Event Summary

As you are aware, starting in 2018, for CIAs two of your CPE/CPD credits must be earned in Ethics. In addition, CRMAs are required to earn 5 CPE/CPD credits annually covering a risk topic. This conference will satisfy the Ethics requirement for CIAs and 4 of the 5 credits needed by CRMAs.

During the morning portion of the conference, Mr. Kevin Jenkins will focus on the topic of Ethics, which will be moderated by former Chapter President Ernest Patrick Smith. This session will be an immersion into the diverse ethical issues facing leaders and the topical dynamics of one of the leading disciplines in the workplace and society as a whole. Attendees will be engaged in an analysis of a myriad of ethical issues and how understanding these concepts will have a profound impact on their personal and professional development. Since managing ethics is a growth industry leaders must understand the nuances of this critical arena. A failure to do so is not only an individual competitive disadvantage but engaging in unethical behavior can also be unlawful and therefore compromise the reputational and credibility capital of the individual and the organization they work for. Whether you are a transactional leader or transformational leader the skill sets that will be presented in this session are essential to your continued viability in your organization.

In the afternoon, Mr. Brian Kostek from Protiviti will discuss ‘Creating Business Value Through Effective Third-Party Risk Management Auditing’. This is sure to be a topic of interest to most, if not all, of our members.
8:30 – 9:45 AM: Ethics

This session will be highly substantive and will challenge the participant as they apply divergent concepts and methodologies. The pedagogy will be interactive, participant centered and user friendly so whether the participant is kinesthetic, auditory or visual this session will resonate for them.

Learning Objectives:
1. Augment the understanding of each leader to the dynamics, nuances and challenges of the ethical portfolio as it is related to them individually and to their organizations.
2. Empower each participant with the foundational knowledge, ability and skills needed to properly manage their ethical “quotient”.
3. Create an incubator and environment for comprehensive learning by exposing the learner to substantive discussion, multimedia and interactive exercises.

9:45 – 10 AM: Break

10 – 12 PM: Ethics (continued)

This session will be presented by: Mr. Kevin Jenkins

Mr. Kevin Jenkins is an Attorney who specializes in Labor and Employment Law and has been practicing for over thirty years. His law practice has specialized in representing Unions, Organizations and Companies in the private and public sector. He has represented Whistleblowers against employers and Unions. He was also responsible for the implementation and enforcement of Corporate Ethics Policies and Code of Conduct. Kevin has practiced in Federal and State Court. In addition, he has practiced before the National Labor Relations Board (NLRB), the Public Employee Relations Board (PERB), Equal Employment Opportunity Commission, (EEOC), New York State Division of Human Rights (NYSDHR), and Occupational Safety and Health Administration (OSHA).
Mr. Jenkins presently teaches Corporate and Organizational Ethics at New York University. He has served on the faculty of New York University, Cornell, Manhattanville College, City University of NY, (CUNY) and SUNY-Empire State College. Kevin has taught and presented workshops, seminars, undergraduate and graduate courses in areas such as diversity and inclusion, Sexual Harassment, Labor and Employment Law, arbitration, mediation and collective bargaining negotiations.

Mr. Jenkins is a member of the New York State and New Jersey State Bar Associations and is admitted to the United States Supreme Court, Southern and Eastern District Federal Courts of New York and New Jersey District Court.

12 – 1 PM: Lunch

1 PM – 2:30 PM: Third-Party Risk Management

Third Party Risk Management continues to be a topic of focus for organizations of all sizes, and while programs continue to be enhanced, the value of real-time value-based auditing has never been more important. Evaluating the framework, completing data analysis, and providing support to 1st and 2nd line risk management activities can help drive cost reduction and process enhancements to drive value for the organization.

In this session, Protiviti’s Brian Kostek will lead an interactive discussion on how organizations and audit teams can support the value creation process throughout the third party risk management lifecycle with innovative and technology supported auditing techniques. Topics will include:

- Regulatory landscape
- Key considerations and guiding principle when implementing, refreshing or auditing a third-party management program
- TPRM Lifecycle Basics
- Cybersecurity risk management for technology vendors
- Remediation activities associated with third parties

This session will be presented by:

![Brian Kostek]

Brian Kostek
Director
Protiviti
Brian is a Director with Protiviti, and is part of the Regulatory Risk team located in Tampa, Florida. Brian’s experience and expertise focuses on regulatory risk and compliance, third party vendor risk, credit risk, asset management, and internal audit solutions. Prior to joining Protiviti, Brian had worked as an Associate National Bank Examiner with the Office of the Comptroller of the Currency from 2006 - 2010."

2:30 PM – 2:45 PM: Break

2:45 PM – 5 PM: Third-Party Risk Management (continued)
Friday, November 9, 2018  
**Full-Day Program**

*Check-in and breakfast begin at 8:00 a.m. Program begins at 8:30 a.m.*

*Lunch will be served at approximately 12 p.m.*

*Dress is business casual.*

**How to Register:**
Please use the following online registration link: [http://www.cvent.com/d/sbq3z3/4W](http://www.cvent.com/d/sbq3z3/4W)

If you are having trouble with the link, notify Carolyn Leahy at Carolyn.Leahy@nationalgrid.com. Cancellations must be made at least 24 hours prior to the event by contacting Lauren Agunzo at LIIIA@nsllpcpa.com or by phone @ (631)756-9500.

**For Making Payments Offline:**
You should register online (see above). In the payment section, select “check” or "other." If not registering online, please contact us at LIIIA@nsllpcpa.com or call Lauren Agunzo at 631.756.9500 x 229 at least 24 hours before to reserve your seat. Then complete and enclose this registration form, along with your check made payable to the IIA Long Island Chapter, and mail to: Institute of Internal Auditors LI, P.O. Box 442, Smithtown, New York 11787 or bring it the day of the seminar.

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Address: ____________________________________

Phone Number: ________________________________

E-Mail Address: ________________________________

**Directions to the Melville Marriott:**

*From New York City* Take the Long Island Expressway (Route 495) to Exit 49 South. Take the ramp (right) onto the South Service Road. Turn left (North) onto Old Walt Whitman Road (Walt Whitman Road).

*From Eastern LI* Take the Long Island Expressway (Route 495) to Exit 49 North. Take the ramp (right) onto the North Service Road. Turn right (North) onto Old Walt Whitman Road (Walt Whitman Road).

In case of weather emergency, the Melville Marriott phone number is (631) 423-1600.