A MESSAGE FROM OUR PRESIDENT

Dear Members:

Thanksgiving Day is quickly approaching, and we have so much to be thankful for! First, on behalf of the New York Chapter, it is such an honor and privilege to serve you, our members. Based on your feedback, we have made a concerted effort this year to provide training that is focused on your industries, interests and needs. For the first time, we held a Women in Internal Auditing workshop which was very interactive and participatory with numerous table-based hands-on exercises that attendees enjoyed a great deal. For other upcoming workshops, see page 2. Other special interest events are the January 31st Government Audit Conference, the March 13th Financial Services Conference and the May 8th Annual Audit Conference. Due to the limits on discretionary spending faced by many public sector auditors the Government Audit Conference is deeply discounted at $75 per member and registration is now open at the following link: https://www.eiseverywhere.com/ehome/500740/1001635/

Second, a huge thank you to our partners at St. John’s University for hosting an extremely well received Young Professionals Internal Auditing Career Fair. We are committed to offering networking events such as this to help you find future career advancement opportunities. Please click on our NY Chapter website “Careers” tab where you will find job postings from hiring employers. We hope you find this to be a meaningful resource on your career path as an internal auditor.

And lastly, a tremendous thanks to our volunteers who work tirelessly to plan events that provide you with continuous education and networking opportunities. Please reach out to any of the Executive Committee members (Mike, Laura, Angelina, Prashant, Anna, Ilya, Randy or me) with any suggestions for continuing to improve the services we provide, and we hope to see you at the next workshop on December 19th!

Wishing You and Yours a Very Happy Thanksgiving,

Sincerely,

Ellen Isaacs

NY Chapter President, Institute of Internal Auditors

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## SAVE THE DATES! 2019/20 WORKSHOP TOPICS & SCHEDULE

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<td>The New Psychology of Professional Skepticism and Resilience in Internal Audit</td>
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<td>4/17/2020</td>
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For More Information, contact
Laura Richard
Laura.Richard@ey.com
Min Kim at minkkim@gmail.com

Disclaimer: These preliminary topics and presenters are subject to change.

### Background:
Join us for the December 2019 workshop and final opportunity to earn CPE for the calendar year! Our December workshop will be hosted by RSM and will feature exciting topics in the areas of Cybersecurity and Incident Response, while taking a deep dive into Internal Audit’s role. Some of the topics we will explore include:

- Sharpening our knowledge and awