November 2017 Newsletter

In this month’s newsletter...
- November 2017 Event: Governance with Lori Cox
- CAE Spotlight: Bob Rudloff, MGM Resorts
- Upcoming Events
- Learning Opportunities
- CIA Study Materials – Special Offer
- Hot Jobs

November 2017 Event: Governance with Lori Cox

Lori Cox, CIA, CGAP, CCEP is the Chief Audit Executive (City Auditor) for the City of Tempe, Arizona. She provides executive leadership, direction, and management to the Internal Audit Office. Part of her role includes consulting on key issues and conducting special investigations. She also oversees the City’s Employee View program which encompasses the suggestion program and fraud reporting lines.

Prior to her position with the City of Tempe, Lori served as the Director of Internal Audit for Pima Community College in Tucson, Arizona. Lori also served for two years as Technical Director, Standards and Guidance at the Institute of Internal Auditors. Additionally, she has held director and supervisory audit positions with the Puyallup Tribe of Indians in Tacoma, Washington, the Pascua Yaqui Tribe in Tucson, Arizona, Metromedia Restaurant Group, and CVS/Pharmacy.

Lori graduated from the University of Arizona with a bachelor’s degree in Business Administration and earned an Executive Masters of Business Administration from Grand Canyon University. She is a Certified Internal Auditor, a Certified Government Audit Professional, and a Corporate Compliance and Ethics Professional (CCEP). In addition, she currently serves as the IIA District Advisor for the South Region, District 4 (covering the Albuquerque, El Paso, Las Vegas, Phoenix, and Tucson Chapters). She is a member of the IIA’s Chapter Relations Committee and a member of the Association of Local Government Auditors’ (ALGA) Professional Issues Committee.

Lori has developed and presented numerous seminars on leadership, ethics, and governance around the world. She is an Institute of Internal Auditors Distinguished Faculty Member and facilitates seminars for the IIA on audit tools and techniques, report writing, leadership skills, and creative problem solving for auditors. November’s event, Governance: The Auditor’s Contribution: Auditing standards note the internal audit activity’s requirement to assess governance. But how do auditors do that? This workshop will explore the following questions: 1) What does good governance look like? 2) How do auditors contribute to strong governance? 3) How can auditors audit governance? Register Here!

Event Information
Thursday November 16
11:30 AM – 1:30 PM
Tommy Bahama’s – Town Square
6635 South Las Vegas Blvd.
Las Vegas NV 89119

Cost
Students: $25
Members – Preregistration: $60
Members – At the Door: $70*
Non-Members – Preregistration: $70
Non-Members – At the Door: $80*

*First come first serve, limited number of seats available (50). Early registration recommended!
CAE Spotlight Series

Name: Bob Rudloff
Title: Senior Vice President of Internal Audit
Company: MGM Resorts
Years at Company: 14
Industry: 37

Education/Background
Bob has a Bachelor of Science in Accounting and Art, as well as an MBA, CIA, CRMA, and CFE. After growing up in Vermont and finishing college, he started his career 37 years ago in Atlantic City at Harrah’s Atlantic City and the Trump Plaza and Taj Mahal properties. He also spent time with PwC working with tribal gaming, pharmaceuticals, Starbucks, the National History Museum of NYC, and more!

Failures and Successes
When asked what his greatest success was, Bob responded, “Surviving 37 years!” Bob has had many opportunities and successes over the years. He has gone through several initiatives, openings and closings of properties, and traveling to places like Macau. Bob counts himself lucky to have a resilient team that can overcome challenges as intense as facing typhoons in Macau and hurricanes on the east coast.

Challenges for IA at MGM
“It is a perpetual challenge to find talent.” Bob notes that while back of house has plenty to choose from, this isn’t a natural market for the professional services area. You must be persuasive to pull the right people in. “We’re trying to find people in the market as well as broaden outside of UNLV or the property, especially depending on the role to be filled.” Bob and his team also face the challenge of rightsizing in an ever-growing and ever-changing international company and incorporating the use of formal data analytics.

Growing Your Career
Bob worked his way to the top through the Gaming and Internal Audit industries, starting as a staff auditor and working his way to senior, supervisor, director, vice president, and, finally, senior vice president. On the topic of success, Bob says, “Love what you do. Internal Audit is challenging; be passionate about what you do, or be truthful with yourself, and find something else.”

Mentorship and Sponsorship
Bob is very passionate about mentorship and sponsorship. He is dedicated to ensuring IA leadership provides guidance to their team, and is even formalizing a mentorship program where managers and above can be paired with those looking for a mentor. His philosophy for his management staff is to not be a manager, be more of a “technical mentor or coach.” MGM sets the tone at the top for strong leadership. “The CEO really supports the team, especially over the last few weeks.”

