MESSAGE FROM THE PRESIDENT

Oh my what a time it has been and continues to be! As everyone has been saying, these are unprecedented times for everyone and everything, including our IIA Chapter. Catie and I have been working diligently to continue to bring you your CPE opportunities even though you may be stuck at home for the foreseeable future. Here's what we've got so far:

We will be having an online webinar to replace our April lunch meeting. For scheduling purposes, we have had to move this meeting from noon to 9am on Tuesday April 14th. Paul Fischer and Brandon Hayman from PwC will be discussing Digital Accelerators. Please see more details below and the link to register.

We have unfortunately had to cancel our April 15th Data Analytics Seminar. We plan to reschedule this seminar in the early fall. If you have previously registered for this seminar, I will be reaching out to you soon with refund options.

We continue to hope and pray that this pandemic will be past us in the near future, and we will be able to meet again in person for our May meetings. We have two May meetings tentatively scheduled: Our end of year luncheon and social is scheduled for Thursday May 14th at Caesar's Casino in Indiana; and our last afternoon meeting is scheduled for Thursday May 21st. Please save the dates so we can hopefully get together and have some much needed social time!

Erin Bukowski, CPA, CIA
President, Louisville IIA 2019-2020

MONTHLY CAP UPDATE

We have earned 70 points in since the last update with our luncheon meetings. CAP totals 1,331 points.

As a reminder to our members, below are some of the ways you can earn CAP points for the Chapter. Please contact the CAP Chair, Jenni Schelling at jschelling@lwcky.com if you have completed any of these activities.

- Conduct an audit-related speaking engagement (unpaid)
- Host and Internal Audit event at work (Lunch and Learn)
- Participation in a Junior Achievement program
- IIA certifications earned CIA, CGAP, CRMA, CFSA, CCSA, QIAL
- Articles submitted to the Internal Auditor magazine
- Articles published in other professional journals

CALL FOR NEW MEMBERS TO JOIN OUR LOUISVILLE CHAPTER

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!
APRIL MONTHLY MEETING

Date: Tuesday, April 14th, 2020
Meeting Time: 9:00 A.M. - 10:00 A.M
Presenter(s): Paul Fischer & Brandon Hayman, PricewaterhouseCoopers (PwC)
Presentation: DIGITAL ACCELERATORS
CPE credits: 1 CPE hour
Cost: Member Price: $15
Non-Member Price: $20
Location: Online - Call in details will be sent out to all registered members
Registration: Here

PRESENTATION SUMMARY

PwC’s Take on Digital Upskilling:
The presentation will give a brief overview of megatrends and emerging technologies that are picking up steam in today’s environment, and provide perspectives on the impact to professional services. We will then dive into the workforce of the future and what “digital” means at PwC, and provide some perspectives on our technology journey at PwC and how we are using data preparation and analytics tools to create efficiencies in the day-to-day.

PRESENTER PROFILE

Brandon Hayman, Senior Associate
Brandon is a senior associate that splits time at PwC between an Assurance practice role and a Digital Accelerator role. He has more than 4 years of experience servicing both public and private clients in the insurance, automotive and higher education industries and over 3 years of experience in the technology/computer science space. Brandon obtained his BS in Accounting and MAC from Samford University. Outside of his Assurance and Digital Accelerator roles, Brandon is involved in PwC's Speaker Series, Community Service and Multi-cultural Inclusion Network committees at the firm. At his Church (Big Church) in Louisville, KY, Brandon leads Mission Outreach, is engaged in the Communicator's committee and serves on the Church's Execute Board. Lastly, Brandon and his wife started a "Breakfast Monday" movement where they provide breakfast bags to over 100 people that are less fortunate, every first Monday of the month.

Paul Fischer, Manager
Paul is a manager with PwC's Assurance practice and has seven years of experience. He provides audit services to publicly registered companies, with a focus on the consumer markets and healthcare sectors. He is a proud Kentuckian, born and raised in the city of Louisville. Paul possesses a strong accounting educational background with a MAC from the University of Louisville and BS from the University of Kentucky. Outside of assurance, Paul serves as the PwC Alumni Relations Chair for the Louisville office. He is engaged in the “Great Place to Work” committee, and helped launch the office's Global Acumen and Diversity Speaker Series in 2017.

Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our April 2020 monthly meeting online. You will still receive the CPE hour if you attend virtually. Call in details will be sent out to all registered members as soon as they are available.

