Message from the President

We had some great trainings in October. Our October monthly meeting with Dr. Marshall gave us some great insight to the Racial Equity Analysis Protocol that JCPS has implemented and hopefully provided everyone with some ideas on how to implement or start these important conversations at their workplaces. A copy of the REAP tool has been added to our chapters website if you were unable to attend. We also had our Data Analytics two-day seminar. I am happy to report that we had to close registration early for this event due to the turnout. It was a great seminar and think everyone was able to learn a lot during the two day seminar.

We are closing out the year and starting 2021 with some great training options. For our November monthly meeting we will have Farrah Petter, Assistant State Auditor and Jonathan Johnson, Public Accounts Auditor IV, who will be presenting “Dealing with High Profile Audits in the Public Eye” This should be a very interesting topic and I look forward to their presentation.

We will also be offering a two-hour ethics CPE on Thursday November 19th 2-4pm with Jaclyn Badeau who will be presenting on “Why do People Make Unethical Decisions: A Deep Dive into Biases, Pressures, and Situational Factors affecting Many.” I am also excited to announce that our Advocacy / Diversity committee has secured a speaker for January on Diversity and Inclusion. Jenny Medley from Humana will present on “Allyship: Creating a more inclusive workplace”, Thursday January 14, 2021 from 3-5pm.

As we mentioned last month, we do have some opportunities for chapter members to volunteer, including the membership co-chair and seminar chair. Volunteering with the chapter is a great was to promote the audit profession and get to meet fellow chapter members. If you are interested in volunteering or have question on what specific responsibilities include, please reach out to me, cknable@caesars.com or the chapter email chapter17@iiachapters.org.

I look forward to seeing everyone in one of our future trainings. Have a great November.

Catie Knable
President, Louisville IIA 2020-21

Monthly CAP Update

CAP requirements have changed for the 2020-2021 year. The IIA is no longer assigning points to activities but focusing on the completion of activities. We are steadily making progress to reach our goal of achieving Gold status for the year by submitting required documentation, offering chapter sponsored programs, promoting IIA conferences and events, and growing our membership.

One of the goals our Chapter needs to achieve is a 2% growth in member certifications. The IIA offers four certifications; Certified Internal Auditor (CIA), Certification in Risk Management Assurance (CRMA), Qualification in Internal Audit Leadership (QIAL), and Internal Audit Practitioner. If you are interested in taking steps toward any of these certifications, please refer to the Local IIA News section of this newsletter for information on CIA training material discounts and the IIA website for instructions on how to become certified.
**NOVEMBER MONTHLY VIRTUAL MEETING**

**Date:** Tuesday, November 10th, 2020  
**Meeting Time:** 12:00 - 1:00 p.m.  
**Presenter(s):** Mike Harmon, State Auditor  
Farrah Petter, CPA—Assistant State Auditor  
Jonathan Johnson, Public Accounts Auditor VI  

**CPE credit(s):** 1 hour  

**Cost:**  
Member Price: $10  
Non-Member Price: $15  

**Location:** Online - Register below to receive call in details  

**Registration:** [Here](#)  

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**PRESENTATION SUMMARY**

**Dealing with high profile audits in the public eye**  
The Auditor of Public Accounts annually audits state agencies, fiscal courts, county clerks and sheriffs as well as conducts other special examinations as needed. With the media and technology making the results of these audits more easily available to everyone, high profile cases and notable findings are intensely scrutinized. Learn how the APA selects and conducts audits as well as how the Auditor’s office deals with the challenges of communicating findings to the public. Recent findings that have drawn media attention will be highlighted and discussed. Please [register for this session by Monday, November 9th](#).

**PRESENTER PROFILE**

**Mike Harmon – State Auditor**  
Mike Harmon was elected in November 2015 as the 47th Auditor of Public Accounts for the Commonwealth of Kentucky. Before being elected as Auditor, Mike served 13 years in the Kentucky House of Representatives representing the people of Boyle and Casey County, and previously Washington County, in the 54th District. Auditor Harmon is a resident of Boyle County and holds degrees in math, statistics, and theater from Eastern Kentucky University.

