2019 Annual Information Technology Audit Conference
Friday, March 29, 2018 – Melville Marriott
8:30 a.m. – 5:00 p.m.

(8 CPE/CPD Credits)

Event Summary

Feeling overwhelmed with all the new technology developments during the year?

- Do you increasingly wonder how you will remain relevant in an environment that increasingly relies on automation and analytics?

- Can you adequately respond to stakeholder concerns relating to cybersecurity-related media reports?

If you answered yes to just one of the above questions, the chapter’s annual Information Technology (“IT”) Audit Conference is for you. This year’s conference will be held on Friday March 29 at the Melville Marriott. Our speakers will not only provide guidance in addressing today’s most pressing issues, but will guide you in identifying the skills you will need to remain and become a value added member of your organization in the years ahead.

8:30 AM – 8:45 AM: Chapter Announcements & Introduction to the Conference: Lauren Agunzo, Chapter President & Joel Lanz, Conference Chair
8:50 AM – 9:50 AM: NextGen Internal Audit: David Lehmann, Managing Director, Protiviti

As the pace of innovation continues to accelerate, the Internal Audit profession faces a growing challenge of adapting to change while delivering on its core mission of protecting organizational value by providing risk-based and objective assurance, advice, and insight. In response to these challenges leading internal audit functions are pursuing transformation opportunities with the objective of establishing the Next Generation of Internal Audit – an internal audit function that reexamines the foundational elements of the internal audit function; governance, methodology, and technology with a goal of providing more effective assurance and valuable insights to the business through more efficient and technology enabled processes.

David Lehmann is a Managing Director in Protiviti’s New York office focusing on Internal Audit and Technology Risk services, and is responsible for leading Protiviti’s Northeast IT Audit practice. David’s client engagement work includes delivering Internal Audit co-sourcing, IT audit, SOX compliance, IT governance, cybersecurity, and risk management services. David earned a Bachelor of Science degree in Accounting from Binghamton University. He is a Certified Public Accountant (CPA) in New York State, a member of the Information Systems Audit and Control Association (ISACA), the New York State Society of CPAs (NYSSCPA), and Institute of Internal Auditors (IIA). He previously served on local chapter boards for both ISACA and the IIA in New York and Connecticut. David is a frequent speaker at industry events and roundtables on topics such as technology risk assessment, cybersecurity, “next gen” internal audit, cloud computing, and auditing emerging technology risks.


As with any hot topic, there’s a lot of buzz around how analytics and data science will change our professional and personal lives. This presentation is meant to cut through the hype, and give you a practical understanding of these advanced approaches by walking through actual case studies.

Fred Gibbons is a senior manager in the Analytics practice of Deloitte Transactions and Business Analytics LLP with over 13 years of experience leading analytics engagements spanning a variety of focus areas, including: risk management, compliance monitoring, corporate investigations and disputes, and performance improvement.

Philip Matthews is a manager in the Analytics practice within the Deloitte Transactions and Business Analytics LLP with over 8 years of experience for various dispute and litigation engagements including forensic accounting and financial investigations, trading data analysis, FCPA investigations, bankruptcy cases and fraud analysis.
10:55 AM – 11:10 AM: Break

11:10 AM – 12:10 PM: Change Management/SDLC/Agile: Vincent Calabrese, Managing Director KPMG

Vincent Calabrese will describe the evolution of Change Management (CM) and System Development Life Cycle (SDLC) and why effective processes continues to be important. He will walk through risks in each process and control considerations for traditional, Agile, DevOps and SaaS based environments.

Vincent Calabrese is a Managing Director in KPMG’s Technology Risk practice. He has 14 years of experience performing both Internal and External IT audits, for large multinational public clients. He has spent his career understanding diverse business operations focusing on IT and SAP, while assessing risks, and then evaluating, designing or implementing processes and controls to mitigate risks. Vincent is a certified SAP Business Solution Consultant and specializes in SAP ECC, GRC, BW, BPC and HANA pre/post implementation assessments, Sarbanes-Oxley 404, General IT Controls, SOX implementations, GRC/SOD assessments and various business processes including RtR, PtP and OtC.

12:10 PM – 1:10 PM: Lunch

1:15 PM – 2:15 PM: Data Governance and Reliability: Michael Fiore, Senior Director Accume Partners

Michael Fiore will be speaking to us on the following topics surrounding Data Governance, its definition, importance and impact in the current market conditions. The Regulatory focus on Data Governance the responsibilities of companies on how they are required to manage their data. Key Risks around Data Governance. Specifically, around the Structure, Inventory and Quality issues surrounding data governance risks. And last but not the last the Data Protection Cycle and the maturity model of companies managing their key data.

Speaker

Michael Fiore is a Senior Director with Accume Partners based in New York. He has 21 years of audit experience in IT Audit & Security with demonstrated skills in Information Technology, Network Security Vulnerability Assessments, Cyber Security Risk Assessments, NYS DFS 500, Regulatory & Compliance, Higher Education and Financial Services industries. Prior to Accume, Michael was the Managing Director, Information Technology Audit & Compliance Services Practice Leader with CBIZ Risk & Advisory Services, serving as the industry leader for Financial Services, Insurance, Real Estate Investment Trusts, Public Sector/Government, Professional Services, and Technology industries.
2:15 PM – 3:15 PM: SOC for Cybersecurity: John Hoffman, Partner Nawrocki Smith LLP

An overview of the AICPA’s cybersecurity risk management reporting framework. The learning objectives will include an understanding the reporting framework, why it is important, who will benefit from the reporting and how organizations’ can use it as a common platform to describe, evaluate and monitor their cybersecurity risks.

