Knowledge Through Sharing and Learning

I hope this newsletter finds you all well. We have been busy kicking off the chapter year and have many things planned for our members. I welcome any feedback you have on improving our programs or suggestions for the Chapter. We are always looking to improve or add something new to our programs.

We had our first workshop on September 13th. Contemporary Business Concepts provide successful practices in performing risk assessments. Former New York City Police Commissioner, Bill Bratton, spoke to us about Security in today’s world. The events were well attended and received positive feedback.

October is another busy month for our chapter. We are co-hosting a happy hour event with IMA New York Chapter for our members this Thursday, October 17th, at Papillon Bistro and Bar. For more information, please refer to page 11 of this newsletter or contact Alex Cacanando (alex.canCanando@protiviti.com).

Our CAE roundtable meetings will begin this Thursday, October 17th. If you are a CAE and are interested in learning more about this working group, please reach out to Raquel Oquendo (rm2698@columbia.edu).

Our next learning workshop will be held this Friday, October 18th, at Baruch College. Protiviti will spend the morning discussing IT Security and Fraud Prevention; Honkamp Krueger & Co will speak about Fiscal Cliff 2013 Impact for Internal Auditors at our luncheon and GRC Research and Consulting will spend the afternoon speaking about the Psychology of Fraud. For more details on any of these topics, please refer to page 7 of this newsletter or contact Juan Perez (Juan_J__Perez@NewYorkLife.com).

There is still time to take advantage of our discounted subscription plans. Our subscription plans give you the opportunity to save up to 28% on our workshops and events. For more details, refer to page 17 of this newsletter or contact Bill Morello (William.Morello@NewYorkLife.com).

We also plan on incorporating more articles in the newsletter so if you are interested in contributing, please contact Carmen Caceda (carmen_caceda@msn.com). We look forward to seeing at our events.

Best Regards,

Scott Kenney, President
IIA New York Chapter
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<td>22</td>
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<tr>
<td>Academic Relations Committee – Others</td>
<td>23</td>
</tr>
</tbody>
</table>
2013 - 2014 IIA NY Chapter Officers

President
SCOTT KENNEY
Deloitte & Touche
(212) 436-2103
E-Mail: skenney@deloitte.com

Executive Vice President
RAQUEL OQUENDO
Columbia University Medical Center
(212) 305-9622
E-Mail: rm2698@mail.cumc.columbia.edu

Vice President-Professional Development
JUAN PEREZ
New York Life Insurance Company
212-576-7984
Email: juan_h_perez@newyorklife.com

Vice President - Professional Services
CARMEN CACEDA
Email: Carmen_Caceda@msn.com

Vice President & Secretary
ALEX CACANANDO
Email: Alex.Cacanando@Protiviti.com

Vice President – Treasurer
UDAY GULVADI
Telavance(646) 359-3146
Email: uday@telavance.com

Immediate Past President
(2012-2013 Chapter Year)
Brian Mannix
New York Life Insurance Company
(212) 576-5928
E-Mail: bmannix@nyl.com
2013 – 2014 IIA NY Chapter Committees

CAP Reporting
Officer: Scott Kenney
Chair: Raquel M. Oquendo

Audit:
Officer: Scott Kenney Chair: Ines Janssen

Nominating:
Officer: Scott Kenney Chair: Brian Mannix

Volunteer:
Officer: Scott Kenney Chair: Adrienne Semenza

Long Range Planning:
Officer: Raquel M. Oquendo Chairs: Paul Flora, Nick DiMola, Laura Lowenhaupt

Government Audit Committee:
Officer: Raquel M. Oquendo Chair: Ellen Issacs

History:
Officer: Raquel M. Oquendo Chairs: Adam Stumer, Richard Dapcic

Academic Relations:
Officer: Raquel M. Oquendo Chair: Rachel Bond
Committee Members: Kate Zafonte, Mary Lougney, Christina Ostran, Jingwen Wu (Grace)

Student Dinner Committee
Officer: Raquel M. Oquendo Chair: Jennifer Morris

Annual Audit Seminar:
Officer: Juan Perez Chairs: Uday Gulvadi, Carlos Garcia, Andrew Goldberg, Rachel Bond, Erin Morrow, Raquel M. Oquendo

Workshops:
Officer: Juan Perez Chairs: Jim McCabe, Francisco Perez, Aleksandra Terzano

