MESSAGE FROM THE PRESIDENT

Spring is finally here!!!

I hope everyone had a chance to enjoy the beautiful spring weather these past couple of weekends. In March we had some great training opportunities, we had Sarah Saunders, Principal Audit Manager at Jackson, who presented on Real-time Assurance over Strategic Risk. It was a great topic and we all learned some new concepts!

In April we are excited to have Rolanda London, Risk Intelligence Senior Consultant at FiveBy presenting at our lunch seminar. She is the current Vice President of the Kentuckiana (Kentucky + Indiana) chapter of the International Association of Financial Crimes Investigators (IAFCI) and will be presenting her work my work associated with this case [Louisville Metro Police Officer’s Credit Union Vice President Pleads Guilty To Fraud | USAO-WDKY | Department of Justice](https://www.usdoj.gov/usao-wdky/pr/)

We also held our Board of Governors meeting at the beginning of March. To promote consistency between chapters throughout the world, the IIA has encourages all chapters to update their bylaws to a standard set of bylaws developed by the IIA. We are working on updating our chapter bylaws to be inline with the expectation. Once we have a final draft we can share it with the entire chapter.

If you are interested in volunteering or have questions on what specific responsibilities include, please reach out to me at, cknable@caesars.com or the chapter email chapter17@iiachapters.org. We also have some opportunities for chapter members to volunteer, including the membership co-chair and seminar chair. Volunteering with the chapter is a great way to promote the audit profession and get to meet fellow chapter members.

I look forward to seeing everyone in one of our future trainings.

Catie Knable
President, Louisville IIA 2020-21

MONTHLY CAP UPDATE

The Chapter’s Board of Governors discussed CAP requirements at our semi-annual meeting. We have made a lot of progress and should be on track to meet our goal by the end of the Chapter year. Please continue to support the Chapter with the following items requested by the IIA.

- Attend our monthly CPE events virtually
- Attend full-day seminars offered by our Chapter
- Work toward obtaining IIA certifications
- Maintain your IIA membership & recruit any potential members
- Plan to recognize IIA Awareness Month in May within your organization
**April Monthly Virtual Meeting**

**Date:** Tuesday, April 13th, 2021  
**Meeting Time:** 12:00 - 1:00 p.m.  
**Presenter(s):** Rolanda London, CFCI — Risk Intelligence Sr. Consultant  
**CPE credit(s):** 1 hour  
**Cost:** Member Price: $10 | Non-Member Price: $15  
**Location:** Online - Register below to receive call in details  
**Registration:** [Here](#)

**Presentation Summary**

**Bad Decisions that Create Forensic Nightmares**
Join us to gain insight into Rolanda’s work associated with a court case where the former Vice President of the Louisville Metro Police Officer’s Credit Union was charged and pleaded guilty to a two count Information with fraud and aggravated identity theft. Refer to [this link](#) for details of the case.

Please [register for this session by Monday, April 12th](#).

**Presenter Profile**

**Rolanda London, CFCI**

Rolanda London is a Risk Intelligence Sr. Consultant with FiveBy Solutions. She is a Certified Financial Crimes Investigator that has worked in the fraud space for a credit reporting agency as well as financial institutions. Along with Synthetic Identity Fraud, Rolanda is an expert on Identity Theft, Account Takeover Fraud, Check Fraud, Card Fraud, Brute Force Mitigation, Chargeback Recovery, Consumer Education and much more. She has built successful cross functional fraud prevention strategies and created scalable mitigation techniques. She serves as a Subject Matter Expert to industry colleagues and law enforcement. She is the current Vice President of the Kentuckiana (Kentucky + Indiana) chapter of the International Association of Financial Crimes Investigators (IAFCI). Her methodical approach to fraud prevention limits losses in an evolving environment, through the implementation of proactive identification and mitigation strategies with consistent results.

**NEWS UPDATE**

Due to COVID-19, in an effort to help keep the coronavirus cases down by social distancing we will be holding our 2020-2021 monthly meetings virtually. You will still receive the CPE hour if you attend virtually. Register for this meeting and you will receive the call in details.

Additionally, if you have registered and attended the past monthly meetings and have not received your CPE certificate, please reach out to [Lee Taylor](#), [Catie Knable](#), or [Erin Bukowski](#).
CIA / CRMA Certification News

Certification Online Testing
To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures due to COVID-19, The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), Internal Audit Practitioner, and CIA Challenge exams from home. This flexible testing option will be available for a limited time. For more information please visit The IIA’s global website for online proctoring: https://na.theiia.org/certification/Pages/Certification-Online-Proctoring.aspx

Changes Coming to CRMA Program
To keep pace with the dynamic global risk arena, The IIA’s Certification in Risk Management Assurance® (CRMA®) has been updated to reflect the in-depth organizational knowledge and advanced skill sets required to succeed in risk management assurance. Learn more about CRMA program changes.

Put Your Designation on the Map - Internal Audit Practitioner
The launch of revised requirements for the Internal Audit Practitioner program makes it possible for beginning internal auditors, including students, to prove their aptitude and foundational knowledge of the Standards. The designation is active for three years and provides a pathway to becoming a Certified Internal Auditor®. Learn more about the revised program requirements.

Upcoming CIA Exam Prep Opportunities
The IIA has created three courses to assist with your CIA exam prep needs. Our instructor-led courses provide the critical tools and knowledge necessary to earn advanced certifications and broaden your auditing acumen. Register now

The All-New CIA Learning System Version 7.0 is Available!
Choose The IIA’s CIA Learning System®.
The IIA’s CIA Learning System Version 7.0 is all-new and updated to focus on passing the exam parts with speed. It remains a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule. Updated online quizzes, study tools and reading materials (printed books available as an optional add-on), provide candidates with the most personalized, flexible, and efficient study experience for express, exam day success.

Members save up to $100! Order today and save!

In addition, if you are looking to start studying for the CIA exam, take a look at all the classes the IIA has to offer. Visit the IIA’s website here and the seminars listed on the National News section of this newsletter for further details.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.
Call for New Members to Join our Louisville Chapter

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

Career Opportunities

Park Community Credit Union

Location: Louisville, Kentucky (Headquarters)
Date Ending: Not available

Note: Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.

Calling All Leaders to Join the CAE Roundtable

The CAE Roundtable is active and looking for more Internal Audit (IA) Leaders to participate. If you are the IA Leader in your company and interested in participating, please contact Shawn Stevison. The meetings are on the 2nd Friday of each month at 2:00 p.m. in the afternoon via Microsoft Teams.
IIA Online

Tony Jackson, CIA is chief audit executive at SelectQuote Inc. who has recently shared his personal insights about how through his article titled:

**Diversity Champions** — Click [Here](#) for the full article.

Here’s an excerpt from his article:

Internal audit should be a leader in diversity, equity, and inclusion efforts to mitigate culture risk.

As culture has become a strategic focus for organizations in recent years, internal auditors have looked to support this strategic objective and the risks associated with it. Among the many implications of 2020 on organizational culture, there is an opportunity to shift the narrative relative to diversity, equity, and inclusion (DE&I). The past year has created a dramatic wave of momentum following several high-profile, racially charged events. In its wake, company after company made public statements decrying racism, calling for action, and committing to DE&I in their organizations.

There is great value in a highly diverse, inclusive workforce guided by equitable standards that reinforce and celebrate differences and unique capabilities throughout the organization. It can build, strengthen, and solidify the culture that has become a seminal strategic catalyst to achieving corporate objectives. So does that make the events and behaviors during 2020 a threat to an organization’s culture, if not taken seriously? Or, is there an opportunity for organizations that embrace these actions and make changes to lay a foundation for sustainable DE&I in the future?

<table>
<thead>
<tr>
<th>Seminars/Conferences</th>
<th>Date</th>
<th>Location &amp; CPE hours</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>COSO Internal Control Certificate</strong></td>
<td>Apr. 5-9, 2021</td>
<td>Online — 25.5 CPEs</td>
</tr>
<tr>
<td><strong>Fundamentals of Risk-based Auditing</strong></td>
<td>Apr. 5-12, 2021</td>
<td>Online — 16 CPEs</td>
</tr>
<tr>
<td><strong>CIA Exam Preparation Instructor-led Course Part 1: Essentials of Internal Auditing</strong></td>
<td>Apr. 5-16, 2021</td>
<td>Online — 12 CPEs</td>
</tr>
<tr>
<td><strong>Data Analysis for Internal Auditors</strong></td>
<td>Apr. 13-14, 2021</td>
<td>Online — 8 CPEs</td>
</tr>
<tr>
<td><strong>Critical Thinking in the Audit Process</strong></td>
<td>Apr. 13-22, 2021</td>
<td>Online — 16 CPEs</td>
</tr>
<tr>
<td><strong>Fundamentals of IT Auditing</strong></td>
<td>Apr. 13-22, 2021</td>
<td>Online — 16 CPEs</td>
</tr>
<tr>
<td><strong>Tools for New Auditors</strong></td>
<td>Apr. 19-30, 2021</td>
<td>Online — 24 CPEs</td>
</tr>
<tr>
<td><strong>Communication Skills for Auditors: Interviewing and Negotiating</strong></td>
<td>Apr. 20-29, 2021</td>
<td>Online — 16 CPEs</td>
</tr>
<tr>
<td><strong>CIA Exam Preparation Instructor-led Course Part 2: Practice of Internal Auditing</strong></td>
<td>Apr. 26-29, 2021</td>
<td>Online — 12 CPEs</td>
</tr>
</tbody>
</table>
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: $50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.