MESSAGE FROM THE PRESIDENT

I hope everyone is having a fabulous 2021. In January we had a great turnout during our monthly luncheon seminar with Jeremy Lankster, who presented us with The Updated Three Lines of Defense Model. We discussed the major updates the IIA made to the model.

During our January meeting we also held elections for the three open Board of Governor positions. I am excited to announce that London Lopez, Christopher Elmore, and Shawn Stevison were selected. Thank you to everyone who ran.

We had to reschedule our seminar on Diversity and Inclusion. Jenny Medley from Humana will present “Allyship: Creating a more inclusive workplace”, Thursday, February 18, 2021, from 3-5 pm. If you have already registered, your enrollment will carry over to this seminar. If you couldn’t attend in January hopefully the February date and time work for you.

Also on February 9, 2021 we will be having Tim Staggs, our District Representative for IIA Central District #3 speak at our lunch webinar. He will be presenting on Professional Skepticism, with his 30 plus years of experience in accounting, auditing and compliance this is sure to be a great meeting.

During our February meeting we will be having elections for our VP and President positions. If you are interested in volunteering or have questions on what specific responsibilities include, please reach out to me at, cknable@caesars.com or the chapter email chapter17@iiachapters.org We also have some opportunities for chapter members to volunteer, including the membership co-chair and seminar chair. Volunteering with the chapter is a great way to promote the audit profession and get to meet fellow chapter members.

I look forward to seeing everyone in one of our future trainings.

Catie Knable

MONTHLY CAP UPDATE

We are on track to meet our goal for the Chapter year. Chapter leadership will be holding its mid-year Board of Governor’s meeting soon to go through the CAP requirements and make sure we are fulfilling all our obligations. Please continue to support the Chapter with the following list of to-do’s.

- Attend our monthly CPE events virtually
- Attend full-day seminars offered by our Chapter
- Work toward obtaining IIA certifications
- Maintain your IIA membership & recruit any potential members
- Plan to recognize IIA Awareness Month in May within your organization

CALLING ALL LEADERS TO JOIN THE CAE ROUNDTABLE

The CAE Roundtable is active and looking for more Internal Audit (IA) Leaders to participate. If you are the IA Leader in your company and interested in participating, please contact Shawn Stevison. The meetings are on the 2nd Friday of each month at 2:00 p.m. in the afternoon via Microsoft Teams.
NEWS UPDATE

Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our 2020-2021 monthly meetings virtually. You will still receive the CPE hour if you attend virtually. Register for this meeting and you will receive the call in details.

Additionally, if you have registered and attended the past monthly meetings and have not received your CPE certificate, please reach out to Lee Taylor, Catie Knable, or Erin Bukowski.

PRESENTATION SUMMARY

Professional Skepticism
As Internal Auditors, walking the intangible fine line between supporting our respective organizations and remaining professionally objective often presents us with a difficult balancing act. Key to maintaining this balance effectively is the ability to exercise a healthy degree of professional skepticism. In this presentation we will

1) Define what is meant by professional skepticism,
2) Discuss its importance to the internal auditor and the challenges it presents, and
3) Identify ways to develop, practice, and enhance professional skepticism.

Please register for this session by Monday, February 8th.

PRESENTER PROFILE

Tim Staggs, CIA
Tim is the Vice President of Internal Audit & Compliance for Healthcare Realty Trust, headquartered in Nashville. More than 30 years of experience in managerial accounting, auditing, and compliance, spanning a variety of industries, from music to healthcare, and business types, from non-profit to both private and public corporations. A frequent speaker on a variety of topics ranging from risk to personal and professional development.

In addition, to his professional experience he is also an active IIA member as he is the current Representative for IIA Central District #3 (includes Central Kentucky, Chattanooga Area, Cincinnati, East Tennessee, Louisville, Memphis, Nashville, and Tri-State chapters); Past President of the IIA Nashville Chapter (2015 – 2017); current Board member and former chairman of the Nashville Chapter’s Long-Range Planning and Academic Relations Committees; and Member of the IAEP Advisory Board at Middle Tennessee State University.
**DIVERSITY & INCLUSION SEMINAR**

**Date(s):** Thursday, February 18th, 2021  
**Meeting Time:** 3:00 - 5:00 p.m.  
**Host(s):** Jenny Medley, Senior Diversity and Inclusion Professional at Humana Inc.  
**Topic:** **Allyship: Creating a more inclusive workplace**  
This will be an engaging virtual presentation that includes dialogue over reflections/experiences we have faced and gain techniques of how we can increase our efforts of creating a more inclusive departments.  
**CPE credit(s):** 2 hours  
**Cost:** Member Price: $10 | Non-Member Price: $15  
**Location:** Online - Register below to receive call in details  
**Registration:** [Here](#)

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**Call for New Members to Join our Louisville Chapter**

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

**Career Opportunities**

Please be sure to visit the IIA Louisville Chapter’s [career section](#) to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.

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**Certifications**

The IIA Certification site that notifies chapters of members who have passed has been down. If you or someone on your team has met the requirements of any IIA program and are now certified, please let us know so we can feature them in the upcoming newsletter.
CIA / CRMA Certification News

Mackenzie Eichengreen, CIA

CONGRATULATIONS to Mackenzie Eichengreen for passing all 3 CIA exams and officially being named a Certified Internal Auditor! We know you must feel a tremendous relief that all your hard work has paid off.