Please be sure to visit the IIA Louisville Chapter's career section to see any current job opportunities in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.
Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our April 2020 monthly meeting online. You will still receive the CPE hour if you attend virtually. Call in details will be sent out to all registered members as soon as they are available.

Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.

Date: Thursday, May 21, 2020
Registration: 1:30 p.m. - 2:00 p.m. ET
Meeting Time: 2:00 p.m. - 4:00 p.m.
Presenter(s): Jonathan Johnson, Public Accounts Auditor VI
CPE credits: 2 CPE hours
Cost: Member Price: $15
Non-Member Price: $20
Location: 9300 Shelbyville Road
12th Floor Conference Room
Louisville, KY 40222
Registration: Here

Dealing with high profile audits in the public eye:
The Auditor of Public Accounts annually audits state agencies, fiscal courts, county clerks and sheriffs as well as conducts other special examinations as needed. With the media and technology making the results of these audits more easily available to everyone, high profile cases and notable findings are intensely scrutinized. Learn how the APA selects and conducts audits as well as how the Auditor’s office deals with the challenges of communicating findings to the public. Recent findings that have drawn media attention will be highlighted and discussed.

Presenter Profile

Jonathan Johnson, Public Accounts Auditor VI
Jonathan currently works in a training capacity with the Auditor of Public Accounts, helping develop trainings and information to educate and develop Kentucky Public Accounts Auditors. Prior to working for the APA, Jonathan spent over twelve years working and training for the Department of Revenue. Jonathan holds Bachelor of Arts degrees in English and Marketing from the University of Kentucky. He is married with two kids, a dog, and is an avid fan of the University of Kentucky basketball and Disney.
FRAUD DETECTION & INCIDENT RESPONSE FOR AUDITORS TRAINING EVENT

Date & Time: Due to the COVID-19 pandemic, this seminar is being postponed until late May 2020 at this time. We will send you updated information in our May Newsletter as soon as we have further information.

Presenter(s): John J. Hall, CPA with 38 years of experience

Cost: $180* (Member)/$220* (Non-member)
*Includes breakfast, lunch, and CPE hours

CPE: 8 CPE hours

Location: Pasta Garage
962 Delaware Avenue
Lexington, KY 40505

Registration: We will re-open registration once we receive more details on when the event will occur. Please contact Jinny Greene if you have any questions/concerns.

Additional Details

“Fraud Detection & Incident Response for Auditors”

Summary
In this program, we’ll parachute right in building detection steps that apply to professional auditors. We’ll use all new examples and small group brainstorming as our foundation for learning. Then we’ll take a hard look at what to do (and what to avoid) in fraud incident response – all from the perspective of auditors. Throughout the program, you’ll get action lessons from current, real-world examples and experiences. Join us to build your own fraud detection practices and case response checklists.

Learning Objectives:
This “how-to” course for auditing and other fraud professionals will focus on two things only: how to detect fraud on audits and how to effectively handle auditor investigative and related incident response responsibilities. Expect heavy participant brainstorming exercises and interaction based on real-world ‘what would you do next’ scenarios.

Topics Covered Include:
- Exactly how to bridge the gap between fraud risk brainstorming and audit program steps
- What works and what doesn’t for audit-based detection of fraud, theft and other wrongdoing
- Three-Step Fraud Detection for Auditors
- Red flags, symptoms and indicators of fraud
- Detecting cyber, identity/information theft and other technology-based fraud
- Catching and handling cooking the financial books and non-financial results schemes
- The auditor’s role in fraud cases and incident response
- What to expect when the alarm sounds – and how to react
- Working effectively with executives, attorneys, human resources and other relevant parties
- How to manage management’s expectations (and break down likely barriers)
- Challenges and opportunities from tips, hotlines and unknown informants
- Interacting with law enforcement, other external authorities and insurance companies
- Building an investigative checklist
- Fraud-Based Interviewing: tips and techniques

National Registry of CPE Sponsors ID Number: 108226

Program Level: Intermediate to Advanced, based on client content input
Prerequisites: Experience in fraud issues in an internal auditing environment
Due to COVID-19, this seminar is being postponed until later in the year. We will send you updated information in our May Newsletter as soon as we have further information.

Date: Due to the COVID-19 pandemic, this seminar is being postponed until later in the year. We will send you updated information in our May Newsletter as soon as we have further information.

Registration: 8:00 a.m. - 8:30 a.m. ET
Meeting Time: 8:30 a.m. - 4:30 p.m.
Presenter(s): Stephen W. Minder, CEO YCN Group, LLC
CPE credits: 8 CPE hours
Cost: Early Bird (TBD)
  Member Price: $200
  Non-Member Price: $250
  Early Bird (TBD)
  Member Price: $225
  Non-Member Price: $275
Location: Online Seminar—Call in details will be sent out to all registered members
Registration: TBD

Presentation Summary

Audit Information Analytics:
Explore the capabilities available to all auditors in the EXCEL software application and how those capabilities can be applied to analytics activities. Practice in using the analytics tools and techniques within a classroom setting. Implemented by learning how auditors can use the analytics to enhance continuous auditing.

Presenter Profile

Stephen W. Minder, CEO YCN Group, LLC
Stephen W. Minder is the CEO of YCN Group, LLC. YCN consults with clients providing solutions to improve: internal audit management, risks and controls, I/A quality, fraud management and analytics. YCN’s flagship product, “Information Analytics” code named ACE, is a comprehensive analytics tool using multiple levels of artificial intelligence to identify suspect transactions while minimizing false positives.

Mr. Minder has over 40 years internal audit experience including Vice President – General Auditor and Corporate Compliance Officer. He is a recognized expert and published author in analytics, fraud and internal audit.

Mr. Minder received his BS in accounting, from Illinois Wesleyan University and holds qualifications as: CPA, CIA, CISA, CFE, and CGMA. He has also completed the COSO Internal Control Certificate Program and serves as an adjunct faculty member for Northern Illinois University leading CIA Review classes.
COVID-19 Affects PearsonVue Testing Centers for Testing Candidates
IIA’s test center contractor PearsonVue has closed all test centers through at least April 16, 2020. Candidates can reschedule their exams for appointments starting April 16 and beyond, if conditions allow. As this may rapidly change, anyone who has a scheduled CIA or other IIA certification exam scheduled or in the progress of scheduling, please check back for updates here.

If you have already had your exam scheduled prior to April 16, you will receive an email cancellation notice and you will either receive a refund (if paid to Pearson VUE) or an extension as determined by your exam sponsor. You can also log in to your Pearson VUE account to see if you can still see your upcoming exam appointment.

If you are still able to see your upcoming exam appointment, please expect your exam to go ahead as planned, but with additional health and safety measures in place.

Prepare for the CIA® Exam with Confidence & Convenience.
Choose The IIA’s CIA Learning System®.
Prepare to pass the Certified Internal Auditor® (CIA®) exam and arm yourself with critical tools and knowledge to excel in your internal audit career. The IIA’s CIA Learning System combines comprehensive reading materials, in printed and e-book formats, with interactive online study tools to teach and reinforce the entire 3-part CIA exam syllabus in a flexible, on-demand program.

To learn more about the CIA designation and The IIA’s CIA Learning System, view a recording of the popular free webinar, Increase Your Chances of Passing the CIA Exam. You can also get a head start on your studies with 10 Free Practice Questions.

Members save up to $100! Order today and save!

In addition, if you are looking to start studying for the CIA exam, take a look at all the classes the IIA has to offer. Visit the IIA’s website here and the seminars listed on the National News section of this newsletter for further details.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

CRMA Exam Changes
The Institute of Internal Auditors (IIA), the internal audit profession’s most widely recognized advocate, educator, and provider of standards, guidance, and certifications, is making changes to the Certification in Risk Management Assurance (CRMA) program for 2020 to meet the evolving demands for the professional practice of internal auditing.

To keep pace with dynamic global risks, The IIA will be relaunching its CRMA exam in October 2020 to reflect the in-depth organizational knowledge and advanced skill sets that are required to succeed in risk management assurance. More information regarding the changes, including candidate transitioning, can be found on the IIA’s website under the CRMA: Why, How, and What’s New.
IIA ONLINE

COVID-19 Institute of Internal Auditors UPDATE
We also acknowledge and respect the personal challenges you may be facing at this time. To further help you through this period, we are extending the membership renewal grace period an additional 30 days, from March 31 to April 30. If you are experiencing a financial hardship due to cutbacks or other issues related to COVID-19, please don’t hesitate to contact our membership team.

