MESSAGE FROM THE PRESIDENT

Welcome to a new chapter year for the Louisville IIA! I am excited to be serving you this year as your IIA President. 2020 has proven to be a very interesting year and I hope everyone is staying healthy and safe.

We recently had a great Board of Governors meeting for our Chapter and all the volunteers are working hard to ensure we offer a full plate of programming for our IIA Members. Programming for the rest of 2020 will look a little different than in years past. We will be utilizing the Zoom platform to hold our monthly meetings and the additional seminars we offer. The IIA headquarters has been working behind the scenes to ensure each chapter has the reporting tools within Zoom to ensure we can offer CPEs to our chapters.

For those new to our chapter, the Louisville Chapter of the IIA serves the Louisville Metro and Southern Indiana area. The Chapter has 250+ current members. This year, we will be offering nine monthly meetings which will be held on the second Tuesday of every month from 12-1pm and will provide one hour of CPE. We will also be offering three 2-hour CPE meetings throughout the year as well as seminars to meet all of your CPE needs.

The team has already put together some great CPE opportunities for the fall. Our first monthly meeting is scheduled for Tuesday September 8th with Jay Wagner, our former IIA District Rep, who with his 20+ years of audit experience will be presenting on Remote Internal Auditing. I think we can all agree in today’s current environment this will be very valuable information. In addition, our new District Rep, Timothy Staggs, will join us to virtually meet our chapter.

We are also excited to announce the fall seminar we have planned on Data Analytics. It is a 2-day virtual seminar October 21 and October 22 from 1-5pm each day. Steve Minder will be presenting all about analytics which will include exploring capabilities available to all auditors in the EXCEL software application. Steve has presented to several chapter throughout the US and I think our chapter will find this seminar very beneficial. Following the last day of the seminar we will also have our first virtual IIA Happy Hour from 5-7pm, join anytime to stop in and say hello.

Lastly, we have several opportunities for chapter members to volunteer. Some of the committee positions open include the membership co-chair and the seminar chair. Volunteering for the IIA is easy and a great way to meet fellow members and promote our profession. If you are interested in volunteering or have questions on what specific responsibilities include, please reach out to me, cknable@caesars.com or the chapter email chapter17@iiachapters.org.

We are looking forward to a great year!

Catie Knable
President, Louisville IIA 2020-21

MONTHLY CAP UPDATE

The Louisville Chapter has achieved Gold/Platinum status for the 2019-2020 Chapter Year. We have achieved Gold status for 18 years and Platinum status for 8 years. Chapters reach Platinum status after achieving Gold for 10 years in a row. The next level is Ruby, and that will be reached once we achieve Gold for 20 years.

CAP requirements have changed for the 2020-2021 year. The IIA is no longer assigning points to activities but focusing on the completion of activities. Sadly, the CAP thermometer is now retired. Our Chapter leadership will continue to provide all the usual services to our membership and the community such as hosting monthly meetings and seminars for CPE, Junior Achievement volunteer day, social events, promoting certifications, and offering student scholarships. The main effect of this change is that the tracking and reporting of our Chapter activity will now be a lot easier for our leadership.
**September Monthly Meeting**

Date: Tuesday, September 8th, 2020  
Meeting Time: 12:00 - 1:00 p.m.  
Presenter(s): Jay Wagner, District Representative, Institute of Internal Auditors (IIA)

CPE credits: 1 hour

Cost:  
Member Price: $10  
Non-Member Price: $15

Location: Online - Register below to receive call in details

Registration: [Here](#)

**Presentation Summary**

Remote Internal Auditing  
COVID-19 resulted in a sudden and unprecedented disruption of routine in-person auditing and planned activities across all sectors, with an accelerated adoption of remote work policies amid widespread travel restrictions. In response, many are turning to remote auditing to carry out their mission until normal operations can resume. This presentation examines the challenges of remote auditing and offers advice for response, as well as preparing for the changes that are likely to stay even after we return to a state more resembling normalcy. Finally, we will explore why we should not only adapt to the situation, internal audit should relish the opportunity.

Please register for this session by Monday, September 7th.

**Presenter Profile**

Jay Wagner, CIA, CFE, CISA

Jay Wagner is the chief audit executive for the Illinois Office of the Attorney General. Reappointed to a second five year term in 2019, Jay directs a comprehensive, agency-wide internal audit program in conformance with professional auditing standards and Illinois law.