Luncheon:
Officer: Juan Perez

Newsletter:
Officer: Carmen Caceda Chair: Dainora Tommasino

Social Media/Communications:
Officer: Carmen Caceda Chairs: Brian Mannix, Chris Cariello

Public Relations, Advertising and Employment:
Officer: Carmen Caceda Chair: Andrew Goldberg

Subscription:
Officer: Carmen Caceda Chair: Bill Morello

CIA Exam:
Officer: Alex Cacanando Chair: Christina Ostran

Membership:
Officer: Alex Cacanando Chairs: TBD

Outreach:
Officer Alex Cacanando Chairs: Tracey Thomas

Awards Dinner:
Officer: Alex Cacanando Chairs: Mariaelena Deloux, Kathy Rennert

Diversity:
Officer: Alex Cacanando Chairs: Junny Rivera

Social:
Officer: Alex Cacanando Chairs: Coryse Farris

Financial Reporting & Controls:
Officer: Uday Gulvadi Chairs: Carlos Garcia

Awards and Gifts:
Officer: Uday Gulvadi Chair: Gabrielle Triplette
IIA NY Chapter 2013 – 2014
Workshops / Luncheons

Refer to page 17 - 18 for workshop prices and page 19 for subscription form

✓ September 13, 2013
Location: Baruch College
AM: Risk Assessment The Steps to Success - Presented by Contemporary Business Concepts, LLC (full day session)
Luncheon: Security in today’s World - Presented by former New York City police commissioner Bill Bratton

• October 18, 2013
Location: Baruch College
AM session: IT Security and Fraud Prevention Techniques - Presented by Protiviti
Luncheon: Fiscal Cliff 2013 Impact for Internal Auditors - Presented by Honkamp Krueger & Co., P.C.
PM: The Psychology of Fraud - Presented by GRC Research and Consulting

• November 15, 2013
Location: Hard Rock Cafe
AM: ERM - Risk Appetite and Tolerance, Practical approach to Build an Effective Program - Presented by Experis
Luncheon: Developing a World Class Internal Audit Department - Presented by Mike Taylor, Head of Global Internal Audit at Experian
PM: T Practical Use of Data Analytics - Presented by PwC

• December 13, 2013
Location: Baruch College
AM: Developing a Strategic Vision and Audit Plan - Presented by Experis
Luncheon: Fit for Purpose Controls - Presented by Cheskis Consultant LLC

Presented by: Social Media, What are the Risks and How to Manage Them - Presented by Deloitte

• January 10, 2014
Location: Baruch College
Full Day Session: The Journey from 1992 COSO to 2013 COSO and Overview of COSO 2013 Framework - Presented by Deloitte

• February 7, 2014
Location: Baruch College
Full Day Session: Audit Planning to Address Fraud - Presented by LSU – College of Business - Grant Thornton
Luncheon: Reporting on Tone at The Top - Presented by PwC

• March 14, 2014 41th Annual Audit Seminar
Location: TBD

• April 11, 2014
Location: TBD
AM: Using Business Intelligence for Compliance Internal Audits - Presented by Telavance Consulting
Luncheon: TBD

• May 16, 2014
Location: United Federation of Teachers
AM: Beyond the checklist Interview Skills for Auditors - Presented by GRC Research and Consulting
Our 2nd B&I event for this chapter year, was hosted by NY Life on August 14th. Panelist from Eisner Amper, Bank of New York Mellon and E&Y held an interactive discussion on Emerging IT Risk. This was followed by a networking event at Yankees Stadium Malibu Rooftop Deck and the game (Yankees vs Los Angeles Angels). The Yankees won that night. A few pictures from the day’s events....
**IIA NY Chapter**  
**October 2013 Workshop**

**Date:** Friday, October 18, 2013  
**Times:** 8:30 AM – 4:30 PM  
**Location:** Two Baruch College  
55 Lexington Avenue Room 14-220  
New York, NY 10010

**CPE:**  
7 credits will be awarded for the full day workshop  
3 credits will be awarded for half-day workshop  
1 credit for luncheon workshop

