Hello Fellow Members:

As part of the new chapter year celebrations, we had our annual awards dinner at the Manhattan Affinia Hotel on June 12th. Over 125 audit professionals joined us to celebrate the success of our previous chapter year under the leadership of Raquel Marin-Oquendo and the succession to the new 2015/2016 Executive Committee.

The Lifetime Achievement Award was given to Laura Lowenhaupt in recognition of her career achievements and support of the NY Chapter and the IIA. She is a past NY Chapter President and she is currently part of the NY Chapter Board of Governors. In addition, we recognized Saint John’s University for achieving the IIA endorsement for their Internal Audit Program. See page 9 - 10 for a listing of awards presented to our chapter volunteers including the chapter’s accomplishments.

Our 2015/16 subscription plan information is already available, please see page 19 - 20 for more information on how to take advantage of the significant discounts offered through our workshop subscription plans.

On September 18th we will start our 2015/2016 monthly workshop and luncheon program. The first workshop and luncheon of the season will be held at the Hard Rock Cafe in Times Square. During the morning session Eisner Amper will be presenting COSO 2013, IT Trends and Vendor Management. The luncheon session Predictive Analysis and afternoon session Considerations for Cyber Security will be presented by Protiviti. Please see page 7 for a complete schedule of the 2015/2016 workshops and luncheon. In addition, on September 21st the NY Chapter will hosting an educational dialogue session with a delegation of governmental auditors from the Guangzhou Province in China aimed to promote the IIA and audit profession’s standards.
Upcoming Events – 2016 International Conference:
Planning for the 2016 International Conference is underway, in the next few months we will be contacting our members interested in volunteering in different capacities during the Conference. In addition, we will also be providing members with the latest information regarding early bird discounts and other logistics.

On behalf of the Executive Committee, I would like to thank you for your patronage and we looking forwards to seeing you in our upcoming events during the year. Please check our website at https://chapters.theiia.org/new-york/Events/Pages/default.aspx to keep updated on Chapter activities and for information on upcoming events.

If you are interested in volunteering for the chapter or speaking at one of our events, please contact me at JHPCPA@AOL.COM. I look forward to seeing you at one of the Chapter’s upcoming events.

Juan H. Perez, CIA, CPA
President, The IIA New York Chapter
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### 2015 – 2016 IIA NY Chapter Officers

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Company/Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Juan Perez</td>
<td>New York Life Insurance Company 212-576-7984</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:juan_h_perez@newyorklife.com">juan_h_perez@newyorklife.com</a></td>
</tr>
<tr>
<td>Executive Vice President</td>
<td>Alex Cacanando</td>
<td>Protiviti 917-853-6369</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:alex.cacanando@protiviti.com">alex.cacanando@protiviti.com</a></td>
</tr>
<tr>
<td>Vice President of Professional Development</td>
<td>Uday Gulvadi</td>
<td>Telavance 646-359-3146</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:uday@telavance.com">uday@telavance.com</a></td>
</tr>
<tr>
<td>Vice President of Professional Services</td>
<td>Kathleen Rennert</td>
<td>Arch Capital Services Inc. 201-743-4066</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:kathleen.rennert@yahoo.com">kathleen.rennert@yahoo.com</a></td>
</tr>
<tr>
<td>Vice President &amp; Secretary</td>
<td>Jennifer Morris</td>
<td>Iona College 914-633-2643</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:jmorris@iona.edu">jmorris@iona.edu</a></td>
</tr>
<tr>
<td>Vice President &amp; Treasurer</td>
<td>Khalid Wasti</td>
<td>Deloitte &amp; Touche 212-436-5156</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:kwasti@deloitte.com">kwasti@deloitte.com</a></td>
</tr>
<tr>
<td>Immediate Past President (2014-2015 Year)</td>
<td>Raquel Marin Oquendo</td>
<td>Columbia University Medical Center 212-305-9622</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:rmarin2@optonline.net">rmarin2@optonline.net</a></td>
</tr>
</tbody>
</table>
### 2015 – 2016 IIA NY Chapter Committees