**Farrah Petter, CPA – Assistant State Auditor**  
Farrah Petter was appointed by Auditor Harmon as Assistant State Auditor in December 2018. She began her career at the Auditor of Public Accounts after graduating from Eastern Kentucky University in 1999. During her first six years at the APA, Farrah worked on state agency audits including the CAFR and supervised the audit of Louisville Metro. In 2004, she attained her CPA license. She then transferred to work as a county auditor and completed audits of local governments and special examinations in Central Kentucky. In 2013, Farrah became a county audit manager overseeing 25 counties in Southeast Kentucky. In that position, she managed local government audits as well as special examinations.

**Jonathan Johnson— Public Accounts Auditor VI**  
Jonathan currently works in a training capacity with the Auditor of Public Accounts, helping develop trainings and information to educate and develop Kentucky Public Accounts Auditors. Prior to working for the APA, Jonathan spent over twelve years working and training for the Department of Revenue. Jonathan holds Bachelor of Arts degrees in English and Marketing from the University of Kentucky. He is married with two kids, a dog, and is an avid fan of the University of Kentucky basketball team and Disney.

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**NEWS UPDATE**

Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our 2020-2021 monthly meetings virtually. You will still receive the CPE hour if you attend virtually. Register for this meeting and you will receive the call in details.

Additionally, if you have registered and attended the past monthly meetings and have not received your CPE certificate, please reach out to Lee Taylor, Catie Knable, or Erin Bukowski.
BEHAVIORAL ETHICS TRAINING – IIA LOUISVILLE CHAPTER

Dates: Thursday, November 19th, 2020
Meeting Time: 2:00 - 4:00 p.m.
Presenter: Jaclyn T. Badeau, CPA, CGMA, MBA, EQ Certified
CPE credits: 2 hours
Cost: Member Price: $20 | Non-Member Price: $25
Location: Online - Register below by November 18th to receive call in details
Registration: Here

Presentation Summary

Why do People Make Unethical Decisions:
A Deep Dive into Biases, Pressures, and Situational Factors affecting Many

Leaders are inevitably faced with ethical quandaries – especially in today’s globally connected world. Effective leaders need to know how to navigate ethical challenges successfully. In this webinar, we will explore the world of behavioral ethics – biases, pressures, and situational factors - that affect many in their daily ethical decision making.

Learning Objectives - After attending this webinar, participants will be able to:
♦ Understand that professional codes of conduct should be followed and sometimes you will still need to make ethical judgments that fall outside of these codes of conducts
♦ Be familiar with various concepts of Behavioral Ethics and their impact on ethical decision making
♦ Explore how many recent and past ethical scandals are directly attributed to various biases, pressures, and situational factors through case study and other interactive discussions
♦ Understand 4 Steps to Acting Ethically

Presenter Profile

Jaclyn T. Badeau, CPA, CGMA, MBA, EQ Certified—President, Badeau Consulting
Coach | Speaker | Trainer | Consultant
Website LinkedIn

Trust, Leadership, Relationships, Integrity – these are just a few of the many characteristics used to describe Jaclyn by some of her mentees and colleagues. Participants in her in-person and virtual trainings have commented: “Jaclyn brought practices to reality by injecting real world business scenarios into the classroom”; “you can tell she truly cares about our development”; “Jaclyn is by far the most engaging ethics instructor I have encountered. She gave me food for thought with an issue I am dealing with TODAY”; “Content was excellent, and presenter was clearly passionate.....By far the best CPE I have received in years....and SO pertinent to me now.”

Prior to launching her own company, she spent over 6 years in public accounting leading the firm’s audit training, career counseling various staff, and assisting clients with business enhancement projects across 5 industries. Jaclyn also worked at a global public manufacturing and retail company for over 8 years in 4 different roles including Controllership and Internal Audit leading various talent management strategic initiatives, providing coaching and mentoring to over 25 people, and serving as a business risk advisor to many. Jaclyn is passionate about sharing her expertise and knowledge within and outside of the CPA profession and has been involved in many associations and not-for-profits in advisory and leadership positions. She’s received a KyCPA 2020 Outstanding Leadership and Service to the Profession Award, 2 awards of excellence from the KyCPA for receiving the highest grade on the FAR and AUD sections of the CPA exam during that exam period, and the 2016 Distinguished Alumni honor from EKU.
**DIVERSITY & INCLUSION SEMINAR**

