It's October - my favorite month of the year! Finally time for some cooler temperatures, (hopefully), beautiful fall landscapes and pumpkin spice everything. It is also time for some more great CPE and networking opportunities.

Our next lunch meeting is scheduled for Tuesday, October 8th and we will be continuing to discuss the hottest topic you noted on our prior year IIA survey - Cybersecurity. See below for more details. Cybersecurity is an ever changing, ever evolving topic that affects all industries and everybody on a professional and personal level. I know I took away a lot from September's meeting that I can use at work as well as at home, and I am looking forward to gaining more valuable information from our October meeting.

Save the date: We will be having our first afternoon meeting on Friday November 15th from 2-4pm at Louvino in Douglass Hills/Middletown area. This will be a joint meeting with ISACA and we will have two different speakers, one related to fraud and one related to developing policies. After these two engaging topics, we will continue the party with a happy hour from 4-6pm. I can't wait to enjoy all those delicious small plates and a glass of wine! This event will be free for all IIA and/or ISACA members and a small fee will be charged for non-members. Food and non-alcoholic beverages will be provided, and there will be a cash bar.

We still have several volunteer positions open, including Seminars Co-Chair, Academic Relations Chair and Social Media Chair. Volunteering for the IIA is easy, and is a great way to meet fellow members and promote our profession. If you are interested in volunteering, please reach out to me, (emb@cubbank.com).

Enjoy the fall y'all!

Erin Bukowski, CPA, CIA
Date: Tuesday, October 8th, 2019
Registration: 11:30 a.m. - 12:00 p.m. ET
Meeting Time: 12:00 - 1:00 p.m.
Presenter(s): Don Whitehouse CISA, CIA, CFE, CRMA, CPA
CPE credits: 1 hour
Cost: Member Price: $25
Non-Member Price: $30
Location: Bristol Bar & Grill - Downtown
614 W. Main Street
Louisville, KY 40202
Registration: Here

**PRESENTATION SUMMARY**

**Cloud Computing and How it affects our audits**
Join us to learn more about the impact of cloud computing technology on the audit process and the audit profession. Don will also share how his employer uses it, primarily as it relates to Amazon Web Services.

In addition, we are asking that if you would like lunch to be included to register with your meal choice prior to October 8th.

**PRESENTER PROFILE**

Don Whitehouse CISA, CIA, CFE, CRMA, CPA

The presenter is a well-seasoned internal auditor who has worked as the CAE reporting to multiple boards of directors, and interacting with external auditors and consultants across five industries for 20 years. He is currently the Audit Director for American Commercial Barge Line in Jeffersonville, IN.

**LUNCH MENU**

The monthly meeting includes your choice of one meal selection:

**BBLT**
Benedictine, Bacon, Lettuce, & Tomato on toasted white bread. Served with chips.

**Judy's Italian Linguine**
Linguine tossed with Italian sausage, fresh herbs and marinara sauce.

**Classic Caesar Salad**
Tossed in our house made Caesar dressing and topped with Parmesan Cheese & Croutons.

**Turkey Sandwich**
Smoked turkey breast, Cheddar cheese, lettuce & tomato on toasted wheat bread (Packet of mayonnaise & mustard on the side) Served with chips.

Please be sure to visit the IIA Louisville Chapter's career section to see any current job opportunities in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.
November 2019 Afternoon Meeting

Date: Friday, November 15, 2019
Meeting Time: 2:00 p.m. - 6:00 p.m. ET

Schedule of Events:

- 2:00pm - 4:00pm: Two Engaging Presentation Topic Discussions
- 4:00pm - 6:00pm: Networking, Drinks & Hors d’oeuvres

Location:

11400 Main Street, Louisville, KY 40243 (Douglass Hills/Middletown area)

Registration: Here

Fraud Victim? Not us!

