MESSAGE FROM THE PRESIDENT

President’s Message

I hope to see everyone at our March events. We kick off March with our regular lunch meeting on Tuesday, March 12 at the Bristol Bar & Grille location downtown where Eric Vyverberg and Jim Henderson of Protiviti will be presenting on Third Party Risk Management.

The Louisville Chapter held elections for the 2019-2020 officers at our February lunch meeting. I am excited to announce that the following were elected to serve the Louisville Chapter next year:

- Erin Bukowski – President
- Catie Knable – Vice President
- Jenni Schelling – Secretary
- Brittany Schaefer – Treasurer

I know they will be amazing, and look forward to seeing what they bring us this fall and next spring!

Our Chapter will welcome William Woodington for a two-day seminar on Audit Skills March 21 and 22. We will be holding the training at the 12th Floor Conference Room in the Flashcube Building at 9300 Shelbyville Road.

Finally, the Louisville Chapter is accepting nominations for our annual Tone at the Top Award. Is there someone at your organization that you would like to nominate for this prestigious honor? Please submit your nomination to me by March 31, 2019.

2019 TONE AT THE TOP AWARD

IIA Louisville Accepting Nominations for the 2019 Tone at the Top Award

The IIA Louisville is excited to begin accepting applications for our Third Annual Tone at the Top Award. Please complete the application, and return it to Sonja Heath by March 31, 2019. The application can be found on the Chapter website here. The award will be presented at our May 2019 lunch meeting.
March Monthly Meeting

Date: Tuesday, March 12, 2019
Registration: 11:30 a.m. - 12:00 p.m. ET
Meeting Time: 12:00 - 1:00 p.m.
Topic: 2019 Third Party Risk Management
Presenter(s): Eric Vyverberg and Jim Henderson, Protiviti
CPE credits: 1 hour
Cost: Member Price: $25
Non-Member Price: $30
Location: Bristol Bar & Grill - Downtown
614 W. Main Street
Louisville, KY 40202
Registration: Here

Presentation Summary

2019 Third Party Risk Management

Effective Third Party Risk Management (TPRM) is part of the discussion of an effective security program at most organizations. Join us for a deeper look to better understand what an effective TPRM program looks like, the importance to the organization, and ways Internal Audit (IA) and Risk should be involved. We will discuss how organizations are addressing the TPRM efforts.

During this one hour discussion, we will introduce and cover topics including:

- Overview of Third Party Risk
- What does a Third Party Risk Management Program look like?
- Results of our Vendor Risk Management Benchmarking Study
- The IA Approach to Vendor Management
- Best Practices and Challenges Managing Third Party Risk

Presenters’ Profiles

Eric Vyverberg is a Managing Director in Protiviti’s Internal Audit and Financial Advisory practice in Indianapolis IN serving the Ohio Valley region. Eric has been an Internal Auditor for over 16 years with a focus in risk advisory and information technology. Eric’s recent focus with his clients include managing risk associated with a wide array of technology challenges including governance, security, change, and transformational projects.

Jim Henderson is a Manager in Protiviti’s Technology Consulting practice in Chicago, IL serving the Midwest Region. Jim’s primary role is leading Security & Privacy engagements for clients, many of which have included implementing, running, and managing Third Party Risk programs.

Lunch Menu

The monthly meeting includes your choice of one meal selection:

**Theresa’s Sweet Chili Linguini**
Pasta tossed with cream and Thai chili sauce topped with blackened chicken.

**Chicken Club Wrap**
Grilled chicken, bacon, lettuce, tomato, cheddar cheese and ranch dressing wrapped in flatbread. Served with chips.

**Linguini Pietro**
Linguini tossed with mushrooms, capers and sun-dried tomatoes in white wine and olive oil.

**Turkey Sandwich**
Smoked turkey breast, cheddar cheese, lettuce and tomato on toasted wheat bread (packet of mayonnaise and mustard on the side). Served with chips.
CALL FOR VOLUNTEERS

A list of current chapter committees is on page six. Chairpersons for each committee are appointed each year by the newly-elected chapter presidents. Volunteers are needed to work under the director of the committee chairpersons.

Remember that you help shape the success of the chapter through all of your volunteering efforts. If you have not previously, or recently, served on a chapter committee, please seriously consider volunteering.

If you have an interest in a certain committee and would like to serve, or have questions about specific committee responsibilities, please contact Sonja Heath or Adrien Wade.

CAREER OPPORTUNITIES

Company: Signature Healthcare
Title: Internal Auditor
City/State: Louisville, KY
Link: Click Here

As Internal Auditor, you will be responsible for planning, leading, and conducting internal audit reviews to test controls and identify process improvements. Prepare, oversee, and conduct internal audits of varying complexity and scope on all operations of the organization, including financial, operational, compliance, and strategy-focused audits.