Keeping the Team Motivated
Bob says, “Don’t forget about your people. Stay on the leading edge.” MGM offers an Audit Forum for one day in Fall and two days in Spring. Auditors get the opportunity to hear from speakers on various topics, learn more about Internal Audit, and get a break from the daily grind to get reenergized and motivated.
### Upcoming Events

<table>
<thead>
<tr>
<th>Month</th>
<th>Upcoming Events</th>
</tr>
</thead>
<tbody>
<tr>
<td>December 2017</td>
<td>Leadership &amp; Well-Being</td>
</tr>
<tr>
<td>January 2018</td>
<td>Gaming Control Board/Anti-Money Laundering/IRS Update</td>
</tr>
<tr>
<td>February 2018</td>
<td>Root Cause Analysis</td>
</tr>
<tr>
<td>March 2018</td>
<td>UNLV Student Night</td>
</tr>
<tr>
<td>April 2018</td>
<td>Speaker from IIA Headquarters</td>
</tr>
<tr>
<td>May 2018</td>
<td>CAE Roundtable</td>
</tr>
</tbody>
</table>

### Learning Opportunities

**Audit World Conference & Expo 2017**

- The How-to Conference for Internal Auditors
- November 14-16, 2017
- Planet Hollywood Resort & Casino, Las Vegas, NV
- Co-Located Summits: November 13, 2017
- Post-Conference Workshops: November 16 & 17, 2017

[https://auditworld.misti.com/](https://auditworld.misti.com/)

**Super Strategies Conference & Expo 2017**

- Successful Strategies for Internal Audit Leaders
- November 14-16, 2017
- Planet Hollywood Resort & Casino, Las Vegas, NV
- Post-Conference Workshops: November 16 & 17, 2017

[https://superstrategies.misti.com/](https://superstrategies.misti.com/)

**IIA Member Discount:**
- 20% discount toward either conference mentioned above, workshops or summits for IIA Las Vegas members.
- CODE: SSAW17-IIALV
The IIA’s CIA Learning System

SELF-STUDY MATERIALS AND FACILITATOR-LED COURSES

The IIA’s CIA Learning System self-study program combines comprehensive reading materials, in printed and e-book formats, with interactive online study tools to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format.

READING MATERIALS

- Updated to align with the 2017 IPPF, these materials teach the entire global CIA exam syllabus:
  - Part 1: Internal Audit Basics (1 book)
  - Part 2: Internal Audit Practice (1 book)
  - Part 3: Internal Audit Knowledge Elements (3 books)
- Study with printed books or e-books
- Topics presented in a concise, easy-to-understand format

ONLINE STUDY

- Online tools are optimized for mobile devices
- Pre-tests evaluate current knowledge to identify which topics require intensive study
- SmartStudy™ tools help you build a customized study plan based on your pre-test results
- Chapter quizzes test comprehension and retention of concepts
- Flashcards and glossary offer review of key terms and definitions
- Post-tests gauge knowledge gained and identify areas requiring further study
- CIA Practice Exams build confidence with the computer-based CIA exam software
- Progress reports track activities and scores
- Resource Center provides test-taking tips, links to CIA exam resources, feedback links, and more
- Access online tools for two years if you purchase the full 3-part program, or one year if you purchase an individual part.

Visit www.LearnCIA.com/classes-offered

As a chapter member, you will Save US $100 when you purchase a full kit.
To order, visit www.LearnCIA.com/chapters

Congratulations to the following individuals who recently obtained certifications!

<table>
<thead>
<tr>
<th>Name</th>
<th>Date</th>
<th>Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heather Myles</td>
<td>October 2</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>Justin Tully</td>
<td>October 20</td>
<td>Certified Government Auditing Professional (CGAP)</td>
</tr>
</tbody>
</table>
## Hot Jobs

<table>
<thead>
<tr>
<th>Position</th>
<th>Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internal Auditor, Staff</td>
<td>The Cosmopolitan of Las Vegas</td>
</tr>
<tr>
<td>Internal Audit Intern</td>
<td>MGM Resorts</td>
</tr>
<tr>
<td>Supervisor Internal Audit</td>
<td>MGM Resorts</td>
</tr>
<tr>
<td>Senior IT Auditor</td>
<td>Las Vegas Sands Corp.</td>
</tr>
<tr>
<td>Manager, Internal Auditor</td>
<td>NV Energy</td>
</tr>
<tr>
<td>Senior Financial Assurance Analyst</td>
<td>Caesars Entertainment</td>
</tr>
<tr>
<td>Fraud Analyst (Fraud &amp; Controls)</td>
<td>Caesars Entertainment</td>
</tr>
<tr>
<td>Senior Internal Auditor</td>
<td>The Cosmopolitan of Las Vegas</td>
</tr>
<tr>
<td>Staff Internal Auditor</td>
<td>MGM Resorts</td>
</tr>
<tr>
<td>Director, Internal Audit</td>
<td>Caesars Entertainment</td>
</tr>
<tr>
<td>Senior Analyst - SOX and Control</td>
<td>Las Vegas Sands Corp.</td>
</tr>
<tr>
<td>IT Audit Director</td>
<td>Caesars Entertainment</td>
</tr>
<tr>
<td>Senior IT Auditor</td>
<td>Caesars Entertainment</td>
</tr>
</tbody>
</table>

For a complete list of all current job openings, please visit [https://chapters.theiia.org/las-vegas/Careers/Pages/default.aspx](https://chapters.theiia.org/las-vegas/Careers/Pages/default.aspx)

If you have a job openings you would like posted, please contact Louis Carnate or Christiane Dolores.

## Chapter Information

### Officers

- **President** – Heath Rude
- **VP of Admin** – Louis Carnate
- **VP of Programs** – Sean Smith
- **Secretary** – Christiane Dolores
- **Treasurer** – Jeff Easton
- **Communications** – Corey Mantle
- **Past President** – Amber Schrock

### Board of Governors

- Amber Schrock
- Chelle Adams
- Cynthia Ambre
- Colleen Baer
- Jana Daniels
- Jay Dickerman
- Micheal Justice
- Michael Lombardo
- Tammy Perri
- Paulette Tandy
- Steven Scheppman
- Alisa Fischer
- Melissa Warstler

Would you like us to share any news or highlights regarding your company? Please feel free to contact us to add into the newsletter!

IIALVChapter2@gmail.com