Have you ever been asked if you think fraud is occurring within your organization and thought of course there is no fraud. You are not alone. When fraud is exposed you learn that fraud hides in plain sight. This session will provide you with powerful tools to help you understand how fraud is perpetrated and how to prevent, detect and monitor for suspicious or fraudulent activity. Using real-world, actual cases we will walk through the allegation, how the fraud was investigated, the root cause of how the fraud was allowed to occur, the missed red flags, and the internal controls, including systemic controls, that were implemented to combat against future occurrences of loss due to fraud.

Privacy Program Management: An Essential Element of Enterprise Risk Management

This session will explore the journey of the Commonwealth Office of Technology’s (COT) development of a Privacy Office. Learn why the office, required by statute to protect the Commonwealth’s data and Information Technology, is devoting resources and staffing to Privacy Program Management. The session will address the privacy priorities of COT, the privacy framework chosen, privacy regulatory compliance, and the structure of the Privacy Office.

The joint event with ISACA will be at the Louvino in Douglass Hills. Hors d’oeuvres will be provided and a Cash Bar for Guests will be available from 4-6pm. This is a free event, however space is limited.

Presenters’ Profiles

Brenda Buetow, CFE CAMS MBA

Brenda Buetow, a Senior Manager with Crowe LLP, has over 20 years of experience in financial crimes consulting providing significant assistance to her clients, local law enforcement, federal regulators, and the F.B.I. Her experience includes forensic accounting, data analytics, and fraud management including detection, prevention, investigation and monitoring of financial crimes including money laundering, terrorist financing, fraud, bribery, corruption, embezzlement, BOD misconduct, and various other fraud schemes. Ms. Buetow is a graduate from the University of Indianapolis, holding a Master of Business Administration and a Bachelor of Science. She is also a graduate of the F.B.I. Citizens Academy and the FCPA Institute. She is a Certified Fraud Examiner (CFE), and a Certified Anti-Money Laundering Specialist (CAMS).

Karen Chrisman, JD MA CIPP-US

Karen is the Chief Compliance Officer, Commonwealth Office of Technology (COT). In this role Karen has responsibility for privacy compliance and for directing the COT Privacy Program. Additionally, this role provides resources and leadership to assist the privacy programs of the Commonwealth’s executive cabinets. Prior to assuming this role, Karen was Executive Advisor and Privacy Officer for the Kentucky Health Information Exchange (KHIE). In this role Karen was responsible for KHIE operations, management, and privacy compliance. Previously, Karen was Senior Advisor and Privacy Officer for the Kentucky Department for Medicaid Services, and Staff Attorney and Privacy Officer for KHIE. Before beginning her public service at the Commonwealth of Kentucky, Karen practiced law in various locations in Kentucky.
**TRAINING OPPORTUNITY WITH THE CENTRAL OHIO CHAPTER**

**Date:** Monday, November 4, 2019  
**Meeting Time:** 7:00am — 7:30pm

**Schedule of Events:** Full day of Events from a variety of speakers on relevant topics across all levels  
— See the picture below for a listing of all events.

**CPE Hours:** 8 hours

**Location:** The Fawcett Center  
at the Ohio State University  
2400 Olentangy River Road  
Columbus, Ohio 43210

**The Institute of Internal Auditors (IIA) Presents**  
**Gaining the Edge — Internal Audit Innovation**

Please join the Central Ohio Chapter of The Institute of Internal Auditors (The IIA) for its 2019 annual full day conference, *Gaining the Edge – Internal Audit Innovation*. Earn 8 CPEs (field of study – auditing) while listening to a variety of acclaimed speakers on topics ranging from leading internal audit methodologies and tools to the impact digital is having on the profession.

Registration for IIA Members - $200 and Non-members - $225. Registration is now open — Register today to reserve your spot! Please register via the registration link above.

**Registration closes end of day October 31, 2019.**

For questions, please reach out to Steve Koehrsen:  
steven.koehrsen@worthingtonindustries.com.