~~Registration is open~~

<table>
<thead>
<tr>
<th><strong>Members:</strong> $175</th>
<th><strong>Non-Members:</strong> $195</th>
</tr>
</thead>
<tbody>
<tr>
<td>Luncheon Only (Members): $60</td>
<td>Luncheon Only (Non-Members): $70</td>
</tr>
<tr>
<td>½ day with Lunch (Member): $90</td>
<td>½ with Lunch (Non-Member) $100</td>
</tr>
<tr>
<td>½ day without Lunch (Member): $70</td>
<td>½ day without Luncheon Non-Member: $80</td>
</tr>
</tbody>
</table>

**AM: 8:30 am - 11:30am (3CPE)**

**Presentation Topic:** IT Security and Fraud Prevention Techniques  
**Presenters:** Protiviti

Protiviti will be presenting our morning session, which includes:

- IT Security Concerns in Today’s Environment  
- Behavioral Elements of IT Fraud  
- Fraud Prevention Techniques

**Speaker’s Bio:**

**Rocco F. Grillo Jr. Managing Director:** Rocco is a Managing Director in Protiviti’s Security & Practice and leads Protiviti's Global Incident Response & Forensics Investigations Practice. He is an affiliate Board Advisor for FS-ISAC, NH-ISAC Advisory Board, a member of the Shared Assessments Program Steering Committee Board, Board member of the IT Policy Compliance Group and has also served on the Board of Directors of the NY Metro ISSA Chapter and the (i-4) International Information Integrity Institute Research Steering Committee. Rocco is a co-founder of the IT Policy Compliance Group, an affiliate Board
Advisor for FS-ISAC, a member of the Shared Assessments Program Technology Development Committee, and he has also served on the Board of Directors of the NYM ISSA and (i-4). Rocco has over 20 years of technology and information security experience. He is a CISSP, a PCI QSA, a Certified HITRUST Practitioner. He has presented on leading information security topics including for RSA, the ISSA, IIA, (i-4) and other industry organizations and has been quoted in CNNMoney, WSJ.Com, ComputerWorld, Bankinfosecurity.com, and other publications.

Rocco has over 20 years of technology and information security experience. He has managed and delivered high quality security services to a variety of clients in financial services, insurance, healthcare & life sciences, media, and the Higher Education institutions. Rocco provides clients with expertise in security assessments, IS Governance / ISO 27001 strategy development, IT Risk Management and privacy compliance services. He is also a PCI Qualified Security Assessor (QSA), a CRMA, a Certified HITRUST Practitioner and oversees Protiviti’s Incident Response and PCI Forensics Investigator Program (PFI) programs.

He has spoken on leading information security topics for a variety of audiences and industries including RSA, the IT Policy Compliance Group, the ISSA, IIA, (i-4) and other industry organizations. He has been quoted as an information security expert in CNNMoney, ComputerWorld, Bankinfosecurity.com, and other publications. Prior to working for Protiviti, Rocco helped develop RedSiren Technologies, a leading full services security firm that evolved out of Carnegie Mellon, the co-founders of CERT. He also served in management positions with Lucent Technologies prior to RedSiren.

**Luncheon Workshop: 12:30pm – 1:30pm (1CPE)**

**Presentation Topic:** Fiscal Cliff 2013 Impact for Internal Auditors  
**Presenter:** Honkamp Krueger & Co., P.C.

The American Taxpayer Relief Act of 2012 was passed by the US House during January 2013. The bill renews a slew of the so-called tax extenders, including the Work Opportunity Tax Credit (WOTC). Many federal and state employment tax credits are available to businesses yet billions of dollars go unclaimed every year as a result of internal controls within organizations not operating properly as they were designed.

This session will provide attendees with a detailed overview on these available tax credits with specific emphasis placed on WOTC, along with strategies and options organizations can implement from a controls perspective to ensure all 2013 tax credit incentives are being optimized by their respective organizations. Attendees will leave this session with the knowledge capital to ensure “low-hanging fruit” opportunities for their organizations to increase the bottom line have been fully optimized.
Speaker's Bio:

Ryan J. Hauber, MBA, CFE, CCA, CCP: Results-oriented internal audit executive and anti-fraud specialist with 15+ years of global experience assessing, advising, developing and managing internal audit groups, fraud prevention and compliance programs. Proven track record of developing and mentoring special investigation/anti-fraud units for a wide variety of organizations.

A licensed CFE in all 50 states, Ryan is a speaker on fraud, forensic accounting and industry hot topics throughout the United States. Ryan started his career in professional services working in progressive roles for a Top 5 public accounting firm along with several years of internal audit management experience with a nationwide multi-billion dollar retailer.

