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### UPCOMING CONFERENCES - 2019

| Location: Long Island Melville Marriott (631) 423-1600 |
| Time: 8:30am start! (Breakfast at 8am, Lunch 12:15pm) |

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<th>October 11&lt;sup&gt;th&lt;/sup&gt;</th>
<th>November 8&lt;sup&gt;th&lt;/sup&gt;</th>
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<td><strong>Next Wave Technology</strong></td>
<td><strong>Ethics (with Ethics CPE’s!!) &amp; Regulatory</strong></td>
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Blockchain for Auditors, Internal Audit KPIs, Audit Analytics, Investigating Cryptocurrency, IT Audit, RPA, Machine Learning, and a Technology Panel Q&A!  
More details & speakers to follow!

### UPCOMING CONFERENCES - 2020

| Location: Long Island Melville Marriott (631) 423-1600 |
| Time: 8:30am start! (Breakfast at 8am, Lunch 12:15pm) |

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<th>February 7&lt;sup&gt;th&lt;/sup&gt;</th>
<th>March 13&lt;sup&gt;th&lt;/sup&gt;</th>
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<td><strong>Fraud Conference</strong></td>
<td><strong>IT Conference</strong></td>
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(Leadership & Negotiation)  
(without Authority)  
Be a Connector for Success  
Keynote: Jay Gubrud (car enthusiast)  
More Details to follow!

More details & speakers to follow!
We had a strong start to the chapter year with our first conference, “Best Practices (Big, Small, Soft, & Motivational).” We covered various topics throughout the day including the working in a big and small audit function, aligning to the IPPF, agile and audit report rating, and challenges and best practices to over in working in a small audit function. A big thank you to all our speakers for sharing your insights and to our attendees for their active participation. I am also grateful to our keynote speaker, Jerry Posner for his passionate and insightful presentation. He taught us the power of gratitude and helpful tips to manage stress in our everyday lives.

Up next is our October conference which will focus on Next Wave Technology. I am very excited to hear from the lineup of great speakers on these exciting topics. Areas of analytics, RPA, and machine learning are changing the how we conduct our audit and consulting engagements. Therefore, being more aware of these digital impacts and how it affects your operations both in Internal Audit and throughout our organizations is critical as we need to be ready for the coming wave of automation. I expect these topics to provide valuable insight as to how you can shape your internal audit function to be better suited to tackle the complex problems facing our organizations.

In November, we will shift gears to our Ethics & Regulatory Conference. Our November conference will be a great opportunity for attendees to meet both their CPA CPE ethics requirements as well as their CIA/CRMA CPE credits.

As a reminder, we will not be having any conferences during the months of December and January. Following the holidays, we will resume our conferences in February with our annual Fraud Conference and in March with our annual IT Conference. Both of these conferences are always filled with great speakers and relevant topics which have always been well attended. More information will come in our upcoming newsletters about these programs.

As a reminder, all of our programs are held on Fridays at the Marriott located in Melville, New York. We look forward to seeing you all at these upcoming conferences. Should you have any questions, please do not hesitate to reach me at our Chapter email address; Chapter241@iiachapters.org.

Kind regards,

J. Speed

Jeffrey A. Speed, CPA, CISA

IIA President, Long Island Chapter 2019/2020
Our September conference, “Best Practices (Big, Small, Soft, & Motivational)” covered various topics including the working in a big and small audit function, aligning to the IPPF, agile and audit report rating, and challenges and best practices to over in working in a small audit function.

Our first session of the day had representatives from Tableau, a business intelligence software that helps people see and understand their data. During the presentation, we were exposed to different ways audit functions can leverage the data visualization software to drive business intelligence. The presenters demonstrated a range of capabilities, focusing on how to wrangle data without being a data expert, and explained concepts that could be applied to both small and bigger audit departments alike. In the next session we heard from auditors at Canon USA in the area of data analytics and working in big and small audit function. They discussed the journey of creating a data analytics team and how to drive business insights to their internal customers, leveraging analytics both big and small.