**Officer**

- **Kathleen Rennert**
  - Vice President
  - Professional Services

- **Jennifer Morris**
  - Vice President & Secretary

- **Khalid Wasti**
  - Vice President & Treasurer

**Committees**

- **Newsletter**
  - Alma Ceballos

- **LinkedIn**
  - Andrew Goldberg

- **Website Administration**
  - Christopher Cariello

- **Diversity**
  - Christina Ostran

- **Social Committee**
  - Coryse Farris

- **History**
  - Richard Dapic, Adam Stumer

- **Awards Event**
  - TBD

- **Budget & Finance**
  - Christopher Cariello

- **Group Subscriptions**
  - William Morello

- **Employment Advertising**
  - Uday Gulvadi

- **Social Media**
  - Christina Faris

- **Certifications**
  - Michael Fucilli

- **Membership**
  - TBD

- **Outreach**
  - TBD

If you are interested in volunteering, please contact the Volunteers Committee team, Iryna Seagal or Clare Dempsey @ iryna_seagal@newyorklife.com and clare_dempsey@newyorklife.com
<table>
<thead>
<tr>
<th>Date &amp; Location</th>
<th>Presenter &amp; Topic</th>
</tr>
</thead>
</table>
| September 18, 2015     | • Eisner Amper (M) - COSO 2013, IT Trends, Vendor Management  
• Protiviti (L) – Predictive Analysis  
• Protiviti (A) – Consideration for Cybersecurity                                                                                                           |
| Hard Rock Café Times Square |                                                                                                                                                                                                                |
| October 16, 2015       | • Deloitte (M) – “Internal Audit and Technology: How we harness it, leverage it, and control it”  
• National Australia Bank (L) – Best Practices in GRC  
• Telavance (A) – BSA/AML Risk Assessment, Advanced Analytics in Suspicious Activity Detection                                                                 |
| Baruch                 |                                                                                                                                                                                                                |
| November 13, 2015      | • GRC Training Institute (M) – GRC & Ethics, Continuous Monitoring  
• Quality Plus Associates (L) - (Topic TBD)  
• McGladrey (A) – IA Risk Assessments                                                                                                                                 |
| Baruch                 |                                                                                                                                                                                                                |
| December 11, 2015      | • NJ Transit (M) – Operational Auditing  
• NYRA (L) – Audit Committee Reporting  
• Digital4nx Group, Ltd (A) – Digital Forensics                                                                                                                                 |
| Baruch                 |                                                                                                                                                                                                                |
| January 15, 2016       | • Baker Tilly (M) – Hot Topics in IA, Branding your IA Function  
• NJ Transit (L) – Writing Skills - Development of Audit Concerns  
• Navigant (A) – Fraud Prevention and Detection                                                                                                                                 |
| Baruch                 |                                                                                                                                                                                                                |
| February 12, 2016      | • LSU (M) – Risk Assessment and ERM  
• LSU (L) – Risk Assessment and ERM  
• LSU (A) – Risk Assessment and ERM                                                                                                                                 |
| Hard Rock Café Times Square |                                                                                                                                                                                                              |
| March 11, 2016         | • McGladrey (M) – Cybersecurity  
• KPMG (L) – IA Quality Assurance Practices  
• BDO (A) – Internal Controls and Risk, Internal Investigations and Fraud Risks                                                                                                                                 |
| Baruch                 |                                                                                                                                                                                                                |
| April 15, 2016         | • PwC (M) – Healthcare auditing  
• EY (L) – (Topic TBD)  
• Experis (A) – (Topic TBD)                                                                                                                                                                                                 |
| Baruch                 |                                                                                                                                                                                                                |
| May 13, 2016           | • ConEd (M) – (Topic TBD)  
• KPMG (L) – Data Analytics  
• Crowe Horwath (A) – Control Sustainability and Optimization, Effectively Managing Interactions and Relationships                                                                                       |
| Baruch                 |                                                                                                                                                                                                                |

For more information contact VP of Professional Development Uday Gulvadi @ uday@televance.com
St. John’s Students Take First and Second Place in Internal Auditing Scholarship

The NY Chapter of the Institute of Internal Auditors grants scholarships to accounting and business majors that are considering pursuing a career in Internal Auditing. For the second year in a row, Tobin College of Business students at St. John’s University were awarded first and second prize scholarships. The awards are based on the submission of an original manuscript on a topic that is specific to internal auditing. This year the topic was on the step(s) the Internal Audit profession should take to increase internal audit awareness as a career path of accounting students. The two students that claimed first and second place are Yi Huang and Qinli Wu, respectively. The Department of Accounting and Taxation in the Tobin College of Business also for a second year in a row received a grant to promote its initiative to becoming the leading internal auditing program in the New York City Metro Area.

Yi Huang, a first-semester graduate Accounting student in the Tobin College of Business and the first place winner, tailored her essay to study how the Institute of Internal Auditors (IIA) can utilize social media to increase student awareness in the internal auditing profession. “Social media resonates well with students today and it is a great way to reach and connect with them. To offer advisement to the IIA, I did research and compared the IIA’s social media platforms with other professional organization’s social media accounts. In the manuscript I focused on the strengths and weaknesses of their respective media platforms. I felt comfortable in doing the research because I graduated with a bachelor's degree in public relations back in Shanghai, China, and I knew how those platforms work from my own personal use. I am so happy to be able to use my academic knowledge to advise the IIA in promoting internal audit. I am very interested in becoming an internal auditor and it was a great honor to receive such an award,” said Huang.

Huang also credited her education at St. John’s University for her achievements: “I think it was not just because I'm taking an internal audit course that helped me to obtain this prize, the whole learning environment of the business school encouraged students like me to explore ourselves, to take risk, and to not be afraid of challenges. My first month in Tobin opened an amazing door for me - I found so many different resources and opportunities provided by the school, and I wanted to try so many different things and cultivate myself. We feel gratitude for the encouragement and inspiration received from Dr. Victoria Shoaf, dean of the Tobin College of Business, Dr. Adrian Fitzsimons, chairman of the Department of Accounting and Taxation and our Faculty Advisor, Dr. David Chan. Without their dedication to students, we wouldn’t be able to discover and realize our full potential.”

According to Dr. David Y. Chan, Assistant Professor of Accounting and Taxation, “Many students are not aware about the profession of internal auditing. Internal auditing offers many opportunities. Our internal audit program challenges students to solve real world practical problems by training them to think critically and analytically.” Under the leadership of Dr. Adrian P. Fitzsimons, Chairman of the Department of Accounting and Taxation, the University became endorsed by the Institute of Internal Auditors as an Internal Auditing Foundation Program in their Internal Auditing Education Partnership (IAEP) in December 2014. The ultimate goal for St. John’s University is to become endorsed as a Center for Internal Auditing Excellence. Currently, there are only three universities in the United States that have this distinguished endorsement. Students that are interested in a career in internal audit can pursue a M.S. or M.B.A in Accounting with a Concentration in Internal Audit. St. John’s University is currently the only program in the New York City Metro area that offers such a unique program.”
The 2015 Awards Dinner was held June 12, 2015 at the Affinia Hotel. We also recognized Saint John’s University for achieving the IIA endorsement for their Internal Audit Program. Thank you to all that came out to celebrate – it was a wonderful time!

2014 - 2015 Chapter Year Accomplishments

Maintained Platinum Chapter status

Provided Services to Members
- Hosted the 2014 Northeast Regional Conference
- 42nd Annual Audit Seminar
- 7 Monthly Workshops and Luncheons
- 4 Business and Industry events including the 4th Annual Government Audit Conference and 3rd Joint Conference with ISACA
- 8 General Auditors Round Table Meetings
- 2 Audit Manager Round Table Meetings
- CIA Review Courses offered at Pace University (144 parts taken)
- Issued over 18,539 CPEs
- 67 Certifications Issued
- 11 Newsletters
- Social/Diversity Events including our Regional Conference Dinner