Jay has spent his entire 22 year auditing career in the public sector, also serving as the chief audit executive for the Illinois Department of Veterans' Affairs, an internal auditor with the Illinois Office of the Attorney General, and an information systems auditor with the Illinois Office of the Auditor General. He also occasionally teaches courses at the university level.

Jay received a Master of Science degree in Management Information Systems from the University of Illinois at Springfield (2001) and a Bachelor of Science in Management Information Systems from Illinois College (1996). Jay has been an active volunteer in the IIA since 2005, serving multiple roles in the Springfield, Illinois chapter including vice president, secretary, program chair, webmaster, and three terms as the chapter’s president. He is currently a co-District Representative for IIA Midwest Region 2, advising eight IIA chapters. Jay is also a member of the Illinois chapter of the Information Systems Audit and Control Association (ISACA) and the St. Louis chapter of the Association of Certified Fraud Examiners (ACFE). Jay holds certifications as a Certified Internal Auditor (CIA), Certified Fraud Examiner (CFE), and Certified Information Systems Auditor (CISA).
October 2020
DATA ANALYTICS SEMINAR

Dates: Wednesday, October 21st & Thursday, October 22nd, 2020
Meeting Time: 1:00 - 5:00 p.m., on both days
Presenter: Steve W. Minder, CEO YCN Group, LLC

CPE credits: 8 hours
Cost: Early Bird (before October 7th)
Member Price: $150
Non-Member Price: $200

Regular (after October 7th)
Member Price: $175
Non-Member Price: $225

Location: Online - Register below by October 20th to receive call in details

Registration: Here

PRESENTATION SUMMARY

Objectives of the Seminar:
- To understand the distinction between data and information with emphasis on understanding the need to analyze relevant organizational information
- To expand appreciation for the types of analysis that can be performed on available data and the context those analytics have for the audit process
- To explore capabilities available to all auditors in the EXCEL software application and how those capabilities can be applied to analytics activities
- To provide practice in using analytics tools and techniques within a classroom setting

Seminar Overview:
Day 1
- Introduction
- Background and Analytics Concepts
- Some EXCEL capabilities suited for Analytics
- Data Integrity and Information Analytics

Day 2
- More EXCEL capabilities suited for Analytics
- Practice applying analytics techniques

PRESENTER PROFILE

Stephen W. Minder, CEO YCN Group, LLC

Stephen W. Minder is the CEO of YCN Group, LLC. YCN consults with clients providing solutions to improve: internal audit management, risks and controls, I/A quality, fraud management and analytics. YCN’s flagship product, “Information Analytics” code named ACE, is a comprehensive analytics tool using multiple levels of artificial intelligence to identify suspect transactions while minimizing false positives.

Mr. Minder has over 40 years internal audit experience including Vice President – General Auditor and Corporate Compliance Officer. He is a recognized expert and published author in analytics, fraud and internal audit.

Mr. Minder received his BS in accounting, from Illinois Wesleyan University and holds qualifications as: CPA, CIA, CISA, CFE, and CGMA. He has also completed the COSO Internal Control Certificate Program and serves as an adjunct faculty member for Northern Illinois University leading CIA Review classes.

Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our 2020-2021 seminar meetings virtually. You will still receive the CPE hour if you attend virtually. Register for this meeting and you will receive the call in details.
CIA CERTIFICATION

No new certified members this month. If you are interested in pursuing and obtaining the Certified Internal Auditor (CIA) designation, see the next page for training material discounts offered by our Chapter.

October 2020 Seminar Happy Hour Social Event

Dates: Thursday, October 22nd, 2020
Meeting Time: 5:00 - 7:00 p.m.
Host: Louisville IIA Chapter
Cost: None — Open to all members
Location: Online - Register by October 21st below to receive call in details
Registration: Here

2020-2021 IIA Louisville Monthly Meeting Subscription Membership

Welcome back! Hope everyone has had an enjoyable summer amidst our current COVID-19 situation. We are excited to get the 2020-2021 IIA Chapter year started, chapter officers have been hard at work putting together a great lineup of programming for the year.

As all the monthly meetings will be held virtually via Zoom this chapter year, there will not be any subscription memberships available to purchase. You can choose to attend the monthly sessions and pay for the ones you would like to attend. Currently, the members-only cost is $10 per session and $15 for non-members and you get one CPE hour for each session (up to 9 CPE hours worth). There will be polling questions at each meeting to keep track of your attendance.