We then heard what auditors at Canon USA are doing as it relates to building a QAIP (building from what was presented in prior year by the LI IIA). They presented and shared materials as to what they are doing to demonstrate alignment to the organizational strategy and the IPPF. Next Tom Comiskey, from Teachers Federal Credit Union, spoke about best practices for small internal audit departments with limited resources. The late morning was wrapped up by Rozina Daruwalla and Kevin Franceschelli from EY discussed the power of agile auditing and the evolution of internal audit reporting.

The second half of the day was filled by cognitive entertainer, Jerry Posner. Jerry spoke about how in our business and personal lives, seemingly insignificant decisions and events can turn out to be the causal factors for significant, or even destiny-changing future outcomes. He also spoke about stress management techniques, why people “freak out,” evaluating feedback, and the power of gratitude. Jerry also provided us his “19 for 2019 – Daily Reminders for a Stellar Year” that I wanted to share with all of you.
19 For 2019 — Daily Reminders for a Stellar Year

By Jerry Posner

1. With every relationship, the question is: “How can I be supportive?”
2. Don’t let simple annoyance morph into full-blown anger.
3. We owe our existence to millions of people we’ll never meet.
4. Avoid telling others to “calm down!” (It usually backfires.)
5. Be the architect of your own self-esteem.
6. Aim to live with no regrets. Consider the impact of your choices.
7. Plan to be kinder & more compassionate than you were yesterday.
8. Create and reinforce a “gratitude bias” — take nothing for granted.
9. Choose your attitude; many fine options now available!
10. Never miss an opportunity to thank someone.
11. Beware of U.C.P.S. (Unspecified Cranky-Pants Syndrome)
12. Saying, “the devil made me do it” just doesn’t work.
13. When worrying isn’t helping, try a different strategy.
14. Substitute “I have enough to do” for “I have too much to do.”
15. Let people finish their sentences.
16. Exterminate the ANTS! (Automatic Negative Thoughts)
17. Tell at least one person a day, “I love you & I’m glad you’re here.”
18. Align actions with your highest core values.
19. Problems or not, life’s a freakin’ miracle!

www.jerryposner.com • jerryposner@icloud.com • 413-441-6361
Next Wave Technology for Internal Auditors

Blockchain – Data breaches – Machine-based decisions – The rise of the robots. In an increasingly digital world, data and analytics underpin the most critical business decisions. As auditors need to understand the digital revolution and how it affects our operations both in Internal Audit and throughout our organizations. We need to be ready for the coming wave of automation. Today's event will help auditors to better understand what their peers are doing and will be focused on best practices and real case studies.

Agenda: (subject to change)

- 8:00am – light breakfast served
- 8:30am (5 min) – Opening Remarks (Jeff Speed – Chapter President)
- 8:35am (10 min) – Next Wave Technology for Internal Auditors (Russell Safirstein – Event MC)
- 8:45am (60 min) – Blockchain for Auditors (Russell Safirstein – Anchin Digital Risk Solutions)
- 9:45am (15 min) - Break
- 10:00am (60 min) – Internal Audit Key Performance Indicators (Dimitris Bantileskas - Nawrocki Smith, LLP)
- 11:00am (15 min) – Break
- 11:15am (75 min) – Audit Analytics five year plan rewind: How Fannie Mae stood up a successful data & analytics practice within Internal Audit – (Steven Lacoursiere - Fannie Mae)
- 12:30 (1hr) – Lunch
- 1:30pm (90min) – IT Audit, RPA, and Machine Learning: The Love Triangle of the Future (Steve DeSantis - OnDeck)
- 3:00pm (15 min) – Break
- 3:15pm (90 min) – Investigating Cryptocurrency Transactions through Data Forensics & Blockchain Analysis (Nicholas G. Himonisidis - The NGH Group, Inc.)
- 4:45pm (15 min) – Technology Q&A: Speaker Panel discussion
- 5:00 - End

Speaker BIOS:

Blockchain for Auditors

Blockchain is in the news nearly every day. This session will cover the basis for blockchain in easy to understand terms, give Internal Auditors the information they need to assess the risks and rewards to moving forward with a blockchain in their organization and give real examples of actual blockchains in use today.