**Date(s):** Thursday, January 14th, 2021  
**Meeting Time:** 3:00 - 5:00 p.m.  
**Host(s):** Jenny Medley, Senior Diversity and Inclusion Professional at Humana Inc.  
**Topic:** Allyship: Creating a more inclusive workplace  
This will be an engaging virtual presentation that includes dialogue over reflections / experiences we have faced and gain techniques of how we can increase our efforts of creating a more inclusive departments.  
**CPE credit(s):** 2 hours  
**Cost:**  
Member Price: $20  
Non-Member Price: $25  
**Registration:** [Here](#)  
**Location:** Online  
Mark your calendars! Look out for registration details in upcoming newsletters

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**ISACA/IIA CHICAGO CHAPTER 7TH ANNUAL IT HACKING CONFERENCE**

**Date(s):** November 9th—11th, 2020  
**Meeting Time:** 8:00 a.m. - 5:00 p.m. CST each day  
**Title:** Cyber Royale—Our Roulette is Red and Blue (COVID Edition)  
Last year’s event was a huge success with 250+ professionals in attendance both days and the highest feedback ratings of any chapter event offered! With the COVID protocols in place in Illinois we have switched to an online only virtual event. This allows us to run the all the sessions sequentially and up the CPE from 16 to 21 credits, making this one of the best NASBA certified CPE values available.  
Their highest rated keynotes and interactive CISO panel discussions on the integration of InfoSec and Risk Management are both events you don’t want to miss. This in addition to our historical topics containing the most real-world application of Information Security and Cybersecurity knowledge and skills that can be applied to the world of Internal Audit, IT Audit, InfoSec, Risk, and Compliance. This year’s theme addresses the importance of information security and cybersecurity and each group’s participating in detecting, analyzing, and protecting against newest threats including new COVID related concerns. With the 100% virtual conference you can now attend all sessions both technical and management. All sessions have individual CPE tracking allowing each participant to get as much or as little CPE as desired.  
**CPE credit(s):** 21 hours  
**Cost:**  
Member Price: $175  
Non-Member Price: $200  
Groups of 5 or more: $150 — please contact the Chicago IIA Registrar for more details registrar@iiachicago.com  
**Location:** Virtual Zoom Webinar  
Register below to receive call in details  
**Registration:** [Here](#)
MEMBER ANNIVERSARY

No anniversaries for this month.

Call for New Members to Join our Louisville Chapter

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information.

Some benefits of joining the IIA Louisville Chapter are as follows:

• Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
• Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
• Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
• And much more!

NEW – The IIA’s Financial Services Audit Certificate

It’s the perfect time to enhance your specialized knowledge and showcase your expertise in 11 key areas by completing the new Financial Services Audit Certificate. Passing the program exam demonstrates your competency in today’s most relevant financial services audit techniques and topics and distinguishes you from your peers.

This innovative self-study program is available exclusively through the convenient IIA Training OnDemand platform. To learn more about the new certificate program watch the video - Continuing the Conversation Interview with Lisa Hirtzinger.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials

IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

CPE REPORTING UPDATE

CPE reporting and the end of the year will be here before we know it. Members holding an IIA certification should be aware that The IIA is not waiving CPE requirements for its certifications during the COVID-19 situation.

CAREER OPPORTUNITIES

Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.
The Start Smart Advantage: New IIA Member Webinar - No CPE

December 9, 2020 2:00—3:00 p.m. ET

CPE credit is available for those attending live broadcasts of IIA members-only webinars and guidance briefings, and completing polling questions. Registration is required.

Robert Perez is director, Content Development and Delivery, at The IIA who has recently shared his personal insights through his article titled:

10 Questions About the New Three Lines Model — Click Here for the full article.

Here's an excerpt from his article:

Volunteers who helped shape The IIA’s recently published Three Lines Model realized early on they had two formidable tasks to accomplish. First, they had to create a model that clearly and simply articulates key roles in effective governance. Second, the new model had to improve the original Three Lines of Defense model, which has become deeply ingrained in modern risk management practices, regulatory processes, and laws around the world. As the leader of the working group assigned to the project, IIA Global Board Chair Jenitha John understood the challenges and the stakes involved.

To date, response to the new Three Lines Model has been largely positive. This affirmation of the working group’s efforts reflects the meticulous and studied process of updating arguably the best-known and most-used model.

John recently discussed the process to develop the Three Lines Model and her excitement and hopes for the final product.
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: $50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.