Dear Members:

It is almost Holiday season, and we have a number of relevant and important events coming up in November and December.

But first let me thank our volunteers and other Executive Committee members who successfully held two informative workshops in October - first a Friday workshop on Third Party Risk Management and later in the month a joint event with ISACA-NY on Cybersecurity, Data Analytics, and Robotic Processes. Both were well attended and successful!

Registration is open now for our November 16th workshop on Disruptive Changes and Emerging Risks (see page 3). We will be opening up registration for the upcoming December workshop on AML and Financial Crimes immediately thereafter.

An important conference is planned for January - the 8th Annual Government Audit Conference where two renowned audit leaders will speak: Naohiro Mouri, Global IIA Chairman and William Michalisin, EVP & COO of the IIA. See page 6 for details and Save the Date!

We are also continuing to provide CAEs, opportunities to discuss issues and challenges in a roundtable format like prior years, but the hosts and locations will rotate and we encourage more CAEs to participate or lead. Our second meeting for this season was on October 18th and you can see on page 4 the next is scheduled for December 10th with another special guest from IIA leadership - Jenitha John, Global IIA Vice Chairman, and Chief Audit Executive, FirstRand Bank (South Africa).

Another consideration for local CAEs is a half day summit in the June timeframe, please let me know if you have interests or ideas for engaging more CAE involvement with the Chapter.

Please reach out to any of the Executive Committee members (Ellen, Mike, Elena, Carlos, Angelina or me) with any suggestions and we hope to see you at the November workshop!

Sincerely,

Uday Gulvadi

Uday Gulvadi
NY Chapter President - Institute of Internal Auditors
### Upcoming 2018 Workshop and Luncheon Schedule – Save the DATES!

<table>
<thead>
<tr>
<th>Date</th>
<th>Where</th>
<th>Day</th>
<th>Workshop Topic</th>
<th>Luncheon Topic</th>
<th>Presenting Companies</th>
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</thead>
<tbody>
<tr>
<td>Dec 20</td>
<td>Baruch College</td>
<td>Thursday</td>
<td><strong>AML, Financial Crimes Sanctions</strong> (AM) <strong>Cyber Security</strong> (PM)</td>
<td>Negotiation Skills</td>
<td>Workshop: ACA Telavance, SSH Lunch: Citi</td>
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For more information, see NYIIA Website. Questions? contact: Elena Dobinda at elena.dobinda@lbusa.com, Laura Richards at Laura.Richard@ey.com

### Premium Webinar Series: Thought Leadership on High-level Topics

**CAE Success in a Class All Its Own**

**November 13-15**

12:00–2:00 p.m. ET

Take three, two-hour power lunches and quench your appetite for the latest thought leadership and high-level topics, delivered by industry executives. Join six powerful speakers, including Richard Chambers, president and CEO of the IIA, as they present “How to Speak Truth to Power and Still Keep Your Job” and “Politics of Internal Auditing.”

**Earn 6 CPE credits** without leaving your desk.

**REGISTER NOW**

Join a limited number of your rising and executive-level colleagues at Vision University, a unique educational program chief audit executives (CAEs) and senior managers.

**Feb. 26–March 1, 2019 / Orlando, FL**

**June 24–27, 2019 / Boston, MA**

**Sept. 9–13, 2019 / San Diego, CA**

**Nov. 19–22, 2019 / Chicago, IL**

**Apply now.**
Disruption by Design – How Disruptive Change Will Impact Your 2019 Internal Audit Strategy
November 16, 2018

Workshop location: Baruch College
55 Lexington Avenue (at E. 24th st)
14th Floor – Room 14-220
Time: 8:00am – 4:45pm

As an internal audit leader and professional in today’s workplace, you recognize that the world, and, as a result, business, is changing more rapidly than ever before. The rise of disruptive technologies, the rapid convergence of business models and increasing regulation all play a role, underpinned by the advancement of technology at an exponential rate. As everything starts to come together, we are able to do things previously considered impossible and with this comes unprecedented opportunity for growth. To capitalize on this opportunity requires organizations, including internal audit and risk management functions, to continually assess and adjust their strategic vision and underlying operations to keep pace with the disruption in the marketplace and stay two steps ahead.

The workshop is designed for audit and risk management professionals and will include the following topics:
- Geopolitics in 2018 and beyond; the impact on business
- Future of internal audit
- Future of work and impact on the internal audit and risk management organization
- Next generation Enterprise Risk Management
- Cyber audit strategies and response plans
- Emerging technologies and impact on the business and regulatory landscape
- Facing new risks with high impact internal audit

The event will be hosted and presented by EY.  

