A MESSAGE FROM OUR PRESIDENT

Dear Members:

The New Year is well underway, and I see increased hope and more positive times right around the corner.

Since our last newsletter, the NY Chapter has given two outstanding industry specific internal audit webinars for the Public Sector in late January and for the Financial Services industry in mid-February. Both webinars had tremendous turnouts as we had great internal audit practitioners and thought leaders speak at each event. The feedback has been very positive and the NY Chapter appreciates all that have attended either or both sessions. Please look at page 2 for upcoming webinars scheduled in March and April.

The New York Chapter’s professional development team has flexibly converted each event from a planned physical conference to a webinar, due to continued pandemic constraints. With that said, I would like to announce that our Annual Audit Conference planned for May will also be converted to a webinar. It is our premier event and we plan to have content and speakers that are top notch. The theme of the conference will be on Internal Auditing - Influencing Change, so please save the date.

We also work closely with headquarters to promote the many webinars and conferences they sponsor each year. The IIA’s GAM conference will take place from March 16 to 18 and be virtually given (see details on page 3). Throughout this newsletter we are promoting many future events and opportunities that advocate the profession, build career competencies, and can help members earn various IIA certifications. Keep your eye open for other IIA conferences coming up, including GRC and All Star.

Personally, I want to welcome all new members and thank all that renewed their memberships in recent months. On page 4, we also list and congratulate members with significant anniversaries - Paul Flora who I have worked with for many years just reached his 40th anniversary as did Audley Bell, and James Featherson just reached his 50th anniversary... congrats to ALL!

The Chapter works closely with St. John’s University (SJU), who is recognized as a Center of Excellence by The IIA’s Internal Audit Educational Partnership. This is a renowned achievement, as only a handful of universities worldwide have earned this rating. SJU students are very involved with the Chapter and volunteer at many events. I recommend SJU students for internships and other positions when they graduate. We are very proud of SJU students! The Chapter is also working with other local schools actively, including Fordham and Pace universities to increase student awareness of the profession and provide IA course loads.

The Executive team (Laura, Angelina, Anna, Min, Prashant, Stephanie and Dennis) is here to make your Chapter membership valuable and increase networking opportunities. Do not hesitate to reach out and give feedback or suggestions to any of us.

We also have our Annual Membership meeting planned for April 16th and all members are welcome to attend (it will be held virtually and more details will follow). At this meeting we vote on our slate of Officers and Governors for fiscal 2021/22 which begins on June 1st. We will also be voting on changes to the Chapter’s By Laws to make them more uniform with all IIA chapter in North America.

Michael Lynn , President - IIA NY Chapter

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✅ Do you know you are saving money with your IIA membership? You have access to discounted rates on Training and Certification.

You can save even more - up to 30% if you join via a Group Membership - either join your company’s existing program or encourage your company to start one and start saving today! Learn more about special group rates and packages.

🥇 If you have a friend or colleague who wants to join / re-join the IIA now is a good time as we are running the following Membership fee promotion!

- **STU20** - 20% off New or Rejoining (previously lapsed) Student Membership *(Originally $50)*
- **CHE21** - 20% off New or Rejoining (previously lapsed) Individual Professional Membership *(Originally $270)*
- **GCHE21** - 20% off New or Rejoining (previously lapsed) Individual Government Membership *(Originally $175)*

If you are experiencing hardships, please email membership@theiia.org for available options for renewal.

SAVE THE DATES! 2021 REMAINING WEBINAR TRAINING SCHEDULE

<table>
<thead>
<tr>
<th>DATE</th>
<th>TOPICS</th>
<th>SPEAKERS/COMPANIES</th>
<th>CPE</th>
</tr>
</thead>
<tbody>
<tr>
<td>3/12/2021</td>
<td>1) Cybersecurity and Data Privacy Risks 2) Auditing Digital Assets (BitCoins) 3) Data Privacy Regulations Update 4) Digital ID; iOT</td>
<td>PwC</td>
<td>4</td>
</tr>
<tr>
<td>4/16/2021</td>
<td>Panel Discussion: Business Continuity Plan Auditing 2nd LOD and Vendor Risk Management (followed by the Annual Membership meeting for the NY Chapter - if you attend, we are offering added content for a free CPE hour of training)</td>
<td>Uday Gulpadi - Director, Stout (Moderator) Jennie Wallace - CAE at NYCEDC Jaspreet Dharia - Head of Client, Corporate and Risk Audit at BlackRock Edward Hlavach - Senior Vice President - Head of Internal Audit at OceanFirst Bank N.A.</td>
<td>2</td>
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The Start Smart Advantage: New IIA Member Webinar

