Dear Memphis Chapter,

Thanks to everyone in attendance during last month’s TN ethics meeting with Shelly Baur. She certainly had a way of engaging our members and making the topic of ethics both entertaining and informative at the same time.

Have you earned all of the CPE credits to fulfill your certification requirements? CPE reporting deadlines are quickly approaching but there are still opportunities to earn your CPEs. Our November 11th meeting will be covering third party risk management with speakers from PwC, Joe Fracchia, Managing Director Risk Assurance and Aaron Shapiro, Director IT Risk and Security Assurance. We still have seats available, so register today! Also, don’t forget to take advantage of free webinars, which are available to all members. Again, we hope you will continue to rely on the Memphis Chapter’s CPE opportunities to satisfy your education requirements for both personal and professional development.

Last month we sought after volunteers to take on leadership roles and assist our current officers to enhance the Memphis Chapter member services and offerings. I would like to personally thank those of you who have reached out to volunteer. Our chapter would not be successful without the support, contributions, and commitment of our members and volunteers. It’s very rewarding to know so many of you want to make a difference in our profession. So THANK YOU!

As always, please feel free to contact me or any of the Memphis Chapter officer’s with questions or concerns.

Regards,

Melissa Wainwright
IIA Memphis Chapter President

Remember:
• Update your IIA profile for the most up-to-date news.
• RSVP for the November 11th Chapter meeting

Inside this issue:
November IIA Event 2
IIA Updates 3
CIA Information 4
Members Only Bookstore 5

The Memphis Chapter IIA Social Media Information:
Follow us on Twitter: https://twitter.com/MemphisIIA
Join us on Facebook:  https://www.facebook.com/MemphisIIA
**UPCOMING EVENTS**

November IIA Event

**Speaker:** Joe Fracchia  
**Topic:** Third Party Risk Management  
**Date:** Tuesday, November 11, 2014  
**CPEs:** 2 hours  
**Cost:**  
- $30 - members  
- $40 - non-members

**Registration:** 11:00am – 11:30am  
**Lunch:** 11:30am – 12:00pm  
**Speaker:** 12:00pm – 1:45pm

**Location:** Tower Room - Clark Towers  
5100 Poplar Avenue Suite 3300  
Memphis, TN 38137

R.S.V.P. today  
Vegetarian meal options are available

**About the Speaker:**  
Joe is a Managing Director with PwC and serves as the Risk Assurance Practice Leader for Tennessee. In this role, Joe provides process assurance support to external audit clients, third party assurance through a variety of report types, outsourced, co-sourced and special project Internal Audit services and SOX readiness and internal controls optimization. Additionally, Joe has over 16 years of experience delivering information risk and auditing services to a variety of private and Fortune 500 public companies. He has served clients in the retail and consumer products, entertainment and media, technology, healthcare and financial services sectors.

Prior to joining PwC, Joe founded and served as the Managing Partner of an information security firm centered on security compliance and strategy where he built his practice on trust and deep relationships. Joe’s clients describe him as being focused on solutions and invested in their company’s success as well as their own professional success.

Joe lives in Memphis, TN with his wife Michelle and daughter Mary. When he has the time, Joe enjoys playing golf, cycling and triathlons. Joe serves on the Board of Directors for The First Tee of Memphis, Exchange Club of Memphis and Emerge Memphis. Joe has a Master of Science with a Concentration in Accounting Systems from The University of Memphis and a Bachelor of Science in Accounting from The University of Tennessee.

**Additional Speaker:** Aaron Shapiro

**About the Speaker:**  
Aaron is a Director in PwC’s Risk Assurance IT Risk and Security Practice. He resides in Atlanta, GA. Aaron has more than nine years of experience in security governance and management, Third Party Risk, Internal Audit, IT integrated SOX audit, HIPAA assessments, ISO 27001/2 assessments, third party assurance reporting, and compliance readiness. He has completed IT Audit, Business Process Audit, IT Consulting, Data-warehousing/ETL, data breach response preparation, HITRUST reviews, and E-Discovery work for Fortune 500 and other companies.

Over the last two years, Aaron has also been heavily involved in developing cyber security services around emerging technologies and risks and works with Firm leadership to enhance methodologies and thought leadership.

**Areas of specialization:**
- HIPAA/HITECH;
- Third-party assurance (SOC 1 and SOC 2);
- IT risk assessment;
- Pre and post implementation systems assessment;
- IT controls assessment;
- Project management; and
- IT risk and security compliance assessments and audits.

Aaron has a Bachelor of Science in Finance and Information Systems from Tulane University and is a Certified Information System Auditor (CISA) and a Certified HITRUST CSF Practitioner.
A technical expert with industry knowledge and an arsenal of strong auditing tools and techniques.

A strategic thinker who can analyze problems quickly, manage change effectively, and drive projects successfully.

A trusted supervisor who can train, motivate, and inspire a team to perform at the highest levels.

A dynamic leader who can communicate ideas clearly, influence organizational policy, and drive change.

Increase Your Chances of Passing the CIA Exam

Join the IIA on Tuesday, November 4 at 1:00 - 2:00 PM ET, as they host a free informative online session that will help you understand the CIA program and prepare to pass the CIA exam! Register on the IIA website.

CPE Reporting Reminder

Certified professionals are required to report continuing professional education (CPE) credits by Dec. 31, 2014 through CCMS. Individuals are encouraged to submit their information early. For CPE opportunities and reporting information, please visit the CPE opportunities page.

Promote the IIA Profession

Are you promoting the IIA profession—through speaking engagements, training or recruiting? Tell us about it. Contact Lorrie McDermott for more information and proper credit at lorrie.mcdermott@ipaper.com.
The IIA’s CIA Learning System is The Institute of Internal Auditors’ own premier-quality CIA review course. It was designed by the experts to teach the entire 3-Part global Certified Internal Auditor exam syllabus to CIA candidates and internal auditors looking to enhance their knowledge and skills.

The IIA’s CIA Learning System for the 3-Part CIA exam combines printed and e-book reading materials, interactive online tests and study tools, and optional facilitator-led courses to help you prepare to pass the CIA exam.

Certified Internal Auditor (CIA)

The Certified Internal Auditor® (CIA®) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field. Since the program’s launch in 1973, it has opened up countless doors of opportunity for practitioners around the world as it communicates their ability to serve as a key player in their organization’s success. Candidates leave the program enriched with educational experience, applicable knowledge, and business tools that can deliver a positive impact in any organization or business environment.

Computer-based Testing

The CIA exam is available through computer-based testing, allowing you to test year-round at more than 500 locations worldwide. Candidates are able to sit for exams at any IIA-authorized Pearson VUE testing center worldwide, regardless of whether the testing center is located in your hometown or country. To locate the testing centers nearest you, visit the Pearson VUE website. You must apply and register in The IIA’s Certification Candidate Management System (CCMS) prior to scheduling an exam.
IIA Book of the Month:
Financial Planning and Budgets: Understanding the Auditing Process

Publisher: The IIA Research Foundation
Publish Date: 2014
Authors: Cornelia Odermatt and Thomas Flüeler
Media: Hardcover
Member Price: $55.00

Make sure to use promotion code CHAPTER and save 20% on this product through November 30, 2014.

Check out the Members Only Bookstore for more audit and training materials at www.theiia.org

- Assurance Services Within the Audit Profession
- Enterprise Risk Management: Pulling It All Together
- Business Risk Management