker/ CPEs</th>
<th>Location</th>
<th>Price</th>
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<tbody>
<tr>
<td>September</td>
<td>9/5/2013</td>
<td>Communication at Work - How to Say What You Mean and Mean What You Say</td>
<td>Mary Kay Mueller MK Mueller Enterprises 4 CPE Hours</td>
<td>Ritz</td>
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<td>Charles-Overland</td>
<td>$100 Non-Member</td>
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<td>October</td>
<td>10/3/2013</td>
<td>Setting your Journey from Data Analysis to Continuous Auditing</td>
<td>Joe Oringel Managing Director, Visual Risk IQ 6 CPE Hours</td>
<td>Ritz</td>
<td>$95 Member</td>
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<td>November</td>
<td>11/7/2013</td>
<td>Focus on Internal Control - Is Your Company Ready For the New COSO?</td>
<td>Lynn Fountain Fountain GRC 6 CPE Hours</td>
<td>Kauffman - Plaza</td>
<td>$95 Member</td>
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<td>December</td>
<td>12/5/2013</td>
<td>Adding Quality to Quality Assessments</td>
<td>Larry Hubbard Larry Hubbard &amp; Associates 3 CPE Hours</td>
<td>Kauffman - Plaza</td>
<td>$65 Member</td>
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<td>February</td>
<td>2/6/2014</td>
<td>Time to get Real - Refocusing Controls to Fight Modern Threats</td>
<td>Daimon Geopfert National Leader, Security &amp; Privacy, McGladrey 3 CPE Hours</td>
<td>Ritz</td>
<td>$65 Member</td>
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<td>9:00am to 12:00pm</td>
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<td>Evidence Gathering in a Fraud Investigation</td>
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<td>H. David Kotz Director, Berkeley Research Group 3 CPE Hours</td>
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<td>March</td>
<td>3/6/14</td>
<td>Innovation &amp; Internal Audit</td>
<td>Philip Flora Principal, FloBiz &amp; Associates 6 CPE Hours</td>
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<td>April</td>
<td>4/3/14</td>
<td>Mystery Fraud Event</td>
<td>Timothy Hungerford Hungerford Vinton, LLC 4 CPE Hours</td>
<td>Ritz</td>
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<td>May</td>
<td>5/1/14</td>
<td>Panel Discussions*: Chief Audit Executives, C-Level Management &amp; Audit Stakeholders</td>
<td>Various Local CAEs, C-Level Management &amp; Audit Stakeholders TBD 3 CPE Hours</td>
<td>TBD</td>
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<td>*Members will be surveyed for topics of interest &amp; questions</td>
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<td>June</td>
<td>6/5/14</td>
<td>Materiality: Are You Chasing Geckos or Alligators?</td>
<td>Edmund Calderon Chief Internal Auditor, City of El Paso 3 CPE Hours</td>
<td>Ritz</td>
<td>$65 Member</td>
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Chapter News & Announcements

Calling all CAE’s

We have recently started a new LinkedIn subgroup just for CAE’s. If you are the CAE of your internal audit function and would like to join this discussion forum, simply search LinkedIn Groups for "KC IIA CAE Roundtable" and select "Join".
Chapter News & Announcements

2013-14 CAP Points

We are always calculating our Chapter Achievement Program points and we need to know when our members participate in either of the following activities:

1. Speaking on an internal audit topic at a meeting, seminar, college/university or attending a CPE class.
2. Submitting an article to a trade publication such as The Internal Auditor, CAE Bulletin, IIA Educator, and other specialty newsletters.
Congratulations!!

To the following individuals who recently completed the requirements for IIA certifications! Nice job!!

Ann Toy  Certified Internal Auditor (CIA)
Kristy Erck  Certified Internal Auditor (CIA)
Samantha McBee  Certified Financial Services Auditor (CFSA)

Welcome to our newest members!!

Matthew Silver  Euronet Worldwide Inc
Aaron Toren  Euronet Worldwide Inc
Christy Morton  Federal Reserve Bank of Kansas City
Susan Kiernan  H&R Block
Tanner Sullivan  H&R Block
Sherry Golden  HNTB
Joshua Back  Kansas City Power & Light Co.
David Douglass  Kansas City Power & Light Co.
Spencer Gibbons  MidCountry Financial Corp.
Ryan Maupin  Protiviti
Nicholas Maese  Seaboard Corporation
Zach Schelp  Seaboard Corporation
Amanda Schmitt  St Jo Frontier Casino
Inna Frimerman  Truhome Solutions
Ronald Bibb  UMB Bank NA
Annie Auld  Waddell & Reed Financial Inc
Campus Corner

Student Night is Coming – April 3rd

The Annual KCIIA Student Event will take place during and after the April 3rd chapter meeting. Students are invited to attend the chapter meeting (including lunch) from 11am – 4pm (registration is always free for students). Then, at the conclusion of the meeting, KCIIA chapter members will be present to meet with students to:

- Answer questions and discuss the many benefits and opportunities of a career in internal auditing
- Review resumes and provide tips to help them stand out in a crowd
- Perform mock interviews to give students the opportunity to practice and receive feedback in a safe environment

Volunteers Needed

We are in need of 10-15 KCIIA chapter members to help make Student Night a success. Volunteers are needed to meet with students to discuss the internal auditing profession, review resumes, and conduct practice interviews. If you are interested in participating as a volunteer, please contact Rick Wright (rick.wright@yrcw.com) for more information.

Also, if you have connections with faculty or administration at local universities and would be willing to help promote this event, please contact Rick.