Wednesday, April 21, 2021 3:00 pm - 4:00 pm, ET

Learn how to take advantage of all your benefits. To get started the smart way, please join us for a new member webinar and let us help you navigate The IIA’s many programs, products, and services.

Your new member experience should be valuable and meaningful, and we look forward to helping you engage at a higher level through a variety of relevant resources to enhance how you audit and provide impact to your organization.

We’ll provide you with an overview of relevant resources and your full benefits, which include connections to your local chapter and global network of peers, standards, guidance, certifications, training, events, savings... and we’ll highlight why your IIA membership means more.

Your Hosts:

Ivey Rose Smith
Manager, Membership
The IIA

Cristina Al
Engagement Manager
The IIA

Jonathon Jones
Manager, IIA Global Certification Administration
The IIA

Register here

INTERNAL AUDIT IS MORE RESILIENT, RELEVANT, AND RECHARGED THAN EVER

For 42 years, the GAM Conference has been the essential experience audit executives trust to be influential, engaging, and informative. This tradition of excellence will continue in 2021 with a new theme: Internal Audit Recharged: Ready. Relevant. Resilient. The content will focus on moving beyond disruption to rethinking audit practices and leading in the new norm. The industry’s best and brightest will share their perspectives on optimally positioning today’s audit leaders for future advancement. To gain competencies, tools, and skills needed to leverage your impact, credibility, and value as a trusted leader in internal audit management, register now for the 2021 GAM Conference.
Congratulations to our members on their 20th to 50th IIA anniversaries!

**20 years:** Albert Plostins, Zachary Mutwiri Ikiara, Robert P. DiPalma, Jeffrey D. Coaxum, Eileen D. Tafuri, Denise Felix, Scott Kenney, Jennifer A. Van Alstyne, Timothy P. Spillane, Regina M. Mullen, Ron Henley, Carmen- Felicia Reciniello, David Camputo, Vincent Granata, Monica Timmings, Elizabeth S. Armetta, Curtis J. Strohl, and Milan C. Shah

**25 years:** Padma Kumar, Wale A. Akinwande, Lisa Adinolfi-Tejera, Medha R. Bhalodkar, Robert Hartman, Terri L. Suchewski, Laura A. Lowenhaupt-Brohan, Elizabeth D. Lillo, Shellee Ellen Price, and Steven R. Chadwick

**30 years:** Michael Lynn, Christopher Jackson, Daniel E. DerGarabedian, Howard Shain, and Angela L. Gonzalez

**35 years:** Sharon Mc Crea

**40 years:** Audley L. Bell and Paul J. Flora

**50 years:** James M. Featherson

On behalf of the IIA New York Chapter, we congratulate our members on achieving these major milestones!
CERTIFY NOW – In response to test center closures due to COVID-19, the IIA is offering online testing for the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), and CIA Challenge exams. You can take the exam remotely from the comfort and safety of your home!

Don’t wait as this flexible testing option is only available for a limited time. Check it out here – Link or visit the Pearson VUE online proctoring page for system requirements and additional information.

The Certification in Risk Management Assurance® (CRMA®) program will be changing mid-2021! The new CRMA is repositioned as a career pathway for internal auditors after achieving the CIA designation.