Provided Services to the Profession
- $17,500 Donation to fund IIA Research Project, “Become A Strategic Internal Auditor”
- 5 Presentations at local colleges/career fairs
- 6th Annual Academic Relations Committee Student Dinner
- 3 Student Memberships and 2 Student Scholarships
- Supported St John’s University acceptance into The IIA Internal Auditing Education Partnership (IAEP) Program
The following awards were presented:

**Thomas A. Johnson Lifetime Achievement Award**

Laura Lowenhaupt

**President’s Award**

Alex Cacanando
Kathleen Rennert

**Special Recognition Awards**

<table>
<thead>
<tr>
<th>Alma Ceballos</th>
<th>Christopher Cariello</th>
</tr>
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<tbody>
<tr>
<td>Lauren A. Hooley</td>
<td>Ellen Isaacs</td>
</tr>
<tr>
<td>Walker Poppert</td>
<td>Lisa Adinolfi Tejera</td>
</tr>
</tbody>
</table>

**Outstanding Contributor Awards**

<table>
<thead>
<tr>
<th>Paulette Mullings Bradnock</th>
<th>Chris Decker</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lisa Farina</td>
<td>Carlos Garcia</td>
</tr>
<tr>
<td>Andrew Goldberg</td>
<td>Manish Goswami</td>
</tr>
<tr>
<td>Stacey Johnson</td>
<td>Christopher Kelly</td>
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<tr>
<td>Richard Marinello</td>
<td>Jim Mccabe</td>
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<tr>
<td>Steven Mezio</td>
<td>William Morello</td>
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<tr>
<td>Christina Ostran</td>
<td>Lena Pertsovski</td>
</tr>
<tr>
<td>Aleksandra Terzano</td>
<td>Melissa Teti</td>
</tr>
</tbody>
</table>

**Contributor Awards**

<table>
<thead>
<tr>
<th>Kimberly Antifave</th>
<th>Mary Bond</th>
</tr>
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<tbody>
<tr>
<td>Antonio Brasse</td>
<td>Ten Cheng</td>
</tr>
<tr>
<td>Jennifer M. Dhanraj</td>
<td>Christina Faris</td>
</tr>
<tr>
<td>Coryse Farris</td>
<td>Felicia Hou</td>
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<tr>
<td>Ines Janssen</td>
<td>Matt Miesel</td>
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<tr>
<td>Keith Monroe</td>
<td>Tania Nugent</td>
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<tr>
<td>Claudia O’Donnell</td>
<td>Annette Phang</td>
</tr>
<tr>
<td>Francisco Roca</td>
<td>Matthew Rooney</td>
</tr>
<tr>
<td>Marilyn Sitorus</td>
<td>Thuan Trong</td>
</tr>
<tr>
<td>Jamie Wolff</td>
<td>Calvin Yu</td>
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</tbody>
</table>
IIA New York Chapter  
September 2015 Monthly Workshop  

Date:  
Friday, September 18, 2015  
Time:  
8:30 AM – 4:30 PM  
Location:  
Hard Rock Cafe New York  
1501 Broadway, (Live Theatre)  
New York, NY 10036  

CPE:  
7 credits will be awarded for the full day workshop  
3 credits will be awarded for half-day workshop  
1 credit for luncheon workshop  

**********Registration is Open**********

| Members: $175 | Non-Members: $195 |
| Luncheon Only (Members): $60 | Luncheon Only (Non-Members): $70 |
| ½ day with Lunch (Member): $90 | ½ with Lunch (Non-Member): $100 |
| ½ day without Lunch (Member): $80 | ½ day without Lunch (Non-Member): $90 |

AM 8:30 am - 11:30am (3CPE)  

Presentation Topics:  
COSO 2013, IT Trends & Vendor Management

Presented By: Eisner Amper  
John Fodera, Partner  
COSO 2013 framework-Internal controls  
- Significant changes to business impact the control environment  
- Fraud Risk Assessment takes center stage  
- 17 Principles and 5 Components enhance framework  
- Implementation and lessons learned