We are proud of you! We wish you the best in all your future endeavors as well.

CIA Program Entry and Exit Requirements Update
Effective November 2, CIA program entry and exit requirements were updated for candidates applying to the program after the effective date. Refer to the CIA Eligibility Requirements page to learn more.

Internal Audit Practitioner Designation
The new program tests a candidate’s fundamental knowledge of current internal auditing practices and understanding of internal audit issues, risks, and controls. The Internal Audit Practitioner designation demonstrates that the holder possesses internal audit aptitude and foundational knowledge of the International Standards for the Professional Practice of Internal Auditing.

If you are beginning your internal auditing career journey, obtaining the Internal Audit Practitioner designation allows you to demonstrate your knowledge and aptitude while working towards the Certified Internal Auditor® (CIA®) designation. Learn more about the revised program requirements.

The All-New CIA Learning System Version 7.0 is Coming in November!
Choose The IIA’s CIA Learning System®.

Coming November 2020! The IIA’s CIA Learning System Version 7.0 is all-new and updated to focus on passing the exam parts with speed. It remains a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule. Updated online quizzes, study tools and reading materials (printed books available as an optional add-on), provide candidates with the most personalized, flexible, and efficient study experience for express, exam day success.

Members save up to $100! Order today and save!

In addition, starting November 5, customers who purchased The IIA’s CIA Learning System Version 6.0 will have the opportunity to upgrade to the new Version 7.0. Check out the Upgrade page for more details. Furthermore, if you are looking to start studying for the CIA exam, take a look at all the classes the IIA has to offer. Visit the IIA’s website here and the seminars listed on the National News section of this newsletter for further details.

CPE Reporting Update

Members holding an IIA certificate, this is a gentle reminder that as you obtain CPE hours make sure you report them through IIA website so you are not scrambling to add them at year-end 2021. The reporting deadline also remains the same and is December 31 each year.
IIA ONLINE

Christine Janesko is a content developer and writer, Standards and Professional Knowledge, at The IIA who has recently shared her personal insights through his article titled:

**COVID-19 and Indoor Air Quality Risk** — Click [Here](#) for the full article.

Here's an excerpt from her article:

As organizations prepare to reopen their offices, they need to ensure that employees can breathe safely in their buildings. Office workers around the world have a trust issue. That’s what a handful of studies have to say on the subject of newly remote workers returning to the office while COVID-19 simmers.

According to a recent survey of 3,400 workers in seven countries by public relations firm Edelman, half of the respondents do not deem their offices safe to return to. Only 14% say they trust their CEOs or senior managers to lead on return-to-the-office plans. Another survey, by global activist organization Lives Over Leases, indicates that more than 30% of full-time U.S. employees do not trust their building or landlord to abide by the health and safety guidance of government health organizations such as the U.S. Centers for Disease Control and Prevention (CDC).

In July 2020, following global pressure by scientists to change its guidance on how the virus is transmitted, the World Health Organization (WHO) acknowledged that COVID-19 can be spread not only via close contact but through airborne transmission, with poor ventilation playing a role.

**Future IIA Seminars and Conferences**

<table>
<thead>
<tr>
<th>Seminars/Conferences</th>
<th>Date</th>
<th>Location &amp; CPE hours</th>
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<tbody>
<tr>
<td>IT General Controls</td>
<td>Feb. 2-4, 2021</td>
<td>Online — 4 CPEs</td>
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<tr>
<td>Advanced Risk-based Auditing</td>
<td>Feb. 2-11, 2021</td>
<td>Online — 16 CPEs</td>
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<tr>
<td>CIA Exam Preparation Instructor-led Course Part 2: Practice of Internal Auditing</td>
<td>Feb. 2-11, 2021</td>
<td>Online — 12 CPEs</td>
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<tr>
<td>Tools for Lead Auditors</td>
<td>Feb. 2-11, 2021</td>
<td>Online — 24 CPEs</td>
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<tr>
<td>Critical Thinking in the Audit Process</td>
<td>Feb. 9-18, 2021</td>
<td>Online — 16 CPEs</td>
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<tr>
<td>Fundamentals of Cybersecurity Auditing</td>
<td>Feb. 16-25, 2021</td>
<td>Online — 16 CPEs</td>
</tr>
<tr>
<td>Tools for New Auditors BOO... CAMP!</td>
<td>Feb. 23-25, 2021</td>
<td>Online — 24 CPEs</td>
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<tr>
<td>CIA Exam Preparation Instructor-led Course Part 1: Essentials of Internal Auditing</td>
<td>Feb. 24-26, 2021</td>
<td>Online — 12 CPEs</td>
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<tr>
<td>GAM Conference</td>
<td>Mar. 16-18, 2021</td>
<td>Online — 16.5 CPEs</td>
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<tr>
<td><strong>2021 IIA International Conference</strong></td>
<td>Jun. 13-16, 2021</td>
<td>Online — CPEs TBD</td>
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Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: $50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.