Academic Relations Committee

We are looking for chapter members who are interested in helping to grow our chapter’s engagement with local universities and students. If you enjoy the world of academia and interacting with college students and are interested in helping out, please contact Rick Wright (rick.wright@yrcw.com) for more info.
Financial/Operational Audit Team Lead
Location: Kansas City, MO

Blue Cross Blue Shield of Kansas City - Financial/Operational Audit Team Lead

For 75 years, Blue KC has been a pillar in greater Kansas City providing health coverage services to more than one million residents. We proudly offer our employees comprehensive benefits, ongoing training and opportunities for career growth.

Summary of Position:

Provide day-to-day oversight and coordination of Financial / Operational Internal Audit team activities (e.g., internal audits, consulting projects, and audits required or conducted by other entities) and ensures the quality and consistency of team projects. Perform internal audits, consulting projects, and other projects as assigned.

For more details and to review other job opportunities, please visit the KCIIA Career Center
Richard Chambers, CIA, CGAP, CCSA, CRMA, shares his personal reflections and insights on the internal audit profession.

To Recruit the Best and Brightest, Internal Audit May Need to "Lighten Up"

I often have commented in this blog on how vital talent is to the effectiveness of an internal audit function. Not only is it important for internal audit to have seasoned staff to assess risks and controls, but it is becoming increasingly important to infuse the department with fresh technology skills, analytical thinking, and other competencies that stretch beyond the accounting skills for which auditors traditionally have been known.

Following up on my recent blog on retention, I wanted to expand the discussion to recruiting new talent for our organizations. Specifically, what are we doing well, and what else could we be doing to attract the best and the brightest to the internal audit profession?

Recently, as I was contemplating creative ways to attract talent, I was struck by this musical message from Protiviti, in which a young employee strums a ukulele while singing about the many reasons he enjoys working for the company. Although Protiviti’s approach (which is part of an entire content marketing strategy) may be unorthodox, it is a good example of how one company is using the Internet and social media to show, rather than tell, its story. I was drawn to a blog entry about how multiplatinum recording artist Dave Haywood (of the music group Lady Antebellum) began his career as a recruiter at Protiviti.

The Big Four accounting firms have taken a similar approach, using blogs, Facebook, YouTube, and recruiting microsites to connect with millennials through their favorite channels. The IIA similarly is reaching out to the next generation through our Internal Auditing Education Partnership program and our tri-language video “Internal Auditing: A Career for Today, A Career for Tomorrow.”

It’s not hard to understand why. We can talk exhaustively about what we like about internal auditing and why it is a great profession in which to spend one’s career. However, to grow the profession effectively, we need to get young professionals and others to see themselves liking it. For internal auditing to be perceived as an attractive career option, we need to emphasize those things that are viscerally appealing and why this field resonates with us.

A recent global survey by recruitment and staffing agency Kelly Services found that personal growth, fulfillment, and advancement opportunities account for 75 percent of a person’s career choice. Only one in five respondents ranked compensation as their top factor.
I contend that when it comes to personal growth, fulfillment, and advancement opportunities, there are few professions that could compare with internal auditing. How many other careers afford young people and other newly minted professionals such an “up close and personal” view of the forces — internal and external — driving an organization’s success and failure? What other profession offers so much opportunity for life-long learning and cross-training? And what could be more exhilarating than lifting the hood of an organization and helping it to keep running by listening for the signals of risk amid the whirring of the corporate engines?

In a recent issue of *Audit & Risk*, a magazine published by the Chartered Institute of Internal Auditors in the United Kingdom, I offered my personal tribute to the profession and argued that it might truly be the “coolest profession on earth.” Clearly, I’m biased. But then, internal auditing has afforded me incredible opportunities and taken me around the globe and into the halls of some of the world’s largest companies and influential governments. In the past few weeks alone, I’ve traveled a third of the world — trekking across Africa, Europe, and most recently, China.

Never before have I been so excited about the opportunities for our profession as it assumes an increasingly important role in ensuring effective risk management, sound systems of controls, and trusted systems of corporate governance.

What do you like about our profession? How would you describe it to a young person looking to start a career? How can we become a talent magnet? Feel free to forward this to your friends in the profession and challenge them to respond. I think we could all benefit from putting our combined brainpower behind this topic.
2013 – 2014 Chapter Leadership

**Officers:**
Rob Carter – President
Christee Highbarger – VP Membership
Angela York – VP Programs
Tony Kisner – Treasurer
Josh Kettler – Secretary
Rick Wright – VP Services
Luke Sims – VP Web Services

Carter@compassminerals.com
Christee.highbarger@npcinternational.com
Angela.York@kcpl.com
KisnerA@bv.com
Juoshua.Kettler@McGladrey.com
Rick.Wright@yrcw.com
Luke.Sims@us.gt.com

**Board:**
Karen Begelfer
Melissa Ryan
Nancy Frazee
Keith Ohland
Monica Vegge
Doug Vogl
Amy Walker
Randy Weih

Karen.Begelfer@Sprint.com
Meryan@epiqsystems.com
Nfrazee@kc.rr.com
Keith.Ohland@umb.com
mvegge@kcp.com
Dvogl@kcsouthern.com
Amy.Walker@hrblock.com
Randy.Weih@yrcw.com

**Board – Past Presidents:**
Doug Welch
Stephanie Jones

Dwelch@kckcc.edu
Stephanie.Jones@Pentana.com

**District Representative:**
Stephanie Jones

Stephanie.Jones@Pentana.com

**Ex-Officio Member:**
Becky Casey

rcasey@pittstate.edu

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If you have any questions or would like to submit an article for the newsletter, please email Rick Wright, VP Services, at Rick.Wright@yrcw.com.