Russell Safirstein, Partner in Charge – Anchin Digital Risk Solutions – Anchin Block & Anchin. Russell is the Partner in Charge of Anchin Digital Risk Solutions. Prior to joining Anchin, Russell was a Partner with Prager Metis Technology, leading their AI and Machine Learning initiatives, in addition to their cybersecurity and risk advisory practices. He also co-founded Paracon Group, and led its advanced analytic delivery platform as well as the balance of its risk advisory
services. He was the General Auditor for Liquidnet, where he started the Internal Audit function of this growing organization. As the Deputy General Auditor for MetLife, he was able to transform the internal audit function through technology and business process improvements. For nearly ten years, Russell was SVP and Chief Auditor for Roslyn Savings Bank. Russell received his B.B.A in accounting from Adelphi University.

Internal Audit Key Performance Indicators

Internal Audit plays a key role in good governance for their organizations, but how do they measure their own governance and performance? This session will cover some of the benefits of performance management and some examples of self-evaluation and benchmarking leading audit groups employ.

Dimitris Bantileskas, CPA/CFF/CITP, CVA, CFE, Senior Manager – Forensic Accounting, Internal Audit & Consulting Services – Nawrocki Smith, LLP

Dimitris has over ten years of experience managing investigations, forensic audits, and compliance initiatives. He has conducted hundreds of sensitive internal engagements concerning fraud, corruption, contracts, vendors, and employee related issues. Dimitris has investigated many fraud cases including embezzlement, theft of cash, theft of time, theft of inventory and other personal property, and kickback schemes. Dimitris provides leadership and support in developing, organizing, and directing financial, operational, and compliance audits to determine the adequacy of internal control systems and the degree of compliance with these controls. He is also charged with conducting special investigations as requested, and making recommendations for improved controls, operating procedures, and systems designs. Dimitris has assisted in the preparation of articles published in the CPA Journal. He is an active presenter at ACFE Long Island Chapter Fraud and IIA Long Island Chapter Conferences.

Audit Analytics 5 year Plan Rewind: How Fannie Mae stood up a successful data & analytics practice within Internal Audit

Over the last five years, Fannie Mae re-committed to ensuring that data is a key part of its Internal Audit program and has committed resources and support to ensure that the initiative was successful. This talk will cover that roadmap and key milestones along the way that contributed to that success along the way.

Steven Lacoursiere, Director, Data & Analytics Fannie Mae.

Steven Lacoursiere is Director of Data & Analytics within Internal Audit and is primarily responsible for managing, developing, and promoting the data and analytics program within Internal Audit, supporting analytics-related requests for the many audits that are performed each year, automating controls testing and risk assessments, and identifying opportunities for innovation within internal audit. Prior to joining Fannie Mae in 2014, Steven was a Manager of Data Analytics within Internal Audit at Hilton Worldwide. While in this position, he enhanced travel and entertainment expense analytics and helped to expand how analytics were used to support financial and operational audits of owned and franchised properties. In prior roles Steven has provided training and consulting related to Internal Audit departments and professionals. Steven graduated from Royal Roads University (Victoria, BC, Canada) in 2013 with a Masters of Arts in Learning and Technology and holds a Diploma of Technology (1998) from the British Columbia Institute of Technology (Vancouver, Canada) with a focus on Artificial Intelligence. Steve is also holds a CISA (certified information system auditor) certification.

Investigating Cryptocurrency Transactions through Data Forensics

This presentation will provide us with techniques, methods & tools to trace & obtain information about cryptocurrency transactions & full transaction histories on the blockchain. It will address computer forensic techniques to obtain information of cryptocurrency use directly from a subject’s data volumes (images of hard drives, seized data or data produced in discovery) which may be available to the investigator in an investigation.

Nicholas G. Himonidis, J.D., CFE, CCFS, President / CEO: The NGH Group, Inc. Nick has over 20 years of professional experience in private investigations, computer forensics and the law. He has participated in, conducted
and supervised the investigation of thousands of cases, including numerous high net worth and complex matrimonial cases, financial fraud cases including civil RICO claims, fraudulent asset transfers, bankruptcy fraud, insurance fraud and a variety of other economic/white collar crimes. He has litigated cases in State and Federal Court, has testified as an expert witness in the field of computer forensics and the authentication of digital evidence, and has written and lectured extensively on topics including e-Discovery, digital evidence, financial investigation and legal and ethical issues for attorneys and investigators.

