MESSAGE FROM THE PRESIDENT

President’s Message

I hope to see everyone at our April events. We kick off April with our regular lunch meeting on Tuesday, April 9 at the Bristol Bar & Grille location downtown where Colin Flood of EY’s Forensics & Integrity Services will be presenting on Fraud—Integrity in the Spotlight: The Future of Compliance.

We will be having an afternoon meeting at Republic Bank – Springhurst on Thursday, April 18 where Greg Hayworth will present on the practical application of Data Analytics.

In May, we will have our final afternoon meeting of the 2018-2019 Chapter year where Bill Meyer of Strothman and Company will be presenting on Ethics. If you have never had the opportunity to hear Bill speak, I highly recommend him.

Our final lunch meeting of the year will be on Friday, May 17 at Churchill Downs. The event will include lunch, the speaker presentation, and everyone will have the opportunity to stay for our social event and see the races that afternoon.

Finally, there are just a few days remaining for you to submit your nominations for our third annual Tone at the Top award. Is there someone at your organization that you would like to nominate for this prestigious honor? Please submit your nomination to me by March 31, 2019.

Sonja Heath
IIA Louisville President

LOUISVILLE IIA CALENDAR OF EVENTS

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<td>Tuesday, April 09, 2019</td>
<td>IIA Lunch Meeting at the Bristol</td>
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<td>Thursday, April 18, 2019</td>
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<td>Thursday, May 09, 2019</td>
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<td>Friday, May 17, 2019</td>
<td>IIA Lunch/Social May Lunch Meeting at Churchill</td>
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LUNCH MENU
The monthly meeting includes your choice of one meal selection:

**Bruschetta Chicken**
Grilled herb marinated chicken topped with house marinated Bruschetta tomatoes and mozzarella cheese. Served with vegetables.

**Mediterranean Pasta**
Spinach, pine nuts, sun-dried tomatoes, Kalamata olives and Feta cheese, tossed with penne pasta in olive oil and white wine.

**Cobb Salad**
Diced chicken, diced tomatoes, green onions, hard boiled egg, fresh avocado, Danish bleu cheese and bacon, served over iceberg wedge. Served with vegetables.

**Turkey Sandwich**
Smoked turkey breast, cheddar cheese, lettuce and tomato on toasted wheat bread (packet of mayonnaise and mustard on the side). Served with chips.

APRIL MONTHLY MEETING

Date: Tuesday, April 9, 2019
Registration: 11:30 a.m. - 12:00 p.m. ET
Meeting Time: 12:00 - 1:00 p.m.
Topic: Integrity in the spotlight: The future of compliance
Presenter(s): Colin Flood, Senior Manager Ernst & Young LLP, Forensic & Integrity Services
CPE credits: 1 hour
Cost: Member Price: $25
Non-Member Price: $30
Location: Bristol Bar & Grill - Downtown
614 W. Main Street
Louisville, KY 40202
Registration: [Here](#)

PRESENTATION SUMMARY

**Integrity in the spotlight: The future of compliance**

With the adoption of new technologies by business, changing regulation and increased enforcement, the risk landscape has become more complex. Organizations increasingly use digital technology to change the way they do business, which is heightening their exposure to fraud, corruption and other risks. This discussion will cover results from EY’s Global Fraud Survey and how advances in technology can be used to transform legal, compliance and internal audit functions.

**Presenters’ Profiles**

Colin Flood in a Senior Manager in EY’s Forensic & Integrity Services, Fraud Investigations and Monitoring. He has more than 12 years of forensic accounting investigation and compliance experience, specializing in fraud, bribery and corruption, integrity diligence and the design and implementation of compliance programs. Colin is a CPA and Certified Fraud Examiner. Colin lives in Chicago and is a graduate from the University of Notre Dame.

2019 TONE AT THE TOP AWARD

IIA Louisville Accepting Nominations for the 2019 Tone at the Top Award

The IIA Louisville is excited to begin accepting applications for our Third Annual Tone at the Top Award. Please complete the application, and return it to Sonja Heath by March 31, 2019. The application can be found on the Chapter website [here](#). The award will be presented at our May 2019 lunch meeting.
2019 Spring East End IIA Afternoon Meeting

Date: Thursday April 18, 2019
Registration: 2:30 p.m. - 3:00 p.m. ET
Meeting Time: 3:00 - 5:00 p.m.

Topic: Practical Applications of Data Analytics: How analytics can be applied to increase the impact of audit selection and improve audit productivity, including practical examples of how analytics technology has been applied at Humana.

CPE credits: 2 hours

Cost: Member Price: $15
Non-Member Price: $20

Location: Republic Bank & Trust
Lower Level Conference Room
9600 Brownsboro Road
Louisville, KY 40241

Registration: Here

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2019 May Lunch Meeting and Social Gathering

Date: Friday, May 17, 2019
Registration: 11:30 a.m. - 12:00 p.m. ET
Meeting Time: 12:00 - 5:00 p.m.

Topic: Best Practices and Challenges Managing Third Party Risk

CPE credits: 1 hour

Cost: Member Price: $25
Non-Member Price: $30

Location: Churchill Downs
Oak Room for Lunch followed by Social Gathering at Jockey Suite
700 Central Avenue
Louisville, KY 40208

Registration: Here
CALL FOR VOLUNTEERS

A list of current chapter committees is on page six. Chairpersons for each committee are appointed each year by the newly-elected chapter presidents. Volunteers are needed to work under the director of the committee chairpersons.

Remember that you help shape the success of the chapter through all of your volunteering efforts. If you have not previously, or recently, served on a chapter committee, please seriously consider volunteering.

If you have an interest in a certain committee and would like to serve, or have questions about specific committee responsibilities, please contact Sonja Heath or Adrien Wade.

CAREER OPPORTUNITIES

Company: Signature Healthcare
Title: Internal Auditor
City/State: Louisville, KY
Link: Click Here

As Internal Auditor, you will be responsible for planning, leading, and conducting internal audit reviews to test controls and identify process improvements. Prepare, oversee, and conduct internal audits of varying complexity and scope on all operations of the organization, including financial, operational, compliance, and strategy-focused audits.

Requirements for Consideration include:
- Bachelor's degree required, MBA preferred.
- CPA certification required.
- A minimum of eight (8) years of experience with good management skills demonstrated.
- Must have healthcare industry experience, preferably long term care.
- Effective verbal and written English communication skills.

Please be sure to visit the IIA Louisville Chapter's career section to see any current job opportunities in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in the newsletter and website.

MONTHLY CAP UPDATE

MONTHLY CAP UPDATE

CAP Update
April 2019

During the prior month we hosted our monthly luncheon meeting on the topic “2019 Third Party Risk Management” and a 16 CPE seminar on “Audit Skills Training”. Chapters members volunteered to participate in the Junior Achievement “JA in a Day” teaching event at Sanders Elementary. We earned 20 points per certification for members Philip Blakely, Angela Moore, and Marea Aspillaga who earned their Certified Internal Auditor designations. Congratulations!

The CAP total is 1,389 and the categories are listed below.

Service to Members: 714
Professionalism: 175
Chapter Health: 500
The Louisville Chapter of the Institute of Internal Auditors volunteered at Sanders Elementary, for our 11th year of JA in a Day at Sanders Elementary on March 8th. We taught nine classes (K-2) with 18 volunteers! All went well!

New Members:
None

Certification Recognition
None

CIA Certification news and Exam Prep

The Louisville Chapter is considering providing an instructor led review course for the CIA exam. If you would be interested in this, please reach out to our Certifications Chair, Bob Aemmer.

Additionally, the IIA Louisville Chapter has a discount program available to our Chapter members only for study materials from Gleim. Information on this program is available here. Please note, you will be required to log in using your IIA credentials to access this Member’s Only page.

Exam Prep—Need help with your CIA exam preparations? Join an online CIA review class.

Are you preparing for the Certified Internal Auditor® (CIA®) exam? Do you prefer interactive facilitator-led training, but haven’t found the right course or the time in your schedule? Check out The IIA’s CIA Learning System® online facilitator-led courses.

For a complete and current list of online courses, visit www.LearnCIA.com/classes-offered. Contact the course provider directly for tuition and registration details.
CIA Exam Changes 2019

To ensure the material within all three parts of the CIA exam stays current and valid, the CIA underwent a global job analysis study to determine the knowledge, skills, and abilities most applicable to today's internal audit practitioners. The study results indicated the need to revise the exam syllabi to reflect the evolution of the internal audit profession worldwide.

As a result of the study, the updated CIA exams will take effect January 2019 (in English only) as follows:

- Three exam parts are balanced, clear, and uniform.
- Minimal duplication and overlap among exam parts.
- Aligned with The IIA’s International Standards for the Professional Practice of Internal Auditing.
- Up-to-date with the current global practice of internal auditing.
- Covers the knowledge, skills, and abilities exam candidates must possess to be the most competent and capable internal auditor possible.

Each candidate should review the changes and determine the best timing to complete their individual studies and take the exam. Please review the CIA Exam: Why and How It’s Changing Handbook and CIA Exam: FAQs for additional details.

Certification Reporting

2018 CPE/CPD (continuing professional development requirements) reporting is now open. Log in to the Certification Candidate Management System (CCMS) to complete the CPE or CPD Reporting Form.

The reporting deadline is Dec. 31 each year. Should you fail to report your CPE/CPD, your certification/qualification will become inactive, and as a result, you are no longer approved to represent yourself as a certified individual. Individuals remaining "Inactive" for 12 months or longer will be charged a certification reinstatement fee.

NEW! In 2018, two of your CPE/CPD credits must be earned in Ethics. The IIA currently provides Ethics for Internal Auditors, an OnDemand course that can help you obtain these CPE. The IIA will be adding additional ethics training options throughout 2018 and beyond.

As an IIA certified or qualified professional, you are responsible for ensuring that the CPE or CPD information in your report is accurate. You must include your membership or customer identification number when reporting your CPE or CPD. Get more information on CPE reporting steps for current and inactive certificates.
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **$50 – 1/4 page; $100 – full page**. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the