IIA-Los Angeles Chapter Newsletter
2011-2012
August 2011

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President's Message

Dear Chapter Members,

I would like to thank all outgoing officers for their hard work during the past year and welcome our new officers. I would also like to thank all members who voted to elect our new officers.

As we begin our chapter year 2011-2012 and my first year as IIA-LA Chapter President, it is time for all of us to think about what our chapter does well and what needs improvement. The results of our member survey will be analyzed and summarized in next month’s newsletter. I thank you for your input.

One of my goals for our chapter this year is to ensure that we are serving our members in the best way we are able - that is to say, giving you what you want - be it: more workshops, specific topics, different venues, etc. Giving our members what they want opens the doors for greater opportunities, which makes each of us better internal auditors.

Yours truly,

Kurtesa Clarke-Silke
IIA LA Chapter President

Internal Auditor in the Spotlight

In each issue of our chapter newsletter, we will spotlight one of our members. This issue spotlights our new President, Kurtesa Clarke-Silke, Vice-President/Compliance Audit Manager at City National Bank.

Q: How did you become an internal auditor?
It wasn’t a profession I went out looking for, it was more of a natural progression. If you may recall, I was a National Bank Examiner with the Office of the Comptroller of the Currency (OCC) and was given the opportunity to become the Chief Audit Executive at a small community bank (First Bank of Beverly Hills). I think I was identified by one of my former colleagues at the OCC as a conscientious worker who was well received by management in various banks in Los Angeles. I helped less experienced examiners understand how banks with different structures can achieve the same goals with different internal control structures. I was asked if I would be interested in the position as a Chief Audit Executive and so I applied for the job - and the rest is history.
Q: Any special skills or experiences you are proud of as an internal auditor?
Well, I guess you could say my special skills are reviewing and determining compliance with consumer laws and regulations. I have been known for challenging how some lawyers interpret some consumer laws and regulations. In most cases, it was not in the consumer's best interest.

Q: What are your passions or hobbies outside of internal audit?
Prior to taking the role as President of the IIA Los Angeles Chapter, I would occasionally take the time to teach young adults about financial literacy through Operation Hope. In 2006 and 2007 I also had the privilege of helping several clients that suffered setbacks during the aftermath of Hurricane Katrina. I helped them prepare budgets, talked to credit card companies on their behalf, and on one occasion talked to a Debt Settlement Company. I believe people who want to have good credit can achieve it with a little hard work and a consist approach.

Q: Any word of advice to fellow internal auditors?
The job you really don’t like the most might someday, be the stepping stone to the position or the career you DO really want. So, do your best in all that you do. None of us are infallible...exercise due professional care in your work, abide by the Code of Ethics, and set a good example (work ethics) for younger auditors.

Luncheons, Workshops and Conferences

We will resume our monthly meetings in September 2011, after our summer hiatus. Stay tuned for information on our first meeting.

Call for Volunteers

The IIA-LA Chapter Wants You!
Some of the officers are looking for additional volunteers (IIA members) to fill positions within their designated committees. If you are interested or know other IIA members who would like to be involved with an organization that promotes the profession of Internal Auditing, please contact the appropriate Vice President by clicking their email address below. What you do today, will help to develop or enhance your skills to further your growth in the audit profession.

I WANT YOU

CAP Count

The Los Angeles Chapter is in its 8th year of being designated an IIA Gold Chapter. With two more years of achieving Gold status, we will become a Platinum Chapter. We ask that you take an active part in helping to make this happen. Here’s what you can do...

- Attend a chapter event (yes, we get points for members and non-member attendees)
- Obtain your CIA, CCSA, CFSA or CGAP designation
- Submit an article(s) to the Internal Auditor (published or not)
• Submit an article to any other professional journal
• Speak at a chapter event
• Submit questions for IIA Certification Exams

The Institute of Internal Auditors (The IIA) Chapter Achievement Program (CAP) is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance, emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

A chapter must earn the minimum CAP points annually in each of the three service categories which are 1) Service to Members; 2) Service to the Profession; 3) Chapter Administration.

If you’ve participated in CAP related activities or would like to help us earn CAP points, please reach out to the VP Administration.

Annual Member Survey

All members of the IIA-LA Chapter should have received their Annual Member Survey. The response deadline is Sunday, July 31st. Member participation is essential to our continued efforts to improve the chapter and meet the needs of our members.

As an added incentive for completing the survey, we will have two drawings during the first two meetings of the 2011/2012 program year. Each drawing will provide an opportunity to win your choice of a $100 gift certificate or a coupon that can be used toward the purchase of a workshop or conference. You don’t need to be present to win. But there’s more… if you are present at the drawing and win you will also be reimbursed for the cost of the IIA-LA workshop or conference in addition to receiving the above $100 gift certificate or a coupon for another IIA-LA workshop or conference.

Our New IIA-LA Officers

Annette Christensen - VP CONFERENCES SERIES
vp-conference-series@theiia-la.org
Annette Christensen, CPA, CIA, CISA, has worked as a consultant for over 13 years in the area of Internal Audit. She started her career at Arthur Andersen, where she obtained her CPA and her CISA. She joined Protiviti in 2002 as one of its founding members, obtained her CIA, and worked as a Senior Manager at the time of her departure in 2009. She is currently working as an independent internal audit consultant and is the Chairperson of the American Society of Women Accountants Educational Trust Fund.