<table>
<thead>
<tr>
<th>CURRENT CRMA</th>
<th>REVISED CRMA</th>
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<tr>
<td><strong>PREREQUISITE</strong></td>
<td>Active CIA designation (all three parts of the exam)</td>
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<td><strong>CRMA EXAM</strong></td>
<td>125 questions (multiple-choice, up to 50% advanced-format)</td>
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<tr>
<td><strong>COMPETENCY LEVEL</strong></td>
<td>Proficient</td>
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<tr>
<td><strong>SEAT TIME</strong></td>
<td>150 minutes</td>
</tr>
<tr>
<td><strong>WORK EXPERIENCE</strong></td>
<td>5 years of verifiable internal audit and/or risk management experience</td>
</tr>
</tbody>
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The new program requirements, format, window, and pricing changes will officially take effect by mid-2021. Aspiring candidates, as well as current certification holders and in-progress candidates, can learn more here:

- CRMA Current Exam Syllabus
- CRMA Mid-2021 Exam Syllabus
Opt-in and join the IIA Certification Registry today ([https://certified.theiia.org/](https://certified.theiia.org/))! The IIA Certification Registry is an up-to-date record of individuals who have earned an IIA certification and maintain it by reporting continuing professional education (CPE). The Registry allows you to provide evidence of your accomplishments and provides an opportunity for employers and recruiters to verify your IIA designations!

**Take Personalized Exam Prep to the Next Level**

Check out the new IIA CIA Learning System! The updated program focuses on passing the exam parts with speed.

The interactive CIA review program teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule.

To provide candidates with the most personalized, flexible, and efficient study experience:

- **Personalized Study Experience**: Individualized study plan based on your personal knowledge gaps, also follows your progress through quiz scores and activities.
- **Micro-learning**: Focus on smaller content segments for efficient use of your time and ensure you have a clear understanding of each topic.
- **Convenience**: Travel light with online access via any mobile device, tablet, or computer. Just log in and continue where you left off.

You can find more information [here](https://certified.theiia.org/).
Calling All Aspiring CIA Candidates

Get prepared with these NEW! CIA Exam Preparation courses:

CIA Exam Preparation Instructor-led Course Part 1: Essentials of Internal Auditing

CIA Exam Preparation Instructor-led Course Part 2: Practice of Internal Auditing

CIA Exam Preparation Instructor-led Course Part 3: Business Knowledge for Internal Auditing

BECOME A CIA

The difference you can make as a CIA is clear in increased credibility, competency, and compensation. Certified fact: As the only globally recognized certification for internal auditors, becoming a CIA proves skill, value, and understanding of the Standards and how to apply them. Learn more

TOOLS OF THEIR TRADE

The IIA has launched a new tool created specifically to provide boards and audit committees with the instrument they need to assess the quality of their internal audit activity. Don’t just guess, assess your organization’s internal audit activity with the new Internal Audit Assessment Tool. Download now

JOB POSTING?

If you have internal audit position openings, we welcome you to send the postings to us and we will post them on our website in the “Careers” section. We will then keep the postings up for up to 90 days.

Email the following contacts: anna.walters@scotiabank.com or mjlynn5607@outlook.com
Did You Know? CIAs earn on average $38,000 more annually than those without a certification. (Source: The IIA’s 2017 Compensation Study)

Prepare to Pass the CIA Exam with St. John’s University

CIA Review Classes Coming March 8, 2021!
St. John’s University will be partnering with The IIA to offer CIA® review classes starting March 8, 2021 using The IIA’s CIA Learning System™. Our expert instructor, Mike Fucilli, will lead you through the global CIA exam syllabus in a structured and interactive live online class environment to ensure you understand and can apply the critical CIA topics.

Reading Materials
Materials teach the CIA exam syllabi, incorporating the latest IIA Professional Guidance, in a concise and easy-to-understand format.
Part 1: Essentials of Internal Auditing
Part 2: Practice of Internal Auditing
Part 3: Business Knowledge for Internal Auditing

- Study small content segments for increased focus and flexibility.
- Download books to your e-reader, read online, or choose printed books (optional add-on).
- Explore topics in more detail with direct links to additional online resources.

Interactive Online Study Tools
- Pre-test evaluates current knowledge and helps set your personalized study path.
- Video tutorial provides an overview of the IPPF components.
- Quizzes test your comprehension and retention.
- Flashcards offer review of key terms and definitions.
- CIA practice exam helps build confidence through a computer-based exam emulation.
- Progress reporting tracks activities and scores.

