MESSAGE FROM THE PRESIDENT

Hello and welcome to a new chapter year for the Louisville IIA! My name is Erin Bukowski, and I am honored to be serving you this year as your IIA President. I hope you all had a wonderful summer and you are ready to get back into the swing of things with some great CPE opportunities in the continuing months.

The Louisville Chapter of The Institute of Internal Auditors (IIA) serves the Louisville Metro and Southern Indiana area. The Chapter has approximately 270 current members. This year, we will be offering nine lunch meetings which will be held at the Bristol Downtown on the second Tuesday of every month from 12-1pm and will provide one hour of CPE. We will also be offering three two hour CPE meetings throughout the year in various locations as well as several seminars to meet all of your CPE needs. We look forward to seeing many new and old faces throughout the year at our events. See details below on subscription pricing.

Our first lunch meeting is scheduled for Tuesday September 10th and we will be hosting Ben Hughes from the FBI. He will be discussing the many cyber threats faced by companies today and how can they be mitigated. Details on pricing and lunch options are listed below.

On September 26th from 5:30-7:30pm we will be representing the Internal Audit profession at the ISACA/IIA Student Event hosted by Bellarmine University. Students from all local Universities are invited to attend and mingle with professionals. This is a great opportunity for us to promote our profession, and can also be a great recruiting opportunity if you are looking to hire. If you would like to attend, please contact our current Vice President, Catie Knable, (cknable@Caesars.com), for additional details.

Finally, we have several volunteer positions open, including Academic Relations Chair and Social Media Chair. Volunteering for the IIA is easy, and is a great way to meet fellow members and promote our profession. If you are interested in volunteering, please reach out to me, (emb@cubbank.com). I'm looking forward to a great year!

Erin Bukowski, CPA, CIA

MONTHLY CAP UPDATE

The Louisville Chapter surpassed the requirement to maintain our Platinum status for the seventh year! Chapters achieve Platinum standing when the Gold target is reached for 10 of 11 consecutive years. The goal was 1,560 and our year-end total was 1,813. Some of the major contributors to our success include attendance at our monthly meetings and seminars, certifications earned by our members, the chapter scholarship program with awards granted to Bellarmine University, UofL, and IUS; and the Junior Achievement Program including the JA in a Day event at Sanders Elementary as well individual participation of our members throughout the year. Our success is due to our membership – thank you for your participation and support! We wouldn’t be the successful Chapter that we are without your involvement.
SEPTEMBER MONTHLY MEETING

Date: Tuesday, September 10th, 2019
Registration: 11:30 a.m. - 12:00 p.m. ET
Meeting Time: 12:00 - 1:00 p.m.
Presenter(s): Ben Hughes, Staff Operations Specialist—Cyber
CPE credits: 1 hour

Cost: Member Price: $25  
Non-Member Price: $30

Location: Bristol Bar & Grill - Downtown  
614 W. Main Street  
Louisville, KY 40202

Registration: Here

PRESENTATION SUMMARY

2019 Cybersecurity Threats Management
Join us for an update on the latest trends and threats in cybersecurity. The most common cyber threats faced by companies today include business email compromise, ransomware, and phishing. How serious are these threats? How can they be addressed if they hit your place of business? And, how can they be mitigated?

In addition, we are asking that if you would like lunch to be included to register with your meal choice prior to September 10th.

PRESENTER PROFILE

Ben Hughes, Staff Operations Specialist—Cyber

Ben Hughes is a Staff Operations Specialist for FBI Louisville’s cyber squad, assisting in investigations of computer intrusion and ransomware. Mr. Hughes has worked for the FBI in Louisville for 9 years, and partners with Special Agents to identify and locate cyber actors.

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2019-2020 IIA MEETING SUBSCRIPTION MEMBERSHIPS

Welcome back! Hope everyone has had an enjoyable summer. We are excited to get the 2019-2020 IIA Chapter year started, chapter officers have been hard at work putting together a great lineup of programming for the year.