Norma Ladevaia - VP IA LEGACY; VP PROFESSIONAL DEVELOPMENT
vp-internal-auditor-legacy@theiia-la.org; vp-professional-development@theiia-la.org
Norma Ladevaia has over 16 years of audit experience and over 8 years of experience in the banking industry. She commenced her career as Corporate Trust Officer. She later held positions as a Bank Examiner and Senior Internal Auditor. Norma currently works as an Audit Manager at UCLA and was recently elected to the University Credit Union Board of Directors.

Raymond Lam - VP IA LEGACY; VP PROFESSIONAL DEVELOPMENT
vp-internal-auditor-legacy@theiia-la.org; vp-professional-development@theiia-la.org
Raymond Lam has over 25 years of internal audit experience in the financial banking industry. Raymond began his career as a junior auditor in Hong Kong. In 1982, he relocated to the US and continued his career in auditing as an Audit Officer with Security Pacific Corporation. In 1992, Raymond joined Bank of America's fiduciary/trust audit staff to perform more in-depth and diverse trust/fiduciary audits. Then as a result of Bank of America relocating their Auditing Department to the east coast, he had the opportunity to join City National Bank, where he currently works as Vice-
President Trust Auditor.

**Mindy Chiang** - VP ADMINISTRATION  
[vp-administration@theiia-la.org](mailto:vp-administration@theiia-la.org)

Mindy Chiang is an Internal Audit Project Manager at Kaiser Permanente where she completed her CIA. Prior to joining Kaiser Permanente, Mindy worked at Deloitte and Touche, LLP within the Enterprise Risk Services/Internal Audit service line working primarily with the Health Care sector. Mindy received her undergraduate degree in Business Administration through the Marshall School of Business at the University of Southern California.

**Stephen Germain** - VP FINANCE  
[vp-finance@theiia-la.org](mailto:vp-finance@theiia-la.org)

Stephen Germain, CISA, CISSP, CIA, CISA, has worked as an IT auditor for over 7 years in the area of Internal Audit and SOX compliance. He started his career as an IT consultant in Canada for Telus Communications. Stephen moved to the USA in 2004 and since then has worked in the Internal Audit departments of Countrywide, Yahoo and more recently Intuit.

**Donald Baddorf** - VP COMMUNICATIONS  
[vp-communications@theiia-la.org](mailto:vp-communications@theiia-la.org)

Don Baddorf, FLMI, has over 25 years in management, operations, risk, technology, and regulatory compliance and consulting. His various industry experiences include: Financial Services, Investment and Asset Management and Insurance. For the prior 12 years Don was the V.P. and Sr. Compliance/Risk manager for The Capital Group Companies (American Funds, intuitional and high net-worth clients) where he improved and tested policies and procedures to maintain compliance with Federal regulations, directed external auditors in creating web-based automation to manage annual SAS 70 audits, and designed and implemented analytic tools to review exceptions processing and impact on financials and regulatory compliance.

**Ellen Fassler** - VP MEMBERSHIP; REGISTRATION  
[vp-membership@theiia-la.org; Registration@theiia-la.org](mailto:vp-membership@theiia-la.org; Registration@theiia-la.org)

Ellen Fassler is a business development consultant with 20 years technology, financial management consulting, and healthcare in Business Development roles with Motorola, GE Capital, Parson Consulting (former Big 4 partners-global firm) to DBTech-current firm. Throughout her career themes of corporate governance, compliance and risk have all been critical to her positions.

**Arnold Jenner Blanshard** - VP MEMBERSHIP; REGISTRATION  
[vp-membership@theiia-la.org; Registration@theiia-la.org](mailto:vp-membership@theiia-la.org; Registration@theiia-la.org)

Arnold has been the Director, Internal Audit for the Los Angeles Community College District for over four years. He has over 7 years of experience in management of the internal audit department and over 15 years in auditing, Arnold is currently the President for the Community Colleges Internal Audit. Arnold is also an adjunct instructor at El Camino College and the president/owner of Janold Accounting Services.

**Message from the Communications Committee**

Larry and Don are your new communications team. We are excited to be working with Kurtesa and the other board members to deliver high quality and timely information to keep you informed of IIA-LA chapter events. We thank Ryan Ung for his past work in this communications role, his generosity in this transition and his training on the various tools involved in the processes.

Communication is a two-way street and we want to hear from you along the way! Indeed, one of our first tactical project was the IIA-LA Annual Member Survey. Your communication to us through the survey will help frame our actions through the rest of the year. But, we aren’t just about “tactical” projects.” There are several strategic initiatives we are formulating to improve the communication process such that when it is time to pass on the reins, we will have added value to the process and we will all be better off for the efforts.

The communications team has doubled in size, since last year (from "one" to "two"). We would like to double...
one more time by bringing onto the team some passionate people that have skills in photography, editors and website design. If you think you are one of those types, we want to hear from you. Get involved early...get involved now...as we develop our strategic initiatives, such as: monthly content-rich newsletters, social media add-ons and new & creative ways of communicating The IIA message.

We look forward to chatting with you about the possibilities.

You can reach us via email (vp-communications@theiia-la.org) and at our meetings...we'll be there!

Donald Baddorf
Larry C. Herzog Butler

Thank you for reading this month's edition of the IIA - Los Angeles Chapter Newsletter!

We hoped you enjoyed it and if you have any suggestions for improvement or would like to include material in subsequent issues (news articles, Internal Auditor in the Spotlight, etc.), please reach out to our Communications Committee.

Forward email

This email was sent to vp-communications@theiia-la.org by vp-communications@theiia-la.org | Update Profile/Email Address | Instant removal with SafeUnsubscribe™ | Privacy Policy.

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