<table>
<thead>
<tr>
<th>Time</th>
<th>Duration</th>
<th>Topic</th>
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<tbody>
<tr>
<td>8:00 – 8:30am</td>
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<td>Registration and breakfast</td>
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<tr>
<td>8:30 – 8:45am</td>
<td>15 minutes</td>
<td>Opening remarks</td>
</tr>
<tr>
<td>8:45 – 9:30am</td>
<td>45 minutes</td>
<td>Complex Crossroads: Geopolitics in 2018 and beyond</td>
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<tr>
<td>9:30 – 10:30am</td>
<td>60 minutes</td>
<td>The Future of Internal Audit (IA)</td>
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<tr>
<td>10:30 – 10:45am</td>
<td>Break</td>
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</tr>
<tr>
<td>10:45 – 11:45am</td>
<td>60 minutes</td>
<td>The Future of work and its impact on risk management</td>
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<tr>
<td>11:45 – 12:45pm</td>
<td>Lunch</td>
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<tr>
<td>12:45 – 1:40pm</td>
<td>55 minutes</td>
<td>Next generation Enterprise Risk Management (ERM)</td>
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<tr>
<td>1:40 – 2:35pm</td>
<td>55 minutes</td>
<td>Cybersecurity audit strategies and response plans</td>
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<tr>
<td>2:35 – 2:50pm</td>
<td>Break</td>
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<tr>
<td>2:50 – 3:40pm</td>
<td>75 minutes</td>
<td>Emerging technologies and their impact on business and the regulatory landscape</td>
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<tr>
<td>3:40 – 4:30pm</td>
<td>45 minutes</td>
<td>Facing new risks with high impact internal audit</td>
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<tr>
<td>4:30 – 4:45pm</td>
<td>15 minutes</td>
<td>Closing remarks</td>
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CAE Round Table Meeting
2 Broadway, 16th Floor, Conference Room A

December 10, 2018
9:00-11:00

Featuring
Jenitha John

Global Vice Chairman, The Institute of Internal Auditing
Chief Audit Executive, FirstRand Bank (South Africa)

Adapting to New Realities
KPIs: Adding to or Detracting from Value?

Continental breakfast will be available starting at 8:30.

Register by emailing ellenisaacs.ilia@gmail.com.
Include your name, title, company and phone number.

Managing Risk in Uncertain Times: Leveraging COSO’S New ERM Framework

Member Price: $49.99
Sale Price: $37.49

Nonmember Price: $59.99
Sale Price: $44.99
Explore Hours of Around-the-Clock Options to Earn CPE/CPD and Retain Your IIA Certification(s).

If you haven’t earned all the CPE/CPDs required, including the new Ethics requirement, for end-of-year reporting to keep your IIA certification(s) active, there’s still plenty of time and options.

- **LEARN** – In-person, online, On Demand, and group training options to fit your schedule and meet all your needs.
- **EARN** – From one-hour webinars to 32-credit seminars, it’s easy to earn your CPE/CPD requirements to keep your certification(s) active.
- **REPORT** – Don’t forget to report your CPE/CPDs by Dec. 31, 2018, to keep your IIA certification(s) in good standing.
- **RETAIN** – You’ve worked hard to earn your IIA certification(s), so it’s important to keep them active and stand out from your peers.

All your credit-earning opportunities and reporting requirements can be accessed here.

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**Long Island CIA Training:**

**“So, You Want to Jump Start Your Internal Auditing Career?”**

**Course Description:** This 3-day seminar will provide attendees with the opportunity to learn the necessary concepts, tools and techniques to be a successful internal auditor. The course will be taught by Nick DiMola and Paul Flora, two highly experienced retired Chief Audit Executives, and is designed for internal auditors with up to three years of experience. The seminar will focus on the role and responsibilities of internal auditors; the Standards for internal audit; how to identify risk and evaluate internal controls; audit program development; documentation and work paper techniques; effective interview techniques; and how to develop and report audit results.

**Where:** St. John’s University Graduate Center
120 Commerce Drive
Hauppauge, NY

**When:**
November 5-7, 2018
8:30 AM to 4:30 PM

**Pricing:** Per participant: $895
Early registration: $850
Three (3) or more from one organization: $795 each

[Registration Link]
8th Annual Government Audit Conference

Hotel Pennsylvania
401 7th Avenue, New York, NY 18th Floor

January 25, 2019 - Registration to open in late November (save the date)

8:30-9:30 am
Keynote Speaker

Interview with Naohiro Mouri, Global IIA Chairman
William Michalisin, EVP & COO, IIA

9:45-10:45
Artificial Intelligence
Thomas Sanglier, Raytheon

11:00 -12:00
Is an Audit of Governance an Audit of Culture?
Alan Siegfried

1:15-2:30 pm
Audit Reporting to Get Action and Attention
Robert Smythe, Los Angeles County, Chief, Audit Division

2:45-4:30
Cyberterrorism in Today’s Business Environment
US Secret Service

IIA Member Attendee: $75 Non-Member Attendee: $85
Photos from recent NYIIA Events in October 2018:

Chris Moschovitis gives a colorful but provocative view of Cybersecurity today!

KPMG talks about Data Analytics at the IIA ISACA joint event.

The KPMG team presents maturity levels of Data Analytic capabilities.

Donna Marie Howe gives real practical insights on future Robotic challenges.

Greg Matthews of KPMG talks about Third Party Risk Management Governance.

Dixon Hughes Goodman team presents Operational Risk life cycle.