Subscription Memberships are available to purchase, $210 (members-only cost) gets you access to all monthly lunch meetings and the three afternoon meetings, it’s a great deal and cuts down on the expense reports! Refer to page 3 below for further details.
SEPTEMBER 2019
ISACA & IIA FALL STUDENT EVENT

Date: Thursday, September 26, 2019
Meeting Time: 5:30 p.m. - 7:00 p.m. ET

Schedule of Events:
5:30pm - 6:00pm: Opening Remarks by Local Chapter Presidents
6:00pm - 6:45pm: Networking, Drinks & Snacks
6:45pm - 7:00pm: Wrap Up & Prize Drawings

Location: Bellarmine University
Centro Building 2nd floor Hilary
2001 Newburg Road
Louisville, Kentucky 40205

Registration: Here

2019 ISACA & IIA FALL STUDENT EVENT SUMMARY
Help the local university students grow their professional network by volunteering at the 2019 ISACA & IIA Fall Student Event. The IIA Chapter needs volunteers from to network with students by answering questions about the Internal Audit Profession. The event will allow for students to stop by periodically through out the evening in a casual reception setting with light appetizers. No preparation is required. We have invited students from Bellarmine, University of Louisville, Indiana University Southeast, Sullivan, and Spalding.

2019–2020 IIA LOUISVILLE SUBSCRIPTION MEMBERSHIP

The IIA Louisville Chapter is pleased to once again be offering a subscription membership for all Luncheon (Downtown) and Afternoon (East End) meetings for the 2019-2020 Chapter year. This option is for IIA Louisville members only. The cost is $210.00 for nine luncheon meetings, and three afternoon meetings. This is a savings of $60, and will provide 15 CPE if all events are attended. This does not include any seminars.

Similar to last year, you will be provided with a unique payment code approximately 48 hours after you register for the subscription membership. This code should be used when registering for all lunch and afternoon events that you will be attending for the year. You MUST still register for each event separately, and use the payment code for those events.

CPE credits: 15 CPE hours (1 hour for your attendance at all 9 luncheon meetings plus 2 hours at the each the 3 afternoon meetings)

Cost: Member Price: $210

2019-2020 IIA Louisville Subscription Membership Registration: Here

CIA CERTIFICATION
Brittany Myers
Kenneth Stutsman
Ahmed Zoubi
David Googe
Nicholas Setaccioli
Jason Byrd

Has completed the requirements for the Certified Internal Audit (CIA) program and has therefore earned the Certified Internal Auditor (CIA) designation. Congratulations!
Recap of the May 2019 IIA Monthly Meeting and Social Gathering

During the May 2019 meeting, there were 3 IIA members who successfully passed and completed all requirements to obtain their CIA designation. IIA framed each member's certificate and presented it to them during the meeting (see image to the bottom right). CONGRATULATIONS AGAIN and we wish much more success to you!!

There was a presentation held regarding the topic of best practices and challenges managing third party risk at the Oak Room in Churchill Downs. After the presentation, everyone networked while watching the horse races in the Jockey Suite (see image to the bottom left).

CIA Certification News

Certification Recognition

Congratulations to the individuals (see page 3) who completed the requirements for the Certified Internal Auditor (CIA) program and has therefore earned the Certified Internal Auditor (CIA) designation.

CIA Exam IIA Louisville Members Discount on Gleim Study Materials

IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

Career Opportunities

Please be sure to visit the IIA Louisville Chapter's career section to see any current job opportunities in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.

Call for New Members to Join our Louisville Chapter

The IIA Louisville Chapter offers numerous benefits for members, and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!
IIA Online

IIA President and CEO Richard Chambers, CIA, CGAP, CCSA, CRMS, has recently shared his personal reelectons and insights through his blog. We wanted to share with you a few of these with our members. Check out the link to read more.

Are Companies Capitulating on Cybersecurity Risks? Click Here for the full article.

In his blog, IIA President and CEO Richard Chambers, CIA, QIAL, CGAP, CCSA, CRMA, shares his personal reflections and insights on the internal audit profession. Here's an excerpt from his latest post:

In the past dozen years or so, cybersecurity has gone from being a mysterious IT concern best left to chief security officers (CSOs) and chief information security officers (CISOs) to a top priority for boards and executive management. Yet, progress has been painfully slow for a problem everyone agrees is evolving at breakneck speed.