Thom Kaelin, Senior IT Manager  
IT Trends  
- Cloud Computing  
- Big Data  
- IT Risk Office  
- Attracting and retaining IT Talent  
- Cybersecurity  
- Windows 10

John Martorella, IT Manager  
Vendor Management  
- Importance of a Vendor Management Program, Policy and Procedures.  
- Addressing Vendor Risk  
- Contract Management  
- Internal audit considerations  
  - What is your inventory of vendors?  
  - How do you know it is complete and accurate?  
  - Vendor Management workflow – where does it start and end at IT?
*Please note: Lunch is between 11:30am and 12:30pm*

**Luncheon Workshop: 12:30pm – 1:30pm (1CPE)**  
**Presentation Topic:** Predictive Analysis  
**Presented by: Protiviti**

The session explores one of the hottest topics of today’s Internal Audit evolution – predictive modeling and advanced analytics. We call it “Internal Audit 2.0”. Participants will learn why Internal Audit departments use predictive analytics to significantly advance their capabilities and align strategically with the C-suite and Audit Committee agenda. The presentation will illustrate the power of predictive analytics with several case studies and leave ample time for participants to interact with the presenter.

Alex Korogodsky, Protiviti Managing Director and Global Leader for the Predictive Modeling and Advanced Analytics practice. Alex has over 23 years of leadership experience in mature and emerging markets and focuses on delivering customer analytics, people analytics and operational analytics solutions. Prior to joining the firm Alex lead predictive modeling and advanced analytics center, ran insurance and actuarial advisory practice and led actuarial transformation service offering for another consulting firm. He also served as the COO and a board member for a Life and P&C insurance group and was an operations and technology director for a Top-5 Life and Annuity Insurer in the country. Alex graduated cum-laude from the Rutgers University and holds magna cum-laude M.Sc. degree from Wesley J. Howe School of Management at Stevens. Alex is also a professor at the University of Notre Dame, Mendoza College of Business where he teaches graduate programs.

**PM: 1:30pm – 4:30PM (3CPE)**  
**Presentation Topic:** Considerations for CyberSecurity  
**Presented by: Protiviti**

CyberSecurity Insights:

**Part 1) What Internal Audit Leaders Need to Know About Cybercrime**  
A description of Cybercrime, Cyber risks and Cyber security. The presentation discusses current cybercrime trends and statistics from various sources. The different threat actors in cybersecurity breaches and their goals and attack methods.

**Part 2) CyberSecurity Assessment Frameworks; Techniques and Tools to Benchmark the Organization’s CyberSecurity Preparedness**  
Overview of the popular cybersecurity assessment frameworks. Incident Response techniques to handle an adverse security event. A description of a modern cybersecurity program, offensive and defensive security techniques and the components to be included in an effective audit program are also discussed.

Frank Dattilo is a Senior Manager in the Information Security and Privacy Practice in Protiviti’s New York Office, Frank provides clients with IT Security assessment services utilizing common security frameworks such as ISO 27001, HIPAA, SANS 20 and GLBA. In addition, Frank has provided clients with IT Audit services utilizing the Information Technology Governance Institute (ITGI) and COBIT security frameworks.

Frank’s professional certifications include; Certified Information Systems Auditor (CISA), Qualified Security Assessor (PCI-QSA) and Certified HITRUST Security Assessor. He is an active member of the Information Security Audit and Control Association (ISACA).
Prior to joining Protiviti Dattilo spent several years at the Manager level in the IT consulting practice of a Big Four firm where he assisted clients in developing strategic information systems plans and in software selection and implementation.

Tim Mortimer is an Associate Director in the CyberSecurity and Privacy Practice in New York. He has been with Protiviti for 10 years. His principle area of practice is cybersecurity assessment and strategy, and information security compliance. Tim’s core expertise is in benchmarking and assessing clients against leading practice compliance frameworks, such as ISO27001 and NIST sp800-53 and also managing compliance against security requirements including PCI DSS and cybersecurity frameworks such as the FFIEC and NIST CSF guidance.