Call for New Members to Join Our Louisville Chapter

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!
NEW MEMBERS
Madison Stapleton
Taneshia Landry
Ashley Hartman
Ellie Hodapp
Kokou Tossou Gassre
Francie Russell

MEMBER ANNIVERSARY

5 years
John Abbott
Sneha Kalyan
Patrick Dalton
Vicki Cobb
Lesley Burnette

10 years
Leslie Potter

15 years
Michael Vincent
Rhonda Mann
Ingram Quick
Dawn Paulin

30 years
Cheri Jones
Jim Andriot

35 years
Kevin Field

CIA / CRMA CERTIFICATION NEWS

Certification Online Testing — Available Now
To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures due to COVID-19, The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), and CIA Challenge exams from home. This flexible testing option will be available for a limited time. Visit the IIA to learn more about this opportunity to take the CIA and CRMA test from home.

NEW – The IIA’s Financial Services Audit Certificate
It’s the perfect time to enhance your specialized knowledge and showcase your expertise in 11 key areas by completing the new Financial Services Audit Certificate. Passing the program exam demonstrates your competency in today’s most relevant financial services audit techniques and topics and distinguishes you from your peers.

This innovative self-study program is available exclusively through the convenient IIA Training OnDemand platform. To learn more about the new certificate program watch the video - Continuing the Conversation Interview with Lisa Hirtzinger.

Prepare for the CIA® Exam with Confidence & Convenience.
Choose The IIA’s CIA Learning System®.
Prepare to pass the Certified Internal Auditor® (CIA®) exam and arm yourself with critical tools and knowledge to excel in your internal audit career. The IIA’s CIA Learning System combines comprehensive reading materials, in printed and e-book formats, with interactive online study tools to teach and reinforce the entire 3-part CIA exam syllabus in a flexible, on-demand program.

To learn more about the CIA designation and The IIA’s CIA Learning System, view a recording of the popular free webinar, Increase Your Chances of Passing the CIA Exam. You can also get a head start on your studies with 10 Free Practice Questions.

Members save up to $100! Order today and save!

In addition, if you are looking to start studying for the CIA exam, take a look at all the classes the IIA has to offer. Visit the IIA’s website here and the seminars listed on the National News section of this newsletter for further details.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

CAREER OPPORTUNITIES

Louisville Metro Government - Internal Auditor
Location: Louisville, Kentucky
Date Posted: August 28, 2020
Date Ending: September 30, 2020

Note: Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.
IIA ONLINE

Francisco Aristiguieta, CIA, is responsible for internal audit analytics at Citizens Property Insurance Corp. in Jacksonville, Fla., has recently shared his personal re-elections and insights through his blog. We wanted to share with you a few of these with our members. Check out the link to read more.

The Analytics Journey: Analytics Roadmap Click Here for the full article.

In his blog, Francisco Aristiguieta, CIA, shares his personal reflections and insights on the internal audit profession as it pertains to data analytics. Here’s an excerpt from his latest post:

As internal audit embarks on its analytics program, it needs a method to guide auditors in selecting and prioritizing projects. Now that internal audit knows how to perform the projects, it must decide which projects to tackle first and which projects to save for later.

This decision — defining a roadmap for the program — requires internal audit to understand how small steps today allow for great leaps tomorrow. In other words, auditors must clearly understand what kind of insight could be expected from the first few runs in an area, and how — and why — that insight should improve over time.

Once defined, the analytics program roadmap reminds all stakeholders of which projects are live and which projects are coming soon. It details what this project mix means about the program’s maturity and the kind of insight and value that the program will bring to the organization. In the analytics journey, if the program intent serves as a compass, the roadmap charts the path.

### Future IIA Seminars and Conferences

<table>
<thead>
<tr>
<th>Seminars/Conferences</th>
<th>Date</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Women in Internal Audit Leadership Forum Conference</td>
<td>Sept. 16-17, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>Agile Auditing</td>
<td>Sept. 2-11, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>Fundamentals of IT Auditing</td>
<td>Sept. 8-17, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>Data Analysis for Internal Auditors</td>
<td>Sept. 10-11, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>Cybersecurity Auditing in an Unsecure World</td>
<td>Sept. 14-23, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>CIA Exam Preparation - Part 1; Essentials of Internal Auditing</td>
<td>Sept. 14-25, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>Tools for Lead Auditors</td>
<td>Sept. 28—Oct. 9, 2020</td>
<td>Online</td>
</tr>
</tbody>
</table>
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: $50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.