From the President...

Thank you to everyone who attended the March meeting. At the meeting, we held our annual vote to formally elect our 2013-2014 Chapter Officers and Board of Governors. The nominations were approved by our members. Congratulations to those newly elected! Several of these Officers will be representing our chapter at the upcoming 2013 Leadership Academy in Orlando, FL April 28th-30th. While at the Conference, they will have a chance to learn more about their role, chapter operations, and receive updates from IIA Headquarters.

Also, I wanted to thank those folks that continue to fill out the survey that is sent each month after the meeting. We review the results and comments during our monthly Board meetings and appreciate your constructive comments. We also look at your suggestions for programs for the upcoming year and consider those when building the programs slate. Your voice is heard!

One last thing...have you had a chance to check out our new website? The format and content has changed to become more consistent with IIA Headquarters and with other chapters. If you haven’t already, please take a moment to look around and familiarize yourself with our website.

See you at our April meeting! This will be our annual student event, so if you would like to volunteer to help with the resume review or mock interviews, please let Aaron Hollingshead, VP-Services, know at Aaron_Hollingshead@americancentury.com.

Sincerely,
Stephanie Jones
2012-2013 KC IIA President
DATE: Thursday, April 4, 2013

TIME: Registration 11:00 AM
Lunch 11:00 AM
Program 12:00 PM – 4:00 PM

LOCATION:
The Ritz Charles
9000 West 137th Street
Overland Park, KS 66221
(Two blocks south of 135th and Antioch Road. Next to the Blue Valley Recreation Complex.)

PROGRAM:
Auditor Business Ethics and Critical Thinking Skills
Patrick Kuhse

CPE: 4 CPE Hours
MENU: Lunch – Ritz KC BBQ Buffet
   Fresh Fruit Salad, Creamy Cole Slaw, Potato Salad, Beef Brisket, Grilled Chicken, Baked Beans, Au
   Butter, Strawberry Shortcake

Please denote any dietary restrictions when registering and accommodations will be made.

COST: Member: $85
Non Member: $100
Patrick J. Kuhse

Patrick’s dynamic presentations have entertained, enlightened and inspired countless audiences throughout the country. From Harvard to Stanford to Boeing, he is a favorite on college campuses and is a frequent keynote presenter and guest speaker at various forums including in-house seminars, industry and trade conferences.

Patrick utilizes his 20+ years of experience as a financial planner, stockbroker, entrepreneur, international fugitive and federal inmate to uniquely translate common critical thinking errors into our everyday personal and professional ethical challenges and dilemmas. Speaking with high energy and passion, Patrick has the remarkable ability of capturing and holding the audience’s attention with a fast-moving combination of stories, engaging examples, spontaneous humor and concrete, practical ideas and strategies that guarantee results. He takes his audiences with him as he describes his descent down the “slippery slope” of unethical behavior, his critical thinking errors, his gradual lapse into unprofessional ethics and the “greed is good” philosophy he encountered. He shares how “seemingly unimportant decisions” created such havoc in his life and the lives of loved ones, and then masterfully ties his poignant messages together using examples taken right out of today’s headlines.

Rarely in the business world has someone like Patrick actually analyzed personal failure in business ethics and used the lessons learned to develop practical, constructive strategies for success. He actively involves his listeners in the discussion and tailors topics to fit the interests of individuals, businesses and professional associations. He welcomes questions and greatly enjoys the spontaneity of a dialogue with members of the audience. Patrick makes audiences laugh ... and he makes them think. Audience members discover how to apply his messages to become better people, ethical professionals and more effective employers/employees.
Save the Date!!

2012 – 2013 Schedule of Programs

<table>
<thead>
<tr>
<th>Month</th>
<th>Date</th>
<th>Topic</th>
<th>Speaker/ # CPE's</th>
<th>Location</th>
<th>Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>September</td>
<td>9/6/2012</td>
<td>IT Auditing for the Non-IT Auditor</td>
<td>Danny Goldberg Sunsera 6 CPE Hours</td>
<td>Clubhouse on Baltimore - Downtown KC</td>
<td>$35 Member</td>
</tr>
<tr>
<td></td>
<td>10/4/2012</td>
<td>Audit Planning to Address Fraud</td>
<td>Glenn Sumners Director, Center for Internal Auditing, Louisiana State University 6 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$110 Member</td>
</tr>
<tr>
<td>November</td>
<td>11/1/2012</td>
<td>Professional Sports Finance Overview</td>
<td>Dan Crumb CFO, Kansas City Chiefs</td>
<td>Ritz Charles-Overland Park</td>
<td>$100 Member</td>
</tr>
<tr>
<td></td>
<td>12/6/2012</td>
<td>Applying Data Analytics to Efficiently Audit Vendors and Contractors</td>
<td>Paul Pettit Director, Protiviti</td>
<td>Clubhouse on Baltimore - Downtown KC</td>
<td>$85 Member</td>
</tr>
<tr>
<td>December</td>
<td>2/7/2013</td>
<td>Enterprise Risk Management: Achieving and Sustaining Success</td>
<td>Paul Sobel VP, CAE of Georgia-Pacific LLC</td>
<td>Ritz Charles-Overland Park</td>
<td>$100 Member</td>
</tr>
<tr>
<td>March</td>
<td>3/7/2013</td>
<td>Maternity: Are you chasing Geckos or Alligators?</td>
<td>Mohammad Alim Chihara Chief Internal Auditor, City of El Paso 3 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$85 Member</td>
</tr>
<tr>
<td>April</td>
<td>4/4/2013</td>
<td>Auditors Business Ethics and Critical Thinking Skills</td>
<td>Patrick Kuhse Int’l Speaker on Ethics 4 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$100 Member</td>
</tr>
<tr>
<td>May</td>
<td>5/1/2013</td>
<td>Fraud: Advanced Concepts for Internal Auditors</td>
<td>John Hall Hall Consulting 6 CPE Hours</td>
<td>TBD</td>
<td>$110 Member</td>
</tr>
<tr>
<td>June</td>
<td>6/5/2013</td>
<td>Reinventing Internal Audit, Horizontal Auditing</td>
<td>Mike Somich Executive Director of Internal Audit, Duke University 3 CPE Hours</td>
<td>Ritz Charles-Overland Park</td>
<td>$80 Member</td>
</tr>
<tr>
<td>August</td>
<td>8/1/2013</td>
<td>Deriving value from SOC Reports</td>
<td>Kurt Seale Principal, Grant Thornton LLP 3 CPE Hours</td>
<td>TBD</td>
<td>$80 Member</td>
</tr>
</tbody>
</table>
Auditor: n) from the Anglo-French auditour — listener.

Are you a good listener? Funny how “auditor,” a word that started out as something so desirable, has come (for some) to evoke fear and dread — like “dentist.”

In my previous blog on “tone,” I wrote about perception and the written word. Today I’d like to revisit perception as it pertains to the way we present ourselves in person. I touched on this in a blog back in July 2012, but it bears repeating.

We are victims of the stereotypes we perpetuate. Mention the word “audit” to most people and their anxiety level will rise. For some, the closest association emotionally would be that of getting a traffic ticket. Think about it. How different would it make you feel if the policeman who pulled you over, instead of just jumping in with bad news, complimented you on your driving and positioned himself as there to help you? Okay, maybe that analogy is a stretch.

Our professional standards say we have to be objective, but that doesn’t mean we can’t be human. There’s already a tendency for people to see internal auditors as cold and impersonal. I suggest that it is incumbent upon us to change this stereotype, by 1) acknowledging that it exists, and 2) actively working to change it by honing our people skills.

The point is that successful internal audit engagements/interviews often can begin by simply breaking the ice. A few minutes invested in getting to know the people we are auditing or interviewing as people instead of “auditees” (gosh, I hate that term), can pay dividends throughout the process, and especially when it comes to getting buy-in on implementation of audit recommendations.

Despite the stereotypes, internal auditors are human first. It’s only in trying so hard to be objective that we may accidentally flip our personality switches to off, and I believe we do so to our own detriment. I think it is possible to be collegial and objective at the same time — to “trust but verify,” as the old expression goes.
It may help to recognize that audited activity officials face exactly the same issues and challenges we do. They are under the same time pressures and the same resource constraints. The only difference is that we are fortunate enough not to be the ones being audited. And, as I often have pointed out, we should be very glad that is the case, because I am not so sure that everything we do as internal auditors is as efficient as possible.

What I’m really talking about here is empathy. Submitting to an audit is an exercise in vulnerability. A little basic human understanding can go a long way toward changing the way people think of internal auditors. Remember, our goal here is to improve the organization. That usually works best when we all work together.