Tim is Certified in Risk Management Assurance (CRMA) and also a Certified Information Systems Auditor (CISA), and PCI DSS Qualified Security Assessor (QSA).

For more information, follow links below:

Invitation link -> http://www.cvent.com/d/2rqt99/1Q

Registration link -> http://www.cvent.com/d/2rqt99/4W

General Auditors Roundtable

IIA NYC CHAPTER AUDIT DIRECTORS’ ROUNDTABLE
Hosted by Paulette Mullings-Bradnock - BNY Mellon

MARK YOUR CALENDAR

Thursday, September 17, 2015
Wednesday, October 21, 2015

November – No Meeting

Thursday, December 17, 2015
January 2016 – TBA
Thursday, February 18, 2016
Thursday, March 17, 2016
Thursday, April 21, 2016
Thursday, May 19, 2016

****

BNY Mellon
2:00pm to 4:00pm

*Additional information and meeting agenda
will be sent in advance of each roundtable

If you are a CAE and are not receiving emails on these events, contact
EVP – Alex Cacanando at alex.cacanando@protiviti.com
Manager/Directors’ Roundtable

Calling interested Audit Manager/Directors to participate.

Committee goals

- Roundtable discussions with mid-level audit staff to discuss relevant and timely topics
- Hold four Roundtables meetings
- Invite guests to speak on topics of interest at some of these roundtables

Please look for additional announcements coming shortly on the chapter website.

For more information contact EVP - Alex Cacanando at alex.cacanando@protiviti.com
**Certification Corner**

**IIA Self-Study Program**

Click here for more information on purchasing The IIA CIA learning system. For additional questions or inquiries, please reach out to the VP & Secretary – Jennifer Morris at jmorris@iona.edu

---

**Prepare To Pass the 3-Part CIA Exam On Your Own or With an Expert**

The IIA's CIA Learning System® is an interactive self-study program that teaches and reinforces the entire global CIA exam syllabus in a flexible, on-demand format. For additional structure, support, and discussion, enroll in a live or online facilitator-led course.

---

**Why Study On Your Own?**

- Develop an individualized study plan based on your strengths and weaknesses.
- Study when and where your schedule allows.
- Complete the course at your own pace with printed and e-book reading materials and interactive online study tools.
- Avoid travel expenses and time away from work.

---

**Why Enroll in a Facilitator-Led Course?**

- Learn from an experienced and certified facilitator.
- Discuss topics with peers from diverse backgrounds.
- Stay on track and motivated to complete the CIA exams.
- Grow your professional network.
- Take advantage of your employer's tuition reimbursement program.
Certified Internal Auditor (CIA) Exam Prep

Earn the most sought-after credential in the Global Internal Audit Profession!

There is no better way to prepare for the 3-part CIA Exam than with Pace University’s Certified Internal Auditor (CIA) Exam Prep Course. This course combines expert classroom instruction with The Institute of Internal Auditors (IIA) New CIA Learning System (version 4.0) print and online course components.

This course will expand your internal audit knowledge and prepare you for the Certified Internal Auditor® (CIA®) exam.

Earn Your CPE Credits!
Each class qualifies for Continuing Professional Education (CPE) credits.

Fall 2015 COURSE SCHEDULE

<table>
<thead>
<tr>
<th>Course</th>
<th>Course #</th>
<th>Schedule</th>
<th>Tuition Fees</th>
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<tbody>
<tr>
<td>Part I: Internal Audit Basics</td>
<td>90049</td>
<td>3-Saturdays September 19, 26 &amp; October 3, 2015 9:00 am-5:00 pm</td>
<td>$675</td>
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<tr>
<td>Part II: Internal Audit Practice</td>
<td>90022</td>
<td>3-Saturdays October 17, 24 &amp; 31, 2015 9:00 am-5:00 pm</td>
<td>$675</td>
</tr>
<tr>
<td>Part III: Internal Audit Knowledge Elements</td>
<td>90023</td>
<td>4-Saturdays November 14 &amp; 21, December 5 &amp; 12, 2015 9:00 am-5:00 pm</td>
<td>$875</td>
</tr>
</tbody>
</table>

* Use discount code DISC50 when registering online

SPECIAL OFFER!
Register for all 3 Parts & Take $75 Off!

These courses will be held exclusively at:
Pace University Midtown
551 Fifth Avenue (at 48th Street)
New York, NY

Register Online at www.pace.edu/CIA

Contact us at (212) 346-1186 or ContinuingEd@pace.edu
Internal Audit Practitioner Designation Now Offered for

New and Rotational Auditors

To support new and rotational auditors as they begin a career or enter a new career phase in auditing, The IIA is pleased to announce the Internal Audit Practitioner designation.

This new designation provides recognition for those who have taken the first step toward CIA certification, which is taking and passing Part One of the Certified Internal Auditor® (CIA®) exam. Upon passing the exam part, candidates can submit an application to obtain the Internal Audit Practitioner designation through social badging.

For new auditors, obtaining the designation after passing Part One of the CIA exam demonstrates their knowledge and aptitude of internal audit basics, and they may choose to continue the path to full CIA certification by passing exam Parts Two and Three to establish a comprehensive internal audit foundation. For those navigating through a temporary or rotational role in auditing, the Internal Audit Practitioner designation provides fundamental knowledge to make the most of the experience and offers credibility within the field.

In addition, the Internal Audit Practitioner designation offers audit managers and executives a practical and effective way to build stronger teams by establishing a common foundation of basic auditing skills and knowledge for their entire auditing staff.

For more information, please visit the CIA webpage or click the following link

https://na.theiia.org/certification/CIA-Certification
The New York Chapter of the Institute of Internal Auditors is pleased to announce the workshop/luncheon program for the upcoming chapter year. The Chapter is offering nine full-day workshops, and nine luncheon meetings. We are planning to hold these workshops and luncheons in either Baruch College or The Hard Rock Café. *Because we will be hosting the International Audit Seminar this year, we will be substituting the Annual Audit Seminar with 1 Standard Workshop.*

Please see the enclosed calendar of luncheon and workshop topics that have been scheduled to provide 63 CPE credits on a range of subjects to both new and experienced auditors. Registration is open to members and non-members of The Institute of Internal Auditors.

Subscription Plans and Registration Fees:

In an effort to achieve our objective of providing quality, affordable training and education programs, the Chapter is once again offering discounted subscription plans. In addition to discounted rates, subscription plans provide flexibility. Subscription tickets are interchangeable among members of your organization and there is no limit to how many can be used for a single workshop or luncheon meeting. For this chapter year, we are offering the following subscription plan options at the following rates:

<table>
<thead>
<tr>
<th>Plan</th>
<th>Cost of Subscription Plan</th>
<th>Discount Rate (off Regular Purchase Price)</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Workshops</td>
<td>$1,140</td>
<td>28%</td>
</tr>
<tr>
<td>6 Workshops</td>
<td>$860</td>
<td>18%</td>
</tr>
<tr>
<td>4 Workshops</td>
<td>$600</td>
<td>14%</td>
</tr>
<tr>
<td>9 Luncheons</td>
<td>$390</td>
<td>28%</td>
</tr>
<tr>
<td>4 Luncheons</td>
<td>$200</td>
<td>17%</td>
</tr>
</tbody>
</table>

Subscription tickets must be used at any training session during the September 2015 - May 2016 Chapter year and attendees from your organization can differ for each workshop and/or luncheon meeting attended. *There will be no refunds for tickets not used by the May 2016 workshop.*

For those unable to commit to our subscription plan, the Chapter is offering the following affordable rates for individually purchased tickets for IIA members*
Individual Event Registration Fees:

<table>
<thead>
<tr>
<th>Workshop/ Luncheon/ Annual</th>
<th>2015 - 2016 IIA Member* Rates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monthly Workshop - Full Day</td>
<td>$ 175</td>
</tr>
<tr>
<td>Monthly Workshop – Lunch Only</td>
<td>$ 60</td>
</tr>
</tbody>
</table>

*Must be a current IIA Member. Slightly higher pricing applies to non-members.

