MESSAGE FROM THE PRESIDENT

I hope everyone enjoyed the holiday season and got to spend some time relaxing with family and friends. We are starting off the New Year with a bang on Tuesday January 14th. Shawn Stevison will be discussing compliant organizations, at any size. She will cover structure, operations, policies and procedures, education and training, communication, monitoring, human resources, investigations and responses, and more. See below for more details and a link to register.

Congratulations to our new Board of Governors’ Oksana Smallwood and Robert Stutsman. I look forward to working with you in the coming year. We will also be having elections in February for officer positions including President, Vice President, Secretary and Treasurer. If you are interested in learning more about any of these positions, please reach out to me directly at (emb@cubank.com).

We still have several committee positions open as well as the Treasurer position for the remainder of the fiscal year. Volunteering for the IIA is easy, and is a great way to meet fellow members and promote our profession. If you have some time to spare, please consider volunteering in some capacity!

I look forward to seeing you all soon!

Erin Bukowski, CPA, CIA
President, Louisville IIA 2019-2020

MONTHLY CAP UPDATE

The Louisville Chapter is currently at Silver status with 1,079 CAP points. This is a result of membership participation at our monthly meetings and seminars, certifications earned by our members, and speaking engagements. The next goal is to reach Gold level at 1,560 points. If we reach Gold this year, we will maintain our Platinum status for the eighth year. Chapters can earn Platinum after reaching Gold status 10 years in a row. That means we would have reached Gold status for 18 years. Please continue to attend our events and participate in Junior Achievement day to help us reach this goal.

Please contact Jenni Schelling at jschelling@lwcky.com if you have conducted a speaking engagement, volunteered with Junior Achievement, or any other audit related activity that may earn credit for the Chapter.
**January Monthly Meeting**

**Date:** Tuesday, January 14th, 2020  
**Registration:** 11:30 a.m. - 12:00 p.m. ET  
**Meeting Time:** 12:00 - 1:00 p.m.  
**Presenter(s):** Shawn Stevison, CPA, CHC, CHIAP, CRMA, CGMA—Director of Internal Audit of Signature Healthcare Consulting Services, LLC  
**CPE credits:** 1 hour  
**Cost:**  
- **Member Price:** $25  
- **Non-Member Price:** $30  
**Location:** Bristol Bar & Grill - Downtown  
614 W. Main Street  
Louisville, KY 40202  
**Registration:**  
[Here](#)  

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**Elements of a Compliant Organization:**  
Join us for a presentation focused on understanding the elements of a compliant organization; assist you in identifying your organization’s current environment, and provide ways to identify areas needing additional attention.  

In addition, we are asking that if you would like lunch to be included to register with your meal choice prior to January 14th.

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**Presenter Profile**  
**Shawn Stevison, CPA, CHC, CHIAP, CRMA, CGMA**  
Shawn Stevison is a CPA, Certified in Healthcare Compliance, Certified Healthcare Internal Audit Professional, Certified in Risk Management Assurance and a Chartered Global Management Accountant. She has over 18 years of experience working in Internal Audit, primarily in a healthcare setting, working for Jewish Hospital; Jewish Hospital & St. Mary’s Healthcare; CHAN Healthcare assigned to KentuckyOne Health; and Signature Healthcare. She served as Compliance Subject Matter Expert for CHAN Healthcare, now know as Crowe Healthcare Risk Advisory Services, and developed over 200 Internal Audit resources specifically directed to healthcare internal audit. She has spoken at the Health Care Compliance Association Compliance Institute twice; the Association of Healthcare Internal Auditors three times; routinely for CHAN Healthcare’s Annual training and has spoken at regional meetings such as Crowe’s Healthcare Summit, IIA Louisville meetings, and Dean Dorton trainings.

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**Call for New Members to Join Our Louisville Chapter**  
The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:  

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.  
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.  
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.  
- And much more!
2020 IIA NORTH AMERICA MEMBER NEEDS SURVEY

HAVE YOUR SAY: THE IIA’S 2020 NORTH AMERICA MEMBER NEEDS SURVEY

In December, The IIA will conduct a comprehensive survey of all of its North American members to better understand their needs, in order to develop and refine the tools, resources, and support necessary to strengthen its members and the internal audit profession.

A stronger profession needs...
The IIA’s vision is to enable the internal audit profession to be recognized as critical to enhancing and protecting organizational value.

More competent professionals...
The IIA works towards achieving this vision by developing tools and resources necessary to equip and empower internal audit professionals.

Who rely on The IIA to deliver sustainable value.
Communication with members is therefore vital in order to understand their priorities and identify areas of need to successfully deliver these tools and resources. One of the key ways IIA HQ can directly communicate with its members is through the 2020 North America Member Needs Survey.

SURVEY FAQS

When will the survey take place?
The survey will deploy from December 9, 2019, to January 12, 2020.

Who can take the IIA survey?
The IIA invites every North American member to participate in this survey.

What topics will the survey cover?
The survey measures key metrics for member satisfaction and opinions on organizational performance, membership, engagement, content and thought leadership, and professional development.

Who accesses the data and how will it be used?
Results will be aggregated and used internally. The survey directly impacts how The IIA can provide better service to members and customers, and help anticipate their needs in the future.