To support you further, we have launched The IIA COVID-19 Resource Exchange — a comprehensive source for internal audit practitioners around the globe, featuring risk guidance, thought leadership, training, tools, events, and more related to COVID-19.

The IIA is opening the Resource Exchange to members and non-members alike, so that all internal audit practitioners can have access to credible information and support related to COVID-19 issues during this critical period. Certain items, however, are exclusive to members only.

Article of the Month:
Marco Iansiti is the David Sarnoff Professor of Business Administration at Harvard Business School, where he heads the Technology and Operations Management Unit and the Digital Initiative. He has advised many companies in the technology sector, including Microsoft, Facebook, and Amazon. He is a coauthor (with Karim Lakhani) of the book Competing in the Age of AI (Harvard Business Review Press, 2020).

Greg Richards is the co-founder and CEO of Keystone Strategy. He advises companies on strategy, economics, technological innovation, and digital transformation.

They have recently shared their insights through their article titled Coronavirus Is Widening the Corporate Digital Divide. We wanted to share their article with our members. Here’s an excerpt from the Harvard Business Review staff’s latest post.

Coronavirus Is Widening the Corporate Digital Divide: This need to virtualize work due to Covid-19 is driving digital transformation and deepening differences across people and firms at an incredible rate. In a period of days, almost any process that could be rapidly digitized has been virtualized — think of video conferencing enabling a case discussion and telemedicine enabling remote diagnosis and treatment. We are seeing the digitization of many ancient bastions of traditional business; the New York Stock Exchange just closed its trading floor and has moved to electronic trading. Click Here to read the full article.

FUTURE IIA SEMINARS AND CONFERENCES

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<td>Advanced Risk Based Auditing (NEW)</td>
<td>Mar. 31-Apr. 9, 2020</td>
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<td>Statistical Sampling for Internal Auditors</td>
<td>Apr. 13-16, 2020</td>
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<td>Root Cause Analysis for Internal Auditors</td>
<td>Apr. 20-29, 2020</td>
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<td>Tools for New Auditors</td>
<td>Apr. 20-May 1, 2020</td>
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<td>Cybersecurity Auditing in an Unsecure World</td>
<td>Apr. 21-30, 2020</td>
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### Officers and Committee Chairpersons

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<tr>
<td>Erin Bukowski</td>
<td>Shawn Stevison</td>
<td>Andrea Higgins</td>
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<td>Citizens Union Bank</td>
<td>Signature Healthcare</td>
<td>Robert Half Management Resources</td>
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<td>(502) 647-7462</td>
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<th>Vice President &amp; Program Chair</th>
<th>Government Relations</th>
<th>Webmaster</th>
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<tr>
<td>Catie Knable</td>
<td>Jodi Renn</td>
<td>Sonja Heath</td>
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<td>Caesars Entertainment</td>
<td>Jefferson County Public Schools</td>
<td>Humana, Inc.</td>
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<td>(502) 643-2424</td>
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<th>Secretary and Chapter Achievement Program (CAP) Chair</th>
<th>Junior Achievement</th>
<th>Social Media</th>
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<tr>
<td>Jenni Schelling</td>
<td>Tracy Wilkerson</td>
<td>OPEN</td>
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<td>Louisville Water Company</td>
<td>Humana, Inc.</td>
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<td>(502) 569-3647</td>
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<th>Advocacy/Diversity</th>
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<td>Glenn Reed</td>
<td>Alex Goffner</td>
<td>Bob Aemmer</td>
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<td>Louisvile Metro Government</td>
<td>American Commercial Barge Lines</td>
<td>LG&amp;E and KU Energy</td>
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<th>Quality Assessment Reviews</th>
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<tr>
<td>Lee Taylor</td>
<td>Melanie Nesler</td>
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<td>Commonwealth Bank and Trust Company</td>
<td>Computershare</td>
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### Board of Governors

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<tr>
<th>Elected Member Terms</th>
<th>Chris Elmore (Thru 12/31/2020)</th>
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<td>Churchill Downs</td>
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<th>Heather Krauser</th>
<th>Glenn Reed (Thru 12/31/2020)</th>
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<th>Oksana Smallwood (Thru 12/31/2021)</th>
<th>Robert Stutsman (Thru 12/31/2021)</th>
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<th>Sonja Heath (Thru 5/31/2021)</th>
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### Advertisement Policy

Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **$50 – ½ page; $100 – full page.** Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.