Reports of high-profile cyberattacks are now routine, and no sector or industry is immune to the threat. Indeed, the Privacy Rights Clearinghouse has documented more than 8,600 data breaches since 2005, including 831 in 2017. The group, located at the University of San Diego School of Law’s Center for Public Interest Law, concedes it doesn’t capture every successful cyberattack. Still, it estimates more than 11 billion records have been breached since it began keeping track.

Even so, I must admit I am troubled each time I read about cyberattacks that might have been avoided. Too often, successful hacks involve human failings, not technological ones. This is especially disturbing when one considers that cybersecurity ranks at or near the top of every management and board poll on risks.

Future IIA Seminars and Conferences

<table>
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<tr>
<th>Seminars/Conferences</th>
<th>Date</th>
<th>Location</th>
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<tr>
<td>Root Cause Analysis for Internal Auditors</td>
<td>Sept. 9-18, 2019</td>
<td>Online</td>
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<tr>
<td>CIA Exam Preparation—Part 1: Essentials of Internal Auditing</td>
<td>Sept. 9-20, 2019</td>
<td>Online</td>
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<tr>
<td>Fundamentals of Internal Auditing</td>
<td>Sept. 10, 2019</td>
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<tr>
<td>Tools &amp; Techniques III: Audit Manager</td>
<td>Sept. 17-20, 2019</td>
<td>Philadelphia, PA</td>
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<tr>
<td>Cybersecurity Auditing in an Unsecure World</td>
<td>Sept. 17-26, 2019</td>
<td>Online</td>
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<tr>
<td>Understanding and Applying Emotional Intelligence</td>
<td>Sept. 23—Oct. 2, 2019</td>
<td>Online</td>
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<tr>
<td>Fundamentals of IT Auditing</td>
<td>Sept. 24-Oct. 3, 2019</td>
<td>Online</td>
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### Officers and Committee Chairpersons

#### President and Nominating Committee Chair
**Erin Bukowski**  
Citizens Union Bank  
(502) 647-7462

#### Vice President & Program Chair
**Catie Knable**  
Caesars Entertainment  
(502) 643-2424

#### Secretary and Chapter Achievement Program (CAP) Chair
**Jenni Schelling**  
Louisville Water Company  
(502) 569-3647

#### Treasurer
**Brittany Schaefer**  
Kindred Healthcare, Inc.  
(502) 596-7605

#### Academic Relations
**OPEN**

#### Advocacy/Diversity
**Glenn Reed**  
Louisville Metro Government

#### Audit
**Alex Goffner**  
American Commercial Barge Lines

#### Certifications
**Bob Aemmer**  
LG&E and KU Energy

#### Chief Audit Executive (CAE)
**Shawn Stevison**  
Signature Healthcare

#### Government Relations
**Jodi Renn**  
Jefferson County Public Schools

#### Junior Achievement
**Tracy Wilkerson**  
Humana, Inc.

#### Membership Chair
**Lee Taylor**  
Commonwealth Bank and Trust Company

#### Newsletter Editor
**London Lopez**  
Humana Inc.

#### Seminars
**Melanie Nesler**  
Computershare

#### Quality Assessment Reviews
**Jodi Renn**  
Jefferson County Public Schools

### Social Activities
**Andrea Higgins**  
Robert Half Management Resources

#### Webmaster
**Sonja Heath**  
Humana, Inc.

#### Social Media
**Open**

### Board of Governors

#### Elected Member Terms
**Brandon Booth**  
(Thru 12/31/2019)  
Louisville Metro Government

**Adrien Wade**  
(Thru 12/31/2019)  
Humana Inc.

**Chris Elmore**  
(Thru 12/31/2020)  
Churchill Downs

**Heather Krauser**  
(Thru 12/31/2020)  
Humana Inc.

**Glenn Reed**  
(Thru 12/31/2020)  
Louisville Metro Government

#### Past Presidents Terms
**Sonja Heath**  
(Thru 5/31/2021)  
Humana, Inc.

**Sasha Pavoni**  
(Thru 5/31/2020)  
Humana, Inc.

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### Advertisement Policy
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **$50 – 1/4 page; $100 – full page**. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the