**IT Audit, RPA, and Machine Learning: The Love Triangle of the Future**

In this session you will gain an understanding of what robotic process automation (RPA) and machine learning (ML) are and what are the current trends relating to these technologies. You’ll learn how RPA and ML change how IT auditors need to audit and you’ll see use cases of auditing and utilizing RPA and ML

**Steve DeSantis IT Audit Manager, OnDeck.** Steve is an expert Data Ninja, with multiple black belts in creating, transforming and delivering data for all of Internal Audit needs. He has over 10 years of experience working in data, spanning three companies, four countries, and quite a few business areas. He specializes in taking large complex problems and breaking them down into easy understandable steps using data that create solutions fit for the task. Steve is a Michigan State University alumni.
The Institute of Internal Auditors Long Island Chapter is pleased to continue its tradition of offering high-quality, low-cost educational events to our members. These seminars provide relevant, current content and provide a high degree of value to attendees. Our 2019-2020 schedule includes coverage of key issues and relevant skills presented by recognized experts and professional speakers in an informative and relaxed setting.

- Full-day seminars - 8 CPE/CPD credits each
- 6 seminars available (48 credits total)
  1. Friday, September 13th 2019
  2. Friday, November 8th 2019
  3. Friday March 13th 2020
  4. Friday, October 11th 2019
  5. Friday, February 7th 2020
  6. Friday April 17th 2020
- Location: Melville Marriott (Light breakfast & lunch served)

### Rates per Program Session:

- **Subscription**: $163* (19%/35% discount compared to member/non-member rates)
- **Member**: $200
- **Non-Member**: $250
- **Pass the CIA Exam**: Free Session – if passed between May 2019 - April 2020, inquire within
- **Be a Presenter**: Free Session – if you’d like to present a particular topic, project, or best practice at our conference, inquire within and earn a free session.
- **Displaced Members**: Special rates apply, inquire within
- **Host your own event**: Special rates if you’re hosting your own conference & would like to receive CPE Sponsorship from the LI IIA Chapter, inquire within
- **Program Sponsor**: Become a Silver, Gold, or Diamond Sponsor of one of our events, inquire within

* Subscription must be purchased as a whole (includes all 6 sessions) and may be shared among employees from the same Company. Subscription credits can be used to fit your busy schedule so that if a session is missed, you can make up for it in future sessions within the program year. **Upon subscription payment, discount code will be e-mailed to you.**

### Purchase Subscription Payment Options:

- Purchase Subscription online: https://www.eiseverywhere.com/474103
  - Pay via Credit Card, Pay-Pal – Preferred Method
  - Pay via check (see payment instructions below)
- Purchase Subscription via Mail/Manual Check/Mail (if necessary):
  1. Fill-out ‘Manual Payment Subscription Form’ section below & include Check & Subscription Form
  2. Check payable to: IIA Long Island Chapter
  3. Mail Check & Form: IIA - Long Island Chapter c/o Lauren Agunzo, P.O. Box 442, 25 Route 111, Smithtown, NY 11787

### Manual Payment Subscription Form

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<td>Organization: ___________________</td>
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<td>Number of Subscriptions: _______ @ $975 each (6 sessions*$163=$975)= Total Cost: ____________</td>
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To further align with the IIA Headquarters and leverage their low-cost tools & technologies, the Long Island Chapter is now utilizing ‘Aventri’ as our event management tool.

Event Management System “Aventri”

THANK YOU for your patience & support as we continue to transition to the new event management system!