Requirements for Consideration include:
- Bachelor’s degree required, MBA preferred.
- CPA certification required.
- A minimum of eight (8) years of experience with good management skills demonstrated.
- Must have healthcare industry experience, preferably long term care.
- Effective verbal and written English communication skills.

Please be sure to visit the IIA Louisville Chapter’s career section to see any current job opportunities in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in the newsletter and website.

MONTHLY CAP UPDATE

CAP Update
March 2019
The current CAP total is 1,221. In February we held the monthly luncheon meeting on the topic “Perspectives on ERM” which included an announcement of the Chapter Junior Achievement event at Sanders Elementary on March 8th. The minimum goal for CAP is 1,560 points and we are 339 points away. Member participation at the Junior Achievement event and 2-day Audit Skills seminar in March will help us reach our goal. The CAP categories are listed below.

Service to Members: 728
Professionalism: 175
Chapter Health: 318
## Audit Skills Training

**Date:** Thursday, March 21, 2019 & Friday, March 22, 2019  
**Topic:** Audit Skills Training Course  
**Presenter(s):** Woodington Training Solutions  
**CPE credits:** 16 CPE  
**Cost:**  
- **Early Bird** on or before February 15, 2019  
  - Member Price: $550  
  - Non-Member Price: $600  
- **After February 15, 2019**  
  - Member Price: $600  
  - Non-Member Price: $650

**Location:**  
9300 Shelbyville Rd  
12th Floor Conference Room  
Louisville, KY 40222  
**Registration:** [Here](#)

### Intermediate Auditing Skills Seminar

This class is for individuals who need training related to audit skills. Audit topics and behavioral topics are presented in the class. The class topics include risk, internal auditing, the successful auditor, interim performance appraisals, assertiveness, fieldwork, exit meetings, reporting, follow-up, and workplace conduct/professionalism.

What you will gain from this seminar:

- Gain an understanding of risk and audit's role in risk assessment
- Discover how internal auditing provides value to an organization
- Learn about the IIA standards and the components of internal control as defined by COSO
- Identify the key attributes of a successful auditor
- Identify the key components of audit evidence, sampling, and fraud auditing
- Learn the key components of an Issue and Recommendation (I&R)
- Identify the key components and related deliverables of each phase in the audit process
- Practice identifying proper workpaper documentation including workpaper review
- Identify proper ways to handle staff and business partner behavioral issues including conflict management
- Identify the key components of audit reporting and follow-up
- Practice key assertiveness techniques in dealing with conflict and criticism
- Identify appropriate workplace conduct and professionalism attributes

Auditors and compliance specialists who desire additional skills related to internal auditing should attend this seminar.
Junior Achievement

The Louisville Chapter of the Institute of Internal Auditors will be volunteering again at Sanders Elementary, for our 11th year of teaching!

We will be volunteering on Friday, March 8th. The teaching time will be 8:30 – 11:30.

Sanders Elementary has requested that we instruct all grades, Kindergarten – 2nd grade (3 classes per grade). Please mark your calendars and find a teaching buddy to volunteer with you! You are welcome to invite your fellow co-workers to participate – we will need at least 18 volunteers.

Please use the attached link here to sign up to volunteer. When you sign up you will be able to select your preferred grade. If you have done this in the past, please join us again! We are working with JA and the school to ensure that we have teacher participation while we are in the classroom so that the students get the most out of these lessons.

Looking forward to another successful year, thanks to your help! If you have questions, please contact Tracy Wilkerson (twilkerson1@humana.com or 502.476.1095).

CIA Certification News and Exam Prep

The Louisville Chapter is considering providing an instructor led review course for the CIA exam. If you would be interested in this, please reach out to our Certifications Chair, Bob Aemmer.

Additionally, the IIA Louisville Chapter has a discount program available to our Chapter members only for study materials from Gleim. Information on this program is available here. Please note, you will be required to log in using your IIA credentials to access this Member’s Only page.

Exam Prep—Need help with your CIA exam preparations? Join an online CIA review class.

Are you preparing for the Certified Internal Auditor® (CIA®) exam? Do you prefer interactive facilitator-led training, but haven’t found the right course or the time in your schedule? Check out The IIA’s CIA Learning System® online facilitator-led courses.

Why enroll in an online facilitator-led CIA course?

♦ Get your questions answered. Interact with a CIA-certified expert instructor and your peers to clarify difficult topics and apply them to real-world situations.
♦ Curb the urge to procrastinate. Follow a set curriculum to complete your CIA preparations on schedule.
♦ Create a flexible class schedule. Since schedules can be difficult to predict, this online course allows you to study any time of the day or night – wherever you have Web access.
♦ Qualify for tuition reimbursement. Many companies offer tuition reimbursement for courses offered through accredited colleges and universities.