I welcome your thoughts on this timeless topic.

*Posted on March 25, 2013 by Richard Chambers*
2012 – 2013 Chapter Leadership

**Officers:**
Stephanie Jones – President  
Christee Highbarger – VP Membership  
Rob Carter – VP Programs  
Jonathan Wiltse – Treasurer  
Rachel Rohrer – Secretary  
Aaron Hollingshead – VP Services  
Kimberly Harper – VP Web Services

**Board:**
Gordon Braun  
Tony Jackson  
Nancy Frazee  
Keith Ohland  
Monica Vegge  
Doug Vogl  
Amy Walker  
Randy Weih

**Board – Past Presidents:**
Lael Holloway  
Doug Welch

**District Representative:**
Jason Minard

**Ex-Officio Member:**
La Donna Flynn

If you have any questions or would like to submit an article for the newsletter, please email Aaron Hollingshead, VP Services, at Aaron_Hollingshead@americancentury.com.
Why Pursue the CIA Certification?
Melissa Leonard, Chapter Coordinator

Summer is over, and the new IIA Chapter year is starting. All the excuses for why you don't have time to study have now gone back to school. No more summer vacations, family gatherings, or beautiful summer days to distract you from your professional goals. As this new chapter year gets started, I want to remind everyone why they need to pass the CIA exam.

First, passing the CIA exam will be a huge personal achievement. It is not easy to study after work and sit through an examination, but once finished, you will feel on top of the world. This positive attitude will be reflected in both your job and your home life. Once you've conquered the first part of the exam, your momentum will carry you through the remaining ones.

Second, it can open professional doors. What if you don't have any experience in internal auditing? Attaining a professional certification shows to potential employers your willingness and determination to succeed. With the high demand for auditors, employers may be willing to hire employees who have no experience if they have the proper educational training and certification. Passing the CIA exam is the best way to open doors to the auditing world.

Third, showing managers your commitment and drive can advance your current job. Being motivated to master a certification, while balancing professional and personal responsibilities, will demonstrate to your supervisors the drive and determination that you possess, not to mention the new skills attained and refined. Even if your employer does not acknowledge your certification directly, they will notice your hard work and effort.

Remember, as a Kansas City Chapter member, you will receive a 20% discount on the Gleim CIA Review materials. Gleim has been the trusted name in CIA exam preparation for over 30 years. Contact Melissa at 800.874.5346, ext. 131, or melissa.leonard@gleim.com to take advantage of this offer or if you have any questions. Do you have feedback on what you have read or suggestions on future topics? Maybe you are studying for the exam or have recently passed and want to share tactics you used. Email Gleim at iiachapters@gleim.com with your comments.
Kansas City Chapter News!

Chapter Achievement Program Update:
As of February 28th, we have earned 1,415 cap points for the chapter year (July 1, 2012 thru June 30, 2013) which is GREAT news!

We have completed the requirements in all areas (Service to Profession, Service to Members, and Chapter Administration). Overall, we are 145 points shy of reaching gold status for the year. With a few months left and the support of our officers and members, we feel comfortable that we will once again attain gold status. If you have any questions or have additional questions about the various activities that are counted for points, please contact Doug Welch, CAP Administrator at 816-854-7773.

THANKS for all your efforts!!!!

Doug Welch
CAP Administrator
816-854-7773

Welcome New Members!
We would like to recognize and welcome the following new members to the Kansas City Chapter of the IIA!

David Krause – H&R Block
Joe Krienert – Blue Cross Blue Shield of Kansas City
Maria Seedorff – Blue Cross Blue Shield of Kansas City
Mark Craig – Black & Veatch
Michael Plank – National Advisors Trust Company
Mike Shrock – Dickinson Financial Corporation
Naima Mohammed – Office of Inspector General
Nancy Cook – Zenith American Solutions
Sarah Duckwitz – Community America Credit Union

Final Stretch for a new iPad!
Here’s how to win: For each meeting that you attend, you will be entered into a drawing to win the new iPad with Wi-Fi – 16GB. The contest will start with the September 2012 meeting and will end with the May 2013 meeting. The winner will be randomly selected and announced at the conclusion of the May 2013 meeting. You need not be present to win. Remember, the more meetings you attend, the better chance you have to win! Good luck!