For any questions or issues regarding receiving communications from or using the system, please email the Chapter at: Chapter241@iiachapters.org

Employment Opportunities:

- If you are interested in a job posting, or posting a job, please contact;
  - Russ Safirstein at Russell.Safirstein@anchin.com, or
  - Joe Horowitz, IIA Long Island Webmaster, at jmh11187018@gmail.com, or
  - Visit the ‘Careers’ Page: https://chapters.theiia.org/long-island/Careers/Pages/default.aspx
St. John's University 2019 CIA examination preparation class offerings

1. St. John’s will be starting its Saturday series of CIA examination preparation classes (starting with part 1, in sequence) on September 28, 2019.

This premier CIA Exam preparation program is the only IIA-partnered, instructor-led program in the New York metropolitan area, and is based on the IIA’s CIA Learning System. It delivers the global CIA exam syllabus in a blended learning format of customized workbooks and web-based learning software (provided with the registration fee). It is also CPE eligible.

Class dates:
   Part 1: Internal Audit Basics: September 28, October 5 and October 19
   Part 2: Internal Audit Practice: November 2 and November 16
   Part 3: Internal Audit Knowledge Elements: November 23, December 7 and December 14

Tuition:
   Part 1: Internal Audit Basics $1,100
   Part 2: Internal Audit Practice 850
   Part 3: Internal Audit Knowledge Elements 1,100

Combination Package: Parts 1, 2 and 3 2,750

Registration:
The link to registration and further information is
https://sjureg.mycoursecenter.com/collections/internal-audit-curriculum/products/cia-exam-prep

2. **NEW!** St. John’s is now also offering a custom version of our multi-session CIA examination preparation class, delivered on site at client organizations (assuming sufficient participant scale). This will afford participants and companies more flexibility, and can be tailored to the company's and CAE's learning objectives and staff time availability. St. John’s has already delivered this for a major financial institution with great success.

Interested parties can contact Herb Chain at (718) 990-3974 or chainh@stjohns.edu.
The Institute of Internal Auditors
Long Island Chapter - Board of Governors

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<tr>
<td>1 Jeff Speed</td>
<td>President</td>
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<td>2 Lauren Agunzo</td>
<td>EVP &amp; Treasurer</td>
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<td>3 Dawn Scala</td>
<td>VP &amp; Asst. Treasurer</td>
<td>Historian / Records</td>
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<td>4 Carolyn Leahy</td>
<td>VP &amp; Chief Information Officer</td>
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<td>5 Joseph Horowitz (2021)</td>
<td>VP &amp; Asst. CIO &amp; Web Administrator</td>
<td>Website Administrator</td>
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<td>6 Anthony Cervoni</td>
<td>EVP &amp; Operations Officer</td>
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<td>7 Ellen Caravella</td>
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<td>8 Larry Karp</td>
<td>VP &amp; Membership</td>
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<td>VP &amp; Membership 2nd</td>
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<td>10 Biju Beejum</td>
<td>Certification Officer</td>
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<td>11 Thomas Comiskey</td>
<td>VP &amp; Secretary</td>
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<tr>
<td>12 Rocky Shankar</td>
<td>CAP Administrator</td>
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Board of Governors (term ending)

| 13 Vincent Colletti (2022) | Governor |     |
| 14 Michael Lanning (2022) | Governor |     |
| 15 Jessica Rodgers (2022) | Governor |     |
| 16 Christopher Wright (2022) | Governor |     |
| 17 Ernest Patrick Smith (2021) | Governor |     |
| 18 Brian Blisard (2021) | Governor |     |
| 19 Roy Garbarino (2021) | Governor | Academic Relations |
| 20 Robert McNair (2021) | Governor |     |
| 21 Ron Goldman (2021) | Governor |     |
| 22 Prabhat Kumar (2020) | Governor |     |
| 23 Pinak Guha (2020) | Governor | Finance |
| 24 Maria Michaelson (2020) | Governor |     |
| 25 Joel Lanz (2020) | Governor |     |
| 26 Russ Safirstein (2020) | Governor | Employment |
| 27 Alice Seoylemezian (2020) | Governor |     |
| 28 Rita Thakhar (2020) | Governor | CAE Roundtable |

District Representatives

| Raquel Marin-Oquendo | District Representative |     |
| Mary Peck | District Advisor |     |
| Heather Graham | District IIA Staff |     |