MESSAGE FROM THE PRESIDENT

Happy Holidays and Happy New Year 2021!!!

In December, we had a great turnout for our monthly luncheon seminar with Jantzen O’Neal. He gave us a great overview of Uniform Guidance audits. We also have registration open for our January seminar on Diversity and Inclusion Jenny Medley from Humana will present “Allyship: Creating a more inclusive workplace”, Thursday, January 14, 2021, from 3-5 pm.

We will also be having our January luncheon on January 12 from 12-1pm. Jeremy Lankster, will be presenting The Updated Three Lines of Defense Model, to discuss the major updates the IIA made to the model. See below for more details.

During our January meeting, we will also be having elections for the three open Board of Governor positions. I am excited to announce we have five individuals who are running. Since we are unable to meet in person, we have included a short bio of each person on page 4 below.

We will also be looking to have elections in the next couple of months for a VP and President. If you are interested in volunteering or have questions on what specific responsibilities include, please reach out to me at, cknable@caesars.com or the chapter email chapter17@iiachapters.org We also have some opportunities for chapter members to volunteer, including the membership co-chair and seminar chair. Volunteering with the chapter is a great way to promote the audit profession and get to meet fellow chapter members.

I look forward to seeing everyone in one of our future trainings. I hope everyone has a great holiday season and a happy new year.

Catie Knable
President, Louisville IIA 2020-21

MONTHLY CAP UPDATE

As you know, it is our goal to meet the requirements for Gold status each year. Below is a list of some of the things your Chapter leadership is doing to ensure we meet that goal.

- Deliver a minimum of eight (8) quality CPE hours to membership
- Offer two (2) or more chapter seminars
- Promote IIA certifications to achieve 2% certification growth
- Grow membership by 2%
- Highlight milestone member anniversaries in the newsletter
- Recruit CAE’s to the IIA
- Hold monthly CAE roundtable meetings
- Hold events within the Chapter & members within their organizations to celebrate IIA awareness month in May
**January Monthly Virtual Meeting**

Date: Tuesday, January 12th, 2021  
Meeting Time: 12:00 - 1:00 p.m.  
Presenter(s): Jeremy Lankster, CAMS — Assurance Principal at MCM  
CPE credit(s): 1 hour  
Cost: Member Price: $10 | Non-Member Price: $15  
Location: Online - Register below to receive call in details  
Registration: [Here](#)  

**Presentation Summary**

**Updated Three Lines of Defense Model**  
In July of 2020, the Institute of Internal Auditors (IIA) made major updates to the Three Lines of Defense model that has been popular for years.  

The new approach is designed to help organizations identify structures and processes that best assist the achievement of objectives and facilitate strong governance and risk management.  

The new model is designed to better identify and structure interactions and responsibilities of management, internal audit, and those charged with governance to achieve more effective alignment, collaboration, accountability, and objectives.  

Please register for this session by Monday, January 11th.  

**Presenter Profile**

Jeremy Lankster, CAMS  
Jeremy works at MCM CPA & Advisors and has more than a decade of risk management experience. He has worked with national and regional accounting firms as well as large and small financial institutions. He has experience with banks primarily regulated by OCC, FDIC, and Federal Reserve and state authorities. He has served as a Risk Manager, Director of Internal Audit, Compliance Officer, and Bank Secrecy Act Officer. Jeremy has been a frequent presenter at the Kentucky Banker’s Association Internal Audit Seminar. Jeremy is a Certified Anti-Money Laundering Specialist (CAMS) who has performed consulting and internal audit work for multiple institutions encompassing the following areas: trust, operational, financial, regulatory compliance, and Sarbanes-Oxley (SOX).  

**Calling All Leaders to Join the CAE Roundtable**  
The CAE Roundtable is active and looking for more Internal Audit (IA) Leaders to participate. If you are the IA Leader in your company and interested in participating, please contact Shawn Stevison. The meetings are on the 2nd Friday of each month at 2:00 p.m. in the afternoon via Microsoft Teams.
**Diversity & Inclusion Seminar**

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<th>Date(s):</th>
<th>Thursday, January 14th, 2021</th>
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<tr>
<td>Meeting Time:</td>
<td>3:00 - 5:00 p.m.</td>
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<td>Host(s):</td>
<td>Jenny Medley, Senior Diversity and Inclusion Professional at Humana Inc.</td>
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<td>Topic:</td>
<td>Allyship: Creating a more inclusive workplace</td>
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<td>This will be an engaging virtual presentation that includes dialogue over reflections / experiences we have faced and gain techniques of how we can increase our efforts of creating a more inclusive departments.</td>
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<td>CPE credit(s):</td>
<td>2 hours</td>
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**Call for New Members to Join our Louisville Chapter**
The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

**Career Opportunities**
Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.
BOARD OF GOVERNOR CANDIDATES

Lesley Burnette, CIA, CRMA

Lesley Burnette is an Auditor II in Republic Bank & Trust’s Internal Audit Department. She has 11 years of experience in the banking industry and 6 years of Internal Audit experience. In addition to holding the CIA and CRMA designations, she also received an MBA from Indiana University Southeast. Aside from her work with Republic Bank, Lesley is a New Albanian and enjoys long walks on the beach and cuddling with her dog and cats. She is excited about the opportunity to serve as a member of the Board of Governors for the Louisville Chapter of the IIA.