**Schedule of Events:**

![Schedule of Events](image-url)
LOCAL IIA NEWS

CIA CERTIFICATION NEWS

CIA Exam IIA Louisville Members Discount on Gleim Study Materials
IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the Members Only page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

CCMS Requires Primary Email Update
The launch of the new Certification Candidate Management System (CCMS) is quickly approaching. To ensure a smooth transition, please verify or update your primary email address. Doing so will enable you to access the new system after the launch.

IIA-Certified Individuals Have Until Dec. 31 to Report CPE Credits
Every year, individuals who hold certifications or qualifications earned from The IIA must report CPE/CPD credits earned by year’s end to remain active.

In North America, IIA certificants must report 40 Continuing Professional Education (CPE) credits earned in support of the Certified Internal Auditor (CIA) credential, and 20 hours for specialty certifications, including the Certification in Control Self-Assessment (CCSA), Certified Financial Services Auditor (CFSA), Certified Government Auditing Professional (CGAP), and Certification in Risk Management Assurance (CRMA). Individuals who hold the Qualification in Internal Audit Leadership (QIAL) must report Continuing Professional Development (CPD) credits earned.

All IIA-certified individuals are required to earn two of their CPE/CPD credits in ethics. To help with this requirement, The IIA is offering Ethics for Internal Auditors and Code of Ethics Conformance through OnDemand training. Participants can save on the OnDemand courses when purchased as part of The IIA’s Ethics Essentials course bundle.

CIA Exam Updates
Retaking the Exam? If you failed your exam part, you must wait at least 60 days rather than the 90 days to retake the exam.

Sitting Exam Period Change: If you applied for the CIA prior to Sept. 1, 2019, you have four years to take all parts of the exam. If you apply for the CIA exam after Sept. 1, 2019, you have three years to take all parts of the exam.

Click here to see the full CIA Certification Candidate Handbook.

CALL FOR NEW MEMBERS TO JOIN OUR LOUISVILLE CHAPTER
The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to Lee Taylor for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!
Arthur Piper is a writer who specializes in corporate governance, internal audit, risk management, and technology. He has recently shared his insights through his blog. We wanted to share his article with our members. Check out the link to read more.

**Security in the Cloud:** The growing use and increased complexity of cloud computing is creating new challenges for internal auditors. Click [Here](#) for the full article. Here’s an excerpt from his latest post:

Garcia-Alvarez realized that traditional audit skills would be of limited use because of the cloud’s newness and evolving nature, with little precedent in the scope and range of how to approach it as an internal auditor. So, he decided to obtain an IT audit certificate from the U.K.’s Chartered Institute of Internal Auditors (CIIA). It boosted his IT audit skills and forced him to get to grips with how to approach cloud auditing and security. It also made him a credible security player in the business.

At the same time, he says internal auditors must adhere to the fundamental remit of audit, which, for OakNorth, is the CIIA’s Financial Services Code. One of the first sentences of that document says internal audit’s primary role is to help senior management protect the assets of the business — in this case from hacking, data breach, and leakage.

“That is absolutely the role of internal audit in cloud security,” Garcia-Alvarez says. When businesses are migrating to and operating in the cloud, internal audit needs to provide assurance that the cloud infrastructure is safe, secure, and able to meet the firm’s objectives — not just now, but in the future. “The way to do that is to be embedded as the third line of defense and to provide real-time feedback on risk and controls, and to assure the board that you are mitigating risk with data — not creating new ones.”

While cybersecurity has long been on auditors’ lists of regular assignments, securing today’s cloud poses fresh challenges. The very structure, speed, and opacity of the cloud demands a focus away from traditional auditing. Having systems in place to deal with data breaches, data loss, and ransomware attacks is mostly standard today, but issues arising from the unique infrastructure of the cloud, the lack of visibility of fourth- and fifth-level suppliers, and the need to work in tandem with both the cloud provider’s own security teams and a wider range of
Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **$50 – ½ page; $100 – full page**. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the