John Hoffman, CPA/CFF/CITP is a partner of Nawrocki Smith LLP specializing in forensic accounting and dispute resolution services. Mr. Hoffman earned a BBA in accounting from Hofstra University. He is a Certified Public Accountant (CPA), Certified in Financial Forensics (CFF) and Certified Information Technology Professional (CITP). He is responsible for providing insurance claim consulting services, business valuation, fraud examinations/forensic accounting and dispute resolutions services. On numerous occasions he has been qualified as an expert witness in both federal and state courts. Examples of his services include; examinations of accounting policies and internal controls (SOC Examinations), loss earnings/lost profits analysis related to insurance claims, economic damage calculations, healthcare consulting services, business valuations and fraud investigations.

3:15 PM – 3:30 PM: Break

3:30 PM – 4:45 PM: Internal Audit’s Critical Role in Cybersecurity: Russel Safirstein
Anchin Digital Risk Solutions and John Curran Redpoint Cybersecurity

Internal audit has a critical role in helping organizations in the ongoing battle of managing cyber threats, both by providing an independent assessment of existing and needed controls, and helping the audit committee and board understand and address the diverse risks of the digital world. This session will give some real world examples of cybersecurity breaches, how they were handled and what internal auditors should be doing to help their companies prepare for the inevitable.

Speakers

Russell Safirstein is Partner in Charge of Anchin Digital Risk Solutions LLC (ADRS), a subsidiary of Anchin Block & Anchin that delivers and develops technology-driven risk and compliance advisory, and analytics-based services. Prior to joining Anchin, Russell was a Partner with Prager Metis Technology, leading their AI and Machine Learning initiatives, in addition to their cybersecurity and risk advisory practices. He also co-founded Paracon Group, and led its advanced analytic delivery platform as well as the balance of its risk advisory services. He was the General Auditor for Liquidnet, where he started the Internal Audit function of this growing organization. As the Deputy General Auditor for MetLife, he was able to transform the internal audit function through technology and business process improvements. For nearly ten years, Russell was SVP and Chief Auditor for Roslyn Savings Bank. Russell received his B.B.A in accounting from Adelphi University.
John Curran is CEO and Co-CISO for Redpoint Cybersecurity, a team of 35+ credentialed ethical hackers and security consultants, which he founded in partnership with Anchin, Block & Anchin in 2017. Prior to starting Redpoint John was Director of Commercial Cybersecurity for a DC-area IT Services and Cybersecurity firm with more than 500 engineers, where he ran both commercial and U.S. government projects and managed a specialized practice that included ethical hacking and incident response services. His executive leadership experience spans more than 15 years in total. He holds a JD and an MBA/MS, in addition to a number of advanced technical certifications, including Certified Information Systems Security Professional (CISSP), Certified Ethical Hacker (CEH), Certified Web Application Penetration Tester (GWAPT), Certified Mobile Applications Security Analyst (GMOB), and Certified Incident Handler (GCIH).

4:45 PM – 5:00 PM: Conference Summary and Conclusion, Joel Lanz Conference Chair
Friday, March 29, 2019
Full-Day Program

Check-in and breakfast begin at 8:00 a.m. Program begins at 8:30 a.m. Lunch will be served at approximately 12:15 PM. Dress is business casual.

How to Register:
Please use the following online registration link: http://www.cvent.com/d/p6qw28/4W

If you are having trouble with the link, notify Carolyn Leahy at Carolyn.Leahy@nationalgrid.com. Cancellations must be made at least 24 hours prior to the event by contacting Lauren Agunzo at LIIPA@nslpcpa.com or by phone @ (631)756-9500.

For Making Payments Offline:
You should register online (see above) and in the payment section, select “check” or other. If not registering online, please contact us at LIIPA@nslpcpa.com or call Lauren Agunzo at 631.756.9500 x 229 at least 24 hours before to reserve your seat. Then complete and enclose this registration form, along with your check made payable to the IIA Long Island Chapter, and mail to: Institute of Internal Auditors LI, P.O. Box 442, Smithtown, New York 11787 or bring it the day of the seminar.

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Phone Number: __________________________________________

E-Mail Address: _________________________________________
Directions to the Melville Marriott:

From New York City: Take the Long Island Expressway (Route 495) to Exit 49 South. Take the ramp (right) onto the South Service Road. Turn left (North) onto Old Walt Whitman Road (Walt Whitman Road).

From Eastern LI: Take the Long Island Expressway (Route 495) to Exit 49 North. Take the ramp (right) onto the North Service Road. Turn right (North) onto Old Walt Whitman Road (Walt Whitman Road).

In case of weather emergency, the Melville Marriott phone number is (631) 423-1600.