For a complete and current list of online courses, visit www.LearnCIA.com/classes-offered. Contact the course provider directly for tuition and registration details.
NATIONAL IIA NEWS

CIA Exam Changes 2019

To ensure the material within all three parts of the CIA exam stays current and valid, the CIA underwent a global job analysis study to determine the knowledge, skills, and abilities most applicable to today’s internal audit practitioners. The study results indicated the need to revise the exam syllabi to reflect the evolution of the internal audit profession worldwide.

As a result of the study, the updated CIA exams will take effect January 2019 (in English only) as follows:

- Three exam parts are balanced, clear, and uniform.
- Minimal duplication and overlap among exam parts.
- Aligned with The IIA’s International Standards for the Professional Practice of Internal Auditing.
- Up-to-date with the current global practice of internal auditing.
- Covers the knowledge, skills, and abilities exam candidates must possess to be the most competent and capable internal auditor possible.

Each candidate should review the changes and determine the best timing to complete their individual studies and take the exam. Please review the CIA Exam: Why and How It’s Changing Handbook and CIA Exam: FAQs for additional details.

Certification Reporting

2018 CPE/CPD (continuing professional development requirements) reporting is now open. Log in to the Certification Candidate Management System (CCMS) to complete the CPE or CPD Reporting Form.

The reporting deadline is Dec. 31 each year. Should you fail to report your CPE/CPD, your certification/qualification will become inactive, and as a result, you are no longer approved to represent yourself as a certified individual. Individuals remaining "Inactive" for 12 months or longer will be charged a certification reinstatement fee.

NEW! In 2018, two of your CPE/CPD credits must be earned in Ethics. The IIA currently provides Ethics for Internal Auditors, an OnDemand course that can help you obtain these CPE. The IIA will be adding additional ethics training options throughout 2018 and beyond.

As an IIA certified or qualified professional, you are responsible for ensuring that the CPE or CPD information in your report is accurate. You must include your membership or customer identification number when reporting your CPE or CPD. Get more information on CPE reporting steps for current and inactive certificates.

Future IIA Seminars and Conferences

<table>
<thead>
<tr>
<th>Conference</th>
<th>Date</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Audit Management Conference</td>
<td>March 11-13, 2019</td>
<td>Gaylord Texan/Dallas/Ft. Worth, TX</td>
</tr>
<tr>
<td>Internal Conference</td>
<td>July 7-10, 2019</td>
<td>Anaheim, CA</td>
</tr>
<tr>
<td>Financial Service Exchange</td>
<td>September 16-18, 2019</td>
<td>Washington DC</td>
</tr>
<tr>
<td>Women in Internal Audit Leadership</td>
<td>September 18, 2019</td>
<td>Washington DC</td>
</tr>
</tbody>
</table>
## Officers and Committee Chairpersons

**President and Nominating Committee Chair**  
**Sonja Health**  
Humana, Inc.  
(502) 580-3246

**Vice President**  
**Adrien Wade**  
Humana, Inc.  
(502) 476-3420

**Secretary and Chapter Achievement Program Chair**  
**Jenni Schelling**  
Louisville Water Company  
(502) 569-3647

**Treasurer**  
**Brittany Schaefer**  
Kindred Healthcare  
(502) 596-7605

### Academic Relations
**Judy Martin**  
University of Louisville

### Advocacy/Diversity
**Glenn E. Reed**  
Louisville Metro Government

### Audit
**Alex Goffner**  
American Commercial Barge Lines

### Certifications
**Bob Aemmer**  
LG&E and KU Energy

### Chief Audit Executive Roundtables
**Shawn Stevison**  
Signature Healthcare Consulting Services, LLC

### Government Relations
**Jodi Renn**  
Jefferson County Public Schools

### Junior Achievement
**Tracy Wilkerson**  
Humana, Inc.

### Membership
**Brent Dunne Gillies**  
Hilliard Lyons

**Lee Taylor**  
Hilliard Lyons

### Newsletter Editor
**Catie Knable**  
Caesars Entertainment

### Programs/Seminars
**Melanie Nesler**  
Computershare

### Quality Assessment Reviews
**Jodi Renn**  
Jefferson County Public Schools

### Social Activities
**Andrea Higgins**  
Robert Half Management Resources

### Webmaster/Social Media
**Sonja Heath**  
Humana, Inc.

## Board of Governors

### Elected Member Terms
**Brandon Booth** (Thru 12/31/2019)  
Louisville Metro Government

**Erin Bukowski** (Thru 12/31/2019)  
Citizens Union Bank

**Chris Elmore** (Thru 12/31/2020)  
Churchill Downs

**Heather Krauser** (Thru 12/31/2020)  
Humana, Inc.

**Glenn E. Reed** (Thru 12/31/2020)  
Louisville Metro Government

### Past Presidents Terms
**Sonja Health** (Thru 5/31/2020)  
Humana, Inc.

**Sasha Pavoni** (Thru 5/31/2019)  
Humana, Inc.

## Advertisement Policy
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are:  
$50 – ½ page; $100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.