Happy December, I hope everyone enjoyed their Thanksgiving Holiday. November was full of great training for our chapter. Farrah Petter and Jonathan Johnson from the Office of Public Accounts presented Dealing with High Profile Audits in the Public Eye at our November luncheon and we had a great ethics training with Jaclyn Badeau on Why Do People Make Unethical Decision: A Deep Dive into Biases, Pressures, and Situational Factors affecting Many.

We have some great opportunities over the next couple of months. Our December luncheon seminar will be with Jantzen O’Neal from PwC. He will be presenting an overview of Uniform Guidance audits what they are, who they are applicable for, and how we perform the audits. The seminar will also include information on the CARES Act funding and how these will be subject to uniform guidance requirements this year. We also have registration open for our January seminar on Diversity and Inclusion. Jenny Medley from Humana will present “Allyship: Creating a more inclusive workplace”, Thursday, January 14, 2021, from 3-5 pm.

In December we will be having elections for three Board of Governors positions. The Board of Governors meets twice a year, once in January and once in July to discuss and vote on various chapter business. If you are interested in running or have any questions, please let me know and I will add you to the ballot.

We also still have some opportunities for chapter members to volunteer, including the membership co-chair and seminar chair. Volunteering with the chapter is a great way to promote the audit profession and get to meet fellow chapter members. If you are interested in volunteering or have questions on what specific responsibilities include, please reach out to me at, cknable@caesars.com or the chapter email chapter17@iiachapters.org

I look forward to seeing everyone in one of our future trainings. I hope everyone has a great holiday season and a happy new year.

Catie Knable
President, Louisville IIA 2020-21

**CAP REQUIREMENTS UPDATE**

CAP requirements have changed for the 2020-2021 year. The IIA is no longer assigning points to activities but focusing on the completion of activities. We are steadily making progress to reach our goal of achieving Gold status for the year by submitting required documentation, offering chapter sponsored programs, promoting IIA conferences and events, and growing our membership.

One of the goals our Chapter needs to achieve is a 2% growth in member certifications. The IIA offers four certifications; Certified Internal Auditor (CIA), Certification in Risk Management Assurance (CRMA), Qualification in Internal Audit Leadership (QIAL), and Internal Audit Practitioner. If you are interested in taking steps toward any of these certifications, please refer to the **Local IIA News** section of this newsletter for information on CIA training material discounts and the IIA website for instructions on how to become certified.
**NEWS UPDATE**

Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our 2020-2021 monthly meetings virtually. You will still receive the CPE hour if you attend virtually. Register for this meeting and you will receive the call in details.

Additionally, if you have registered and attended the past monthly meetings and have not received your CPE certificate, please reach out to Lee Taylor, Catie Knable, or Erin Bukowski.

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**DECEMBER MONTHLY VIRTUAL MEETING**

Date: Tuesday, December 8th, 2020  
Meeting Time: 12:00 - 1:00 p.m.  
Presenter(s): Jantzen O’Neal, CPA — PwC Senior Manager  
CPE credit(s): 1 hour  
Cost: Member Price: $10  |  Non-Member Price: $15  
Location: Online - Register below to receive call in details  
Registration: [https://na.eventscloud.com/592608](https://na.eventscloud.com/592608)

**PRESENTATION SUMMARY**

**Overview of Uniform Guidance audits**  
Join us this meeting where we will learn what uniform guidance audits are, who they are applicable for, and how the audits are performed. We will also learn more about the CARES Act funding and how these will be subject to uniform guidance requirements for the 2020 audit year.

Please register for this session by Monday, December 7th.

**PRESENTER PROFILE**  
**Jantzen O’Neal, CPA**

Jantzen is a Senior Manager in PwC’s Health Industries Assurance practice in Louisville, Kentucky. He has nearly 10 years of experience serving both public and private healthcare companies, as well as not-for-profit institutions and universities. In addition to being a CPA, Jantzen also holds an accreditation in business valuation (ABV) with the AICPA. Aside from his work at PwC, Jantzen is a native Kentuckian and he and his wife reside in Louisville, Kentucky with their two daughters. Jantzen is also active in the community through serving as a 'Big' for Big Brothers Big Sisters as well as on their Board of Directors.
DIVERSITY & INCLUSION SEMINAR

Date(s): Thursday, January 14th, 2021
Meeting Time: 3:00 - 5:00 p.m.
Host(s): Jenny Medley, Senior Diversity and Inclusion Professional at Humana Inc.
Topic: Allyship: Creating a more inclusive workplace
This will be an engaging virtual presentation that includes dialogue over reflections / experiences we have faced and gain techniques of how we can increase our efforts of creating a more inclusive departments.
CPE credit(s): 2 hours
Cost: Member Price: $10  |  Non-Member Price: $15
Location: Online - Register below to receive call in details
Registration: https://na.eventscloud.com/590681

Call for New Members to Join our Louisville Chapter
The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

Career Opportunities
Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.

CIA CERTIFICATION
No new certified members this month. If you are interested in pursuing and obtaining the Certified Internal Auditor (CIA) designation, see the next page for training material discounts offered by our Chapter.
LOCAL IIA NEWS

CIA / CRMA Certification News

Certification Online Testing — Available Now
To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures due to COVID-19, The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), and CIA Challenge exams from home. This flexible testing option will be available for a limited time. Visit the IIA to learn more about this opportunity to take the CIA and CRMA test from home.

Certification Q&A Session
The IIA is offering prospective and current IIA certification candidates, to join an informal 30-minute webinar. A certification expert will be available to answer your questions about certification programs, CCMS, and more. Register for the webinar by accessing the Certifications page and look for Certification Q&A Webinar to hear from The IIA Certification team and learn from your peers on study methods to prepare for IIA exams*.

*IIA exams are non-disclosed, which means that current exam questions and answers will not be published or divulged.

The All-New CIA Learning System Version 7.0 is Coming in November!
Choose The IIA’s CIA Learning System®.
Coming November 2020! The IIA’s CIA Learning System Version 7.0 is all-new and updated to focus on passing the exam parts with speed. It remains a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule. Updated online quizzes, study tools and reading materials (printed books available as an optional add-on), provide candidates with the most personalized, flexible, and efficient study experience for express, exam day success.

Members save up to $100! Order today and save!
In addition, starting November 5, customers who purchased The IIA’s CIA Learning System Version 6.0 will have the opportunity to upgrade to the new Version 7.0. Check out the Upgrade page for more details. Furthermore, if you are looking to start studying for the CIA exam, take a look at all the classes the IIA has to offer. Visit the IIA’s website here and the seminars listed on the National News section of this newsletter for further details.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

CPE Reporting Update
CPE reporting and the end of the year will be here before we know it. Members holding an IIA certification should be aware that The IIA is not waiving CPE requirements for its certifications during the COVID-19 situation. The reporting deadline also remains the same and is December 31 each year.
Robert Perez is director, Content Development and Delivery, at The IIA who has recently shared his personal insights through his article titled:

**10 Questions About the New Three Lines Model** — Click [Here](#) for the full article.

Here’s an excerpt from his article:

Volunteers who helped shape The IIA’s recently published Three Lines Model realized early on they had two formidable tasks to accomplish. First, they had to create a model that clearly and simply articulates key roles in effective governance. Second, the new model had to improve the original Three Lines of Defense model, which has become deeply ingrained in modern risk management practices, regulatory processes, and laws around the world. As the leader of the working group assigned to the project, IIA Global Board Chair Jenitha John understood the challenges and the stakes involved.

To date, response to the new Three Lines Model has been largely positive. This affirmation of the working group’s efforts reflects the meticulous and studied process of updating arguably the best-known and most-used model.

John recently discussed the process to develop the Three Lines Model and her excitement and hopes for the final product.

### Future IIA Seminars and Conferences

*NOTE: The IIA website is currently down for maintenance. Please be on the look out on [the IIA website](http://www.theiia.org) for more upcoming seminars / webinars and/or conferences to attend for CPE hours. Sorry for the inconvenience!*

<table>
<thead>
<tr>
<th>Seminars/Conferences</th>
<th>Date</th>
<th>Location</th>
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<tbody>
<tr>
<td>Fundamentals of IT Auditing</td>
<td>Nov. 30 — Dec. 9, 2020</td>
<td>Online</td>
</tr>
<tr>
<td>CIA Exam Preparation - Part 3: Practice of Internal Auditing</td>
<td>Nov. 30 — Dec. 11, 2020</td>
<td>Online</td>
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Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, if at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: $50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.