Consulting in general business, growth strategies and strategic planning for organizations in the public and private sectors both domestically and abroad; Extensive experience with regulatory compliance, fraud, forensic, internal controls, construction auditing and investigative engagements from start-ups to the world's largest retailers; Cost recovery, business consulting and process improvement initiatives.

Ryan has earned the credentials of Certified Fraud Examiner (CFE), Certified Construction Auditor (CCA) and Construction Control Professional (CCP). Among his professional affiliations are: Association for Corporate Growth (ACG); American Institute of Certified Public Accountants (AICPA), member; Association of Certified Fraud Examiners (ACFE), member; International Franchise Association (IFA), member. Ryan has served on the board of directors for two start-up companies located in Michigan and Minnesota, is an active member of the St. Louis Sports Commission, and is involved in various local civic programs throughout the Midwest.

PM: 1:30 pm - 4:30 pm (3CPE)

Presentation Topic: The Psychology of Fraud
Presenter: GRC Research and Consulting

Despite significant attention and effort, our understanding of how human behavior affects organizational decisions involving accounting, and auditing, and particularly aberrant behaviors such as fraud are incomplete. Our assumptions regarding who is involved, how it starts, and why it grows are flawed, which leads to a variety of critical judgment errors. This presentation delves into the very latest breakthroughs in psychological research which uncover individual and group behaviors that reveal how our minds actually work in relation to these experiences. Interactive demonstrations dramatically exhibit to attendees how radically different our intuitions are in relation to the reality of these events, and how they can immediately use this new knowledge in their work.

Highlights:

- How auditors can unwittingly assist in the production of misleading information
- Detailed effect of influence on accounting decisions and financial statements
• What causes us to overlook the most ominous and obvious red flags
• Why psychopaths are not our greatest concern, and who is…
• Why well-meaning people cooperate in fraud schemes
• The latest research revealing how most fraud really starts, and why it gets out of control
• The paradox of experience-how it sometimes diminishes professional judgment
• Why our intuition and assumptions lead us astray
• How to improve professional Judgment, skepticism and critical thinking skills

**Objectives:** This presentation uses behavioral science to integrate “Soft Skills” with accounting and auditing concepts. Participants will be able to identify and understand behavioral concepts and apply them to auditing, accounting and governance situations, as well as using these concepts to assist in developing programs for risk management and cultural improvement.

**Who will benefit:** Auditors, controllers, CPA’s, CAE’s, CIO’s, CEO’s, compliance and risk managers, ethics officers, and anyone wanting to improve their skills by understanding the

**Speaker’s Bio:**

**Toby Groves** - With his work and story featured on NPR, in the CPA Journal, and studied by business schools around the country, Toby Groves presents groundbreaking research in engaging and entertaining demonstrations for professional and academic interests. His presentations are an eye-opening, “must see” for anyone interested in understanding the fascinating psychological science behind our organizational behaviors, and particularly those involved in auditing, governance, culture, compliance, or leadership.

Educated in psychology and forensic accounting, Groves researches the roles that individual and group psychology play in aberrant organizational behaviors. The results shattered his long held assumptions about the processes behind these events. Using groundbreaking research, interactive demonstrations, and a compelling personal story, Groves inspires audiences around the country to think differently and make real progress towards understanding and improving our processes.

*For more information go to:* [https://chapters.theiia.org/new-york/Events/Pages/Seminar.aspx](https://chapters.theiia.org/new-york/Events/Pages/Seminar.aspx)
IMA New York Chapter together with IIA New York Chapter

IMA NY Young Professionals Committee Presents

Happy Hour Event

Place: Papillon Bistro & Bar
22 E. 54th Street, New York, NY 10022
Near Madison Avenue
(212) 754-9006

Date: Thursday, October 17, 2013    Time: 6:00 to 8:00 pm
Attire: Business Casual/Suit Optional

Network. Learn about the activities of Chapters.

Appetizers will be provided. Cash bar, happy hour prices

For Reservations contact Kateryna Kats and Alex Cacanando
Email: kateryna.kats@gmail.com & alex.cacanando@protiviti.com
Audit Directors’ Roundtable

Save the Dates !!!

In advance of each roundtable, you will receive an email requesting your registration.