For example, 60% of participants in the 2018 North America Members Needs Survey said they were interested/very interested to attend training by confluence live streaming. As a direct result of this feedback, we introduced live streaming at our 2019 General Audit Management Conference.

How long is the survey?
The survey takes approximately 20-25 minutes to complete.

How will participants access the survey?
Members can access the survey through a personal URL in an email from Research Insights at The IIA inviting them to complete the survey. They can pause and complete at a later time if they wish. Members will receive reminder emails.

Who do I contact with questions regarding the survey?
Questions regarding the survey should be directed to Smit Shah (smit.shah@thelia.org), Karina Santoro (karina.santoro@thelia.org), or Sarah Chule (sarah.chule@thelia.org).

2020 North America Member Needs Survey

MEMBERSHIP MEANS MORE.
CIA Certification News

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

IIA Announces CRMA, Internal Audit Practitioner Updates
New Exams Ensure Relevance and Validity for Professional Designations
The Institute of Internal Auditors (IIA), the internal audit profession’s most widely recognized advocate, educator, and provider of standards, guidance, and certifications, announced changes to the Certification in Risk Management Assurance (CRMA) and Internal Audit Practitioner programs for 2020 to meet the evolving demands for the professional practice of internal auditing.

To keep pace with dynamic global risks, The IIA will be relaunching its CRMA exam in October 2020 to reflect the in-depth organizational knowledge and advanced skill sets that are required to succeed in risk management assurance. More information can be found on the IIA’s website.

CPE and CPD Reporting
The 2019 CPE/CPD reporting window is now open. All certified individuals must report annual continuing professional education (CPE) credit hours by December 31st. Log in to the Certification Candidate Management System (CCMS) to complete the CPE or CPD Reporting Form. This lets you display your certification credential(s) and makes you eligible to be included in The IIA Certification Registry.

For more information regarding the rules/requirements including completing two hours of Ethics training please visit the IIA’s Continuing Professional Education (CPE) and Continuing Professional Development (CPD) Requirements page.

Career Opportunities

Kentucky Lottery Corporation (KLC) - Staff Auditor I
Location: Kentucky Lottery Corporation, Louisville, Kentucky
Date Posted: December 16, 2019
Date Ending: January 15, 2020

Farm Credit Mid-America — SOX Auditor
Location: Kentucky Lottery Corporation, Louisville, Kentucky
Date Posted: December 16, 2019
Date Ending: January 15, 2020

Note: Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.
**The Rise of Political Risk:** An uncertain and volatile political landscape has created an opportunity for internal audit to help the organization prepare for the worst. Click [Here](#) for the full article to learn about how political changes impacts industries. Here's an excerpt from the article:

It hasn’t been a good year for Chinese tech giant Huawei. Last winter, the U.S. asked Canada to arrest the company’s chief financial officer, Meng Wanzhou, on spying charges. By mid-May the U.K. government was embroiled in a fight about whether to allow the firm to be involved in developing the next generation of communications networks. Meanwhile, customers were starting to avoid Huawei’s products after hearing that Google would no longer allow them to update some Android products, citing U.S. sanctions. The impacts are clear for Huawei, but many other firms were left asking what repercussions it could have on their contracts, markets, customers, and business decisions. How would China retaliate? What other businesses could be caught in the crossfire?

This is just one example of the questions that arise when even a small part of a business is caught up in a revolution or exposed to economic crises, coup d’états, interstate trade disputes, economic sanctions, or diplomatic clashes. Such risks ebb and flow with the diplomatic tide; however, as businesses become more dependent on international markets and extended supply chains, they are more exposed to political risks.

A political crisis may also bring opportunities. Paul McIntosh, CEO of Bridgehead Agency, points out that it is equally important that organizations consider potential advantages associated with volatility. "Companies need to look for the advantages that a change in political circumstances might afford, and not just think about the risks,” he says.

Brexit is a case in point. “No matter what kind of deal — if any — the U.K. gets, the E.U. and the U.K. are likely to remain major markets, and companies want to continue to do business in both,” McIntosh says. “If there is more paperwork in the future, it will add to costs, but this is usually not as difficult or as expensive as some think. Whichever way you look at it, Brexit will create opportunities — possibly not as many as staying in a single market — but companies need to explore these and exploit them.”

**Future IIAR Seminars and Conferences**

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<thead>
<tr>
<th>Seminars/Conferences</th>
<th>Date</th>
<th>Location</th>
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<tr>
<td><strong>2020 General Audit Management Conference</strong></td>
<td>Mar. 16-18, 2020</td>
<td>ARIA Resort/Las Vegas</td>
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<tr>
<td><strong>IIA International Conference</strong></td>
<td>July 20-22, 2020</td>
<td>Miami, Florida</td>
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<td><strong>CIA Exam Preparation - Part 1: Essentials of Internal Auditing</strong></td>
<td>Jan. 6-17, 2020</td>
<td>Online</td>
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<tr>
<td><strong>Fundamentals of Risk Based Auditing (NEW)</strong></td>
<td>Jan. 14-23, 2020</td>
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<tr>
<td><strong>Audit Report Writing</strong></td>
<td>Jan. 22-31, 2020</td>
<td>Online</td>
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Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, if at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **$50 – ½ page; $100 – full page.** Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.