Upcoming Course Dates
The following courses are available through St. John’s University:

<table>
<thead>
<tr>
<th>Course</th>
<th>Dates</th>
<th>Fees</th>
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</thead>
<tbody>
<tr>
<td>Part 1: Essentials of Internal Auditing</td>
<td>March 8, 9, 10, 11 (9 am to 12 pm)</td>
<td>$850</td>
</tr>
<tr>
<td>Part 2: Practice of Internal Auditing</td>
<td>April 12, 13, 14, 15 (9 am to 12 pm)</td>
<td>$850</td>
</tr>
<tr>
<td>Part 3: Business Knowledge for Internal Auditing</td>
<td>May 10, 11, 12, 13, 14 (9 am to 1 pm)</td>
<td>$1,100</td>
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</table>

Whether you are a CIA candidate, a manager seeking training for your staff, or a student just starting out, The IIA’s CIA Learning System courses can help you accomplish your certification goals.

For more information or to register, please visit https://sjureg.mycoursecenter.com
Are you looking to demonstrate your financial services competency and credibility?

Exhibit your expertise and specialized knowledge by completing the Financial Services Audit Certificate! The revamped certificate has a brand-new self-study training program and focuses on the following:

<table>
<thead>
<tr>
<th>Assessment Area</th>
<th>Course Title</th>
<th>Format</th>
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<tbody>
<tr>
<td>Assessing Cybersecurity Risk</td>
<td>Auditing Cybersecurity Risk</td>
<td>Online</td>
</tr>
<tr>
<td>Assessing Fraud Risks</td>
<td>Auditing Fraud Risks</td>
<td>Online</td>
</tr>
<tr>
<td>Auditing Capital Adequacy and Stress Testing for Banks</td>
<td>Auditing Capital Adequacy and Stress Testing for Banks</td>
<td>Online</td>
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<tr>
<td>Auditing Credit Risk</td>
<td>Auditing Credit Risk</td>
<td>Online</td>
</tr>
<tr>
<td>Auditing Market and Interest Rate Risk</td>
<td>Auditing Market and Interest Rate Risk</td>
<td>Online</td>
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<tr>
<td>Auditing Model Risk Management</td>
<td>Auditing Model Risk Management</td>
<td>Online</td>
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<tr>
<td>Auditing Insurance Companies</td>
<td>Auditing Insurance Companies</td>
<td>Online</td>
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<tr>
<td>Auditing Third Party Risk</td>
<td>Auditing Third Party Risk</td>
<td>Online</td>
</tr>
<tr>
<td>Foundations of Auditing in Financial Services</td>
<td>Foundations of Auditing in Financial Services</td>
<td>Online</td>
</tr>
<tr>
<td>Liquidity Risk Management</td>
<td>Liquidity Risk Management</td>
<td>Online</td>
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<tr>
<td>Financial Services Audit Assessment Exam</td>
<td>Financial Services Audit Assessment Exam</td>
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</table>

You can take this at your pace whenever you are ready. Learn more at [www.theiia.org/certificate](http://www.theiia.org/certificate).
IIA Names New President And CEO

The Institute of Internal Auditors has named Anthony Pugliese as the association’s new president and CEO, succeeding Richard Chambers in the role he has held since 2009. Pugliese will take over the job at the end of March. MORE

FEATURED ARTICLES

How Internal Audit Can Strengthen Cost Management

The pandemic has confronted many managers with the need to consider some belt tightening. Indeed, many organizations have been forced to delay capital investments, reduce inventory levels, furlough and lay off workers, and conduct other cost-cutting activities over the last months, as they worked to make ends meet. Internal audit has a role to play in how companies conduct such measures. MORE

Time to Act on Using Automation Technologies in Internal Audit

In his blog, IIA Jean-Marie Bequevort shares insights on the utilization of automation technologies, such as robotic process automation (RPA) and
CALL FOR VOLUNTEERS

We are always seeking volunteers for academic relations and membership and for our website, newsletter and social media. Please contact Min Kim at MKim@nyrainc.com if you are interested.

IIA BOOKSTORE HIGHLIGHTS

LinkedIn. FOLLOW US. #iianyc