“Please note that this is by invitation only”
If you are a CAE and are not receiving emails related to these events, please contact EVP Raquel Oquendo at rm2698@columbia.edu.

Meetings will be held from 2:00pm to 4:00pm on each of the following Thursdays:

October 17, 2013
December 19, 2013
January 30, 2014
February 20, 2014
March 20, 2014
April 17, 2014

For the audit roundtable, the location will be provided once the individual is invited to the meeting.
This is by invitation only – contact: EVP – Raquel Oquendo at rm2698@columbia.edu
IIA New York Chapter
3rd Annual Government Audit Conference

Save the Date !!!

Baruch College
Two Baruch College, 55 Lexington Avenue, NY, NY
January 31, 2014

Morning Session
Keynote Speaker
The Pulse of the Profession
8:00-9:00 am
Richard Chambers, President IIA

Featured Speaker
9:15-10:15 am
Bill Bratton, Former
NYPD Commissioner

10:30-12:00 pm
Auditing in a Government Environment
Nicholas DiMola

Afternoon Session
1:00-4:00 pm
Enterprise Risk Management
John McKeever

IIA Member Attendee: $40 Non-Member Attendee: $50
To register: http://www.cvent.com/d/v4qmm6/4W
We’re calling for volunteers, alumni, and practitioners with current and/or prior relationship with our top strategic schools to help us build the awareness with these schools regarding the IIA related opportunities and programs. If you are affiliated with the following schools and are interested in helping us build these relationships, please contact ARC chair Rachel Bond at rabond@deloitte.com or EVP Raquel Oquendo rm2698@columbia.edu. Also, let us know if you are already participating in these events.

### ARC for Student Presentations - Volunteers Needed

<table>
<thead>
<tr>
<th>School/Location</th>
<th>Available Date &amp; Time of Event</th>
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<tbody>
<tr>
<td>LIU-Brooklyn Accounting Society Meetings</td>
<td>10/24, 10/31, 11/7</td>
</tr>
<tr>
<td></td>
<td>11:00am - 12:00pm</td>
</tr>
<tr>
<td>Room H2LG</td>
<td></td>
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<tr>
<td>Fordham Beta Alpha Psi Professional Meeting</td>
<td>(10/14, 10/21, 10/28, 11/4, 11/11, 11/18, 11/25, 12/2)</td>
</tr>
<tr>
<td>441 East Fordham Road Bronx, NY 10458</td>
<td>1:05-2:15 p.m.</td>
</tr>
<tr>
<td>BMCC Accounting Club Room 203 70 Murray St. New York, NY</td>
<td>10/30, 11/6, 11/13, 11/20</td>
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<tr>
<td></td>
<td>2:00 - 4:00 PM</td>
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<tr>
<td>Manhattan College Fall Business Dinner (networking event) Mock tails and tours of campus (5:30 PM)</td>
<td>11/13/2013 6:00 PM</td>
</tr>
<tr>
<td>Manhattan College 4513 Manhattan College Parkway Riverdale, NY 10471</td>
<td>10/22, 10/29, 10/30</td>
</tr>
<tr>
<td></td>
<td>4:00 - 5:00 PM; 5:00 – 6:00 PM; (10/30 event only) 6:00 - 7:00 PM</td>
</tr>
<tr>
<td>Borough of Manhattan Community College (BMCC) 70 Murray Street, Manhattan. Room 203</td>
<td>10/16, 10/23, 10/30, 11/6, 11/13, 11/20</td>
</tr>
<tr>
<td></td>
<td>2:00pm-3:30pm</td>
</tr>
<tr>
<td>St. Francis College180 Remsen Street Brooklyn Heights, NY 11201</td>
<td>12/2/2013 11:20 AM -12:10 PM</td>
</tr>
<tr>
<td>City Tech Accounting Society 300 Jay Street</td>
<td><strong>Deadline:</strong> November 1st</td>
</tr>
<tr>
<td>(first stop in Brooklyn on the 4, 5, and C trains)</td>
<td>11/212013 1:00 - 2:00 PM</td>
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</tbody>
</table>
Certified Internal Auditor (CIA) Exam Prep

Earn the most sought-after credential in the Global Internal Audit Profession!

There is no better way to prepare for the new 3-part CIA Exam than with Pace University's Certified Internal Auditor (CIA) Exam Prep Course. This course combines expert classroom instruction with The Institute of Internal Auditors (IIA) New CIA Learning System (version 4.0) print and online course components.