Subscriptions provide quality training, flexibility, and savings of up to 28% off the regular individual registration price! To purchase subscriptions for your organization, please complete the attached Group Subscription Plan - Order Form and e-mail, fax, or mail them to:

Bill Morello - IIA New York Chapter  
New York Life Insurance Company  
51 Madison Avenue – Room 706  
New York, NY 10010

E-MAIL: William_Morello@newyorklife.com  
FAX: 212-589-4447

Please make all checks or money orders payable to the following and mail them to the above address.

The Institute of Internal Auditors- New York Chapter
Information on CRMA Certification

Certification in Risk Management Assurance (CRMA)

Be a Key Advisor to the Audit Committee and Executive Management for Risk Management

Job analysis and stakeholder surveys have indicated a key element to unlocking internal audit’s full potential can be found in a professional’s ability to provide advice and assurance on risk management to audit committees and executive management. In response, The IIA developed the CRMA designation.

The standard CRMA Core Exam will be a 100-question, multiple-choice exam, with a seat time of two hours. These exams will be instantly scored like all of the other IIA exams, and will be administered at Pearson VUE testing centers around the world. All Certification in Risk Management Assurance® (CRMA®) eligibility requirements will apply.

The CRMA: Your Key to Career Success

The CRMA is designed for internal auditors and risk management professionals with responsibility for and experience in providing risk assurance, governance processes, quality assurance, or control self-assessment (CSA). It demonstrates an individual’s ability to evaluate the dynamic components that comprise an organization’s governance and enterprise risk management program and provide advice and assurance around these issues.

The CRMA is one more mark of professional distinction for internal audit practitioners. Earning the CRMA will assist you in demonstrating your ability to:

- Provide assurance on core business processes in risk management and governance.
- Educate management and the audit committee on risk and risk management concepts.
- Focus on strategic organizational risks.
- Add value for your organization.

REGISTER NOW
Discount on CIA Learning System

Take advantage of the CIA Application Fee Waiver in August. Save up to US$200 value on the IIA’s CIA learning system. Click on this link for further details: https://chapters.theiia.org/new-york/Pages/default.aspx

Certified Internal Auditor (CIA) Exam® Practice Questions

See link for further details:
Order your copy today!
Member News

Employment Opportunities

If you are looking for a new opportunity, we currently have new job postings on our website https://chapters.theiia.org/new-york/Careers. The following are just some examples of the companies offering Career Opportunities.

Senior IT Auditor – Signature Bank – New York, NY
Senior Auditor – Signature Bank – New York, NY
SOX Compliance Manager – Risk Management – Signature Bank – New York, NY

Individuals or organizations interested in posting job opportunities should submit a condensed job description to our VP of Professional Services, Kathleen Rennert. Kathleen.Rennert@yahoo.com

Internship Opportunities

If your organization is interested in hiring interns from a local school, please contact our EVP Alex Cacanando alex.cacanando@protiviti.com Alex would be happy to connect you with our students.
NEW CERTIFIED MEMBERS

In this section of the IIA NY chapter newsletter, we would like to highlight the members of our chapter who have achieved new IIA certifications, news from our members and any other exciting events

IIA NY Chapter New Certified Members

Congratulations to the following members who have completed the requirements for the certifications listed between April 2015 and June 2015:

Certified Internal Auditor (CIA)
- Robert Carpentieri
- Hua Yong Chen
- Kelsey Messer
- Victoria Meyer
- Shelley Ombrembowski
- Jonathan Renfrey
- Monica Shih
- Catherine Stracquodaine
- Mark Tessar
- Alexander Volchansky

Certified Financial Services Auditor (CFSA)
- Mark Dankenbrink
- Anna Feldchun
- Nerik Galibov
- Mingle Huang

Certification in Risk Management Assurance (CRMA)
- Syed Ali
- Evrim Esen

Certified Government Auditing Professional (CGAP)
- Luciene Lewin
- Evanthia Mavroedi

If you have any questions, please reach out to our Certification Committee Chair

Jennifer Morris at jmorris@iona.edu