Christopher Elmore

Chris Elmore has served in auditing and accounting roles for the last 20 years. He has been leading the internal audit function at Churchill Downs Incorporated for the last 3 years. He spent 13 years in public accounting with KPMG and served as a Corporate Controller for a public oil and gas company in Dallas prior to joining Churchill Downs. Chris has served on the Board of Governors for the Louisville IIA chapter for the past two years.

John LeMaster, CPA

John LeMaster was born and raised in Louisville. He graduated from the University of Louisville with a Bachelor of Science in Business Administration with a major in accounting and minor in philosophy. He is an internal auditor with over five years of experience in the health insurance industry. Prior to becoming an internal auditor, John worked in public accounting for several years. John currently works with Jefferson County Public Schools as an internal auditor.

Shawn Stevison, CPA, CHC, CHIAP, CRMA, CGMA

Shawn Stevison has over 20 years of experience in Internal Audit, having served at Jewish Hospital Healthcare Services (JHHS), Jewish Hospital & St. Mary’s Healthcare (JHSMH), KentuckyOne Health (KYOne), and Signature Healthcare. For ten of the noted years, Shawn was employed by CHAN Healthcare and served as a Compliance Subject Matter Expert, supporting 350 internal auditors in 400 hospital-health systems across the United States. Shawn has also previously worked as a consultant with Dean Dorton’s Healthcare Services sector, providing Internal Audit and Compliance Consulting services to a variety of hospitals, skilled nursing facilities, inpatient rehabilitation facilities, physician practices, home health agencies and hospices. She has been an active member of the Louisville Chapter, serving as the Audit Chair and as the CAE Roundtable Chair in current and prior years. Shawn is a CPA, Certified in Healthcare Compliance (CHC), Certified Healthcare Internal Audit Professional (CHIAP) from the Association of Healthcare Internal Auditors (AHIA), Certified in Risk Management Assurance (CRMA) through the IIA and is a Chartered Global Management Accountant through the AICPA.

London Lopez

London Lopez has 4 years of experience in the auditing field. Currently, she is an Information Technology Internal Auditor 2, Data, Quality, and Analytics Champion, Volunteer Subcommittee Chair at Humana Inc., and the IIA Louisville Chapter Newsletter Editor. She was recognized for her performance through receiving STAR Awards at Humana Inc. Before her career at Humana Inc., she worked as an external auditor at PricewaterhouseCoopers (PwC) where she worked with clients within the manufacturing, wine and spirits, and healthcare industries. She is an alumnus of University of Kentucky where she graduated with honors receiving a Bachelor of Science degree in Accounting. In her spare time, she loves to travel (pre-COVID), volunteer, read books, and spend time with her two Boston terriers and husband.
Derby City Disclosures

LOCAL IIA NEWS

CIA/CRMA Certification News

Certification Online Testing — Available Now
To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures due to COVID-19, The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), and CIA Challenge exams from home. This flexible testing option will be available for a limited time. Visit the IIA to learn more about this opportunity to take the CIA and CRMA test from home.

Internal Audit Practitioner Designation
The new program tests a candidate’s fundamental knowledge of current internal auditing practices and understanding of internal audit issues, risks, and controls. The Internal Audit Practitioner designation demonstrates that the holder possesses internal audit aptitude and foundational knowledge of the International Standards for the Professional Practice of Internal Auditing.

If you are beginning your internal auditing career journey, obtaining the Internal Audit Practitioner designation allows you to demonstrate your knowledge and aptitude while working towards the Certified Internal Auditor® (CIA®) designation.

Learn more about the revised program requirements.

The All-New CIA Learning System Version 7.0 is Coming in November!
Choose The IIA’s CIA Learning System.
Coming November 2020! The IIA’s CIA Learning System Version 7.0 is all-new and updated to focus on passing the exam parts with speed. It remains a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule. Updated online quizzes, study tools and reading materials (printed books available as an optional add-on), provide candidates with the most personalized, flexible, and efficient study experience for express, exam day success.

Members save up to $100! Order today and save!

In addition, starting November 5, customers who purchased The IIA’s CIA Learning System Version 6.0 will have the opportunity to upgrade to the new Version 7.0. Check out the Upgrade page for more details. Furthermore, if you are looking to start studying for the CIA exam, take a look at all the classes the IIA has to offer. Visit the IIA’s website here and the seminars listed on the National News section of this newsletter for further details.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

CPE Reporting Update

CPE reporting and the end of the year will be here before we know it. Members holding an IIA certification should be aware that The IIA is not waiving CPE requirements for its certifications during the COVID-19 situation. The reporting deadline also remains the same and is December 31 each year.
Robert Perez is director, Content Development and Delivery, at The IIA who has recently shared his personal insights through his article titled:

10 Questions About the New Three Lines Model — Click [Here](#) for the full article.

Here’s an excerpt from his article:

Volunteers who helped shape The IIA’s recently published Three Lines Model realized early on they had two formidable tasks to accomplish. First, they had to create a model that clearly and simply articulates key roles in effective governance. Second, the new model had to improve the original Three Lines of Defense model, which has become deeply ingrained in modern risk management practices, regulatory processes, and laws around the world. As the leader of the working group assigned to the project, IIA Global Board Chair Jenitha John understood the challenges and the stakes involved.

To date, response to the new Three Lines Model has been largely positive. This affirmation of the working group’s efforts reflects the meticulous and studied process of updating arguably the best-known and most-used model.

John recently discussed the process to develop the Three Lines Model and her excitement and hopes for the final product.
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: $50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.