This course will expand your internal audit knowledge and prepare you for the Certified Internal Auditor® (CIA®) exam.

Earn Your CPE Credits!
Each class qualifies for 8 Continuing Professional Education (CPE) credits.

FALL 2013 COURSE SCHEDULE

<table>
<thead>
<tr>
<th>Course</th>
<th>Course #</th>
<th>Schedule</th>
<th>Tuition Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Part I: Internal Audit Basics</td>
<td>90046</td>
<td>3-Saturdays September 21, 28 &amp; October 5, 2013 9am-5pm</td>
<td>$675</td>
</tr>
<tr>
<td>Part II: Internal Audit Practice</td>
<td>90027</td>
<td>3-Saturdays October 19, 25 &amp; November 2, 2013 9am-5pm</td>
<td>$675</td>
</tr>
<tr>
<td>Part III: Internal Audit Knowledge Elements</td>
<td>90028</td>
<td>4-Saturdays November 9, 16, 23 &amp; December 14, 2013 9am-5pm</td>
<td>$875</td>
</tr>
</tbody>
</table>

* Use discount code DISC50 when registering online

SPECIAL OFFER!
Register for all 3 Parts & Take $75 Off!

These courses will be held exclusively at:
Pace University Midtown
551 Fifth Avenue (at 45th Street)
New York, NY

Register Online at www.pace.edu/CIA
Contact us at (914) 773-3714 or ContinuingEd@pace.edu

For additional questions or inquiries, please reach out to the IIA NY Chapter Vice President & Secretary – Alex Cacanando at Alex.Cacanando@Protiviti.com
Prepare To Pass the 3-Part CIA Exam
On Your Own or With an Expert

The IIA's CIA Learning System® is an interactive self-study program that teaches and reinforces the entire global CIA exam syllabus in a flexible, on-demand format. For additional structure, support, and discussion, enroll in a live or online facilitator-led course.

Why Study On Your Own?
- Develop an individualized study plan based on your strengths and weaknesses.
- Study when and where your schedule allows.
- Complete the course at your own pace with printed and e-book reading materials and interactive online study tools.
- Avoid travel expenses and time away from work.

Why Enroll in a Facilitator-Led Course?
- Learn from an experienced and certified facilitator.
- Discuss topics with peers from diverse backgrounds.
- Stay on track and motivated to complete the CIA exams.
- Grow your professional network.
- Take advantage of your employer's tuition reimbursement program.

For additional questions or inquiries, please reach out to the IIA NY Chapter Vice President & Secretary – Alex Cacanando at Alex.Cacanando@Protiviti.com
The New York Chapter of the Institute of Internal Auditors is pleased to announce the workshop/luncheon program for the upcoming chapter year. The Chapter is again offering eight luncheon meetings, eight full-day workshops, and the all-day Annual Audit Seminar. We are planning to hold these workshops and luncheons in either at Baruch College or The Hard Rock Café. The Annual Audit Seminar is tentatively scheduled for March 14, 2014. We will keep you informed of the Annual Audit Seminar program and final location. This year …. We continue offering the option to attend half day sessions by using half of a full day credit! Note: if this option is chosen, the organization purchasing the ticket will only be allowed one luncheon attendee per every 2 half days used.

Please see the enclosed calendar of luncheon and workshop topics that have been scheduled to provide 63 CPE credits on a range of subjects to both new and experienced auditors. Registration is open to members and non-members of The Institute of Internal Auditors.

Subscription Plans and Registration Fees:

In an effort to achieve our objective of providing quality, affordable training and education programs, the Chapter is once again offering discounted subscription plans. In addition to discounted rates, subscription plans provide flexibility. Subscription tickets are interchangeable among members of your organization and there is no limit to how many can be used for a single workshop or luncheon meeting. For this chapter year, we are offering the following subscription plan options at the following rates:

<table>
<thead>
<tr>
<th>Plan</th>
<th>Cost of Subscription Plan</th>
<th>Discount Rate (off Regular Purchase Price)</th>
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</thead>
<tbody>
<tr>
<td>8 Workshops w / Annual</td>
<td>$ 1,200</td>
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<tr>
<td>4 Workshops w /Annual</td>
<td>$ 800</td>
<td>18%</td>
</tr>
<tr>
<td>4 Workshops w/o Annual</td>
<td>$ 600</td>
<td>14%</td>
</tr>
<tr>
<td>Annual</td>
<td>$ 400</td>
<td>26%</td>
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<tr>
<td>4 Luncheons</td>
<td>$ 200</td>
<td>17%</td>
</tr>
<tr>
<td>Annual</td>
<td>$ 230</td>
<td>16%</td>
</tr>
</tbody>
</table>

Subscription tickets must be used at any training session during the September 2013 - May 2014 Chapter year and attendees from your organization can differ for each workshop and/or luncheon meeting attended. There will be no refunds for tickets not used by the April 2014 workshop.

For those unable to commit to our subscription plan, the Chapter is offering the following affordable rates for individually purchased tickets for IIA members*.
**Individual Event Registration Fees:**

<table>
<thead>
<tr>
<th>Workshop/ Luncheon/ Annual</th>
<th>2013-2014 IIA Member* Rates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monthly Workshop - Full Day</td>
<td>$ 175</td>
</tr>
<tr>
<td>Monthly Workshop – Lunch Only</td>
<td>$ 60</td>
</tr>
<tr>
<td>Annual Audit Seminar - Full Day</td>
<td>$ 275</td>
</tr>
</tbody>
</table>

*Must be a current IIA Member. Slightly higher pricing applies to non-members.

Subscriptions provide quality training, flexibility, and savings of up to 28% off the regular individual registration price! To register your organization, please complete the attached Group Subscription Plan - Order Form and e-mail, fax, or mail them to:

**Bill Morello - IIA New York Chapter**  
New York Life Insurance Company  
51 Madison Avenue – Room 706  
New York, NY 10010

E-MAIL: William_Morello@newyorklife.com  
FAX: 212-589-4447

Please make all checks or money orders payable to *The Institute of Internal Auditors-New York Chapter* and mail them to the above address.
**Order Form 2013-2014**

THE INSTITUTE OF INTERNAL AUDITORS  
NEW YORK CHAPTER  
2013 - 2014 GROUP SUBSCRIPTION PLAN  
ORDER FORM & INVOICE

PLEASE PRINT

NAME: _________________________________________________________________

ORGANIZATION: _________________________________________________________

ADDRESS: ______________________________________________________________

_____________________________________________________________________

PHONE NUMBER: ___________________________ FAX NUMBER: ___________________

E-MAIL ADDRESS: _________________________________________________________

<table>
<thead>
<tr>
<th>SUBSCRIPTION PURCHASED</th>
<th>NUMBER</th>
<th>PRICE</th>
<th>TOTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>LUNCHEONS ONLY</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9 Luncheons)</td>
<td>_______</td>
<td>$ 400.00 EACH =</td>
<td>_______</td>
</tr>
<tr>
<td>(4 Luncheons)</td>
<td>_______</td>
<td>$ 200.00 EACH =</td>
<td>_______</td>
</tr>
<tr>
<td><strong>WORKSHOPS</strong> (INCLUDES THE LUNCHEONS)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>With Annual Seminar</td>
<td>_______</td>
<td>$1,200.00 EACH =</td>
<td>_______</td>
</tr>
<tr>
<td>(8 Workshops)</td>
<td>_______</td>
<td>$ 800.00 EACH =</td>
<td>_______</td>
</tr>
<tr>
<td>Without Annual Seminar</td>
<td>_______</td>
<td>$ 600.00 EACH =</td>
<td>_______</td>
</tr>
</tbody>
</table>

**ADDITIONAL TICKET PURCHASES**

Annual Audit Seminar - Member  
(Order by 12/31/2013 to get the discounted price of $230.)

|                  | _______| $ 230.00 EACH = | _______ |

AMOUNT DUE _______

MAKE CHECKS PAYABLE TO - THE INSTITUTE OF INTERNAL AUDITORS--NEW YORK CHAPTER
AND MAIL THEM TO:

BILL MORELLO -- IIA  
NEW YORK LIFE INSURANCE COMPANY  
51 MADISON AVENUE – ROOM 706  
NEW YORK, NEW YORK 10010

TELEPHONE: 212-576-7745 FAX: 212-589-4447 E-mail: wmorello@newyorklife.com

Tax ID No. 23-7350019
Website Update

The IIA New York Chapter website is in the process of being updated and revamped!

See below the link to the NEW NYC IIA Website to see all the new features that it offers to all our members:

https://chapters.theiia.org/new-york

Members Benefit

As a valued member of the Institute of Internal Auditors and the New York Chapter, I’d like to remind you of just some of the benefits that are available to you as members. Membership entitles you to:

- Member Only Discounts through the IIA’s Affinity Program-The IIA has partnered with a select host of companies to provide our members with special discounts on products and services. These companies include: Dell, Geico, Hertz and Omni Hotels, among others.
- Member Only Discounts on Training through the Institute and the New York Chapter-As a member of the IIA, you are entitled to valuable guidance, training, and services at discounted prices including:
  - Workshops
  - Conferences
  - Seminars
  - Webinars, webcasts and e-learning opportunities
  - Vision University
- Member Only Rates on Items in the IIA Bookstore and Professional Certification Exam Fees
- Instant Access to Valuable Reference Tools and Resources for the Profession-These include:
  - Professional Practice Frameworks
  - Practice Advisories
  - Subscription to Internal Auditor Magazine

We hope that you take advantage and enjoy the many benefits the Institute of Internal Auditors and the New York Chapter Please visit the IIA at http://www.theiia.org/ for the latest information on member benefits or at https://www.theiia.org/index.cfm?act=form.newmember to become a new member and take advantage of significantly reduced membership rates.

We look forward to seeing you and your colleagues at our upcoming events.
Social Media Update

We invite our members of the IIA New York Chapter to share your knowledge and enhance networking opportunities to. Please join the NY IIA Chapter LinkedIn group. Follow us on the following sites:

LinkedIn
http://www.linkedin.com/groups?gid=2280322&trk=myg_ugrp_ovr

Other IIA sites to follow:

Facebook
http://www.facebook.com/TheInstituteofInternalAuditors

twitter
http://twitter.com/#!/theiia

Share Your Knowledge

New York Chapter is full of experienced auditors; this is an opportunity for members to share and learn from each other. You can contribute in any of the three ways:

<table>
<thead>
<tr>
<th>Expertise</th>
<th>Stories</th>
<th>Books</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wisdom, tip, insight or technique that you’ve found improves your audit process or saves you time.</td>
<td>How did you get to where you are today?</td>
<td>What have you been reading? We’ll choose two each month and list them.</td>
</tr>
</tbody>
</table>

For other members interested in submitting information to be published in our newsletter, please send an email to our VP of Professional Services and Newsletter Officer Carmen Caceda at Carmen_Caceda@msn.com by the 20th of each month.
**Membership Update**

Not receiving Chapter e-mails and Workshop notifications? **Please UPDATE YOUR IIA PROFILE!**

Log onto [http://www.theiia.org](http://www.theiia.org) using IIA Member ID and Password.


2. [Image of Login Screen]

3. [Image of Profile Information]

**Volunteer Corner**

If you are interested in volunteering for our chapter, please reach out to our Volunteer Officer: Scott Kenney @ skenney@deloitte.com or our Volunteer Chair: Adrienne Semenza @ asemenza@deloitte.com

**Employment Opportunities**

If you are looking for a new opportunity, we currently have new job posting on our website [https://chapters.theiia.org/new-york/Careers](https://chapters.theiia.org/new-york/Careers) listed below are just some of the companies offering Career Opportunities:

*PwC Busy Season Internal Auditor - NY*

Individuals or organizations interested in posting job opportunities should submit a condensed job description to the Employment Officer, Carmen Caceda @ Carmen_Caceda@msn.com
**Academic Relations Committee**

The obligation of Academic Relations Committee (ARC) is to increase the awareness of internal audit as a career option and strengthen the relationships among local universities in the New York Metro area.

The chapter's Academic Relations Committee presents internal audit related subject matter to students at local college and university career fairs. If interested, your organization can take the opportunity to have any open positions communicated at these events.

Also, we are trying to help more students to find their passion in the internal audit profession. On behalf of your relationship with the IIA, if your organization is hiring interns from a local ARC school, please contact us to discuss.

For ARC events, internship opportunities, volunteer information and other general information, please contact ARC Executive Vice President Raquel Marin-Oquendo at rm2698@cumc.columbia.edu and ARC Chair Rachel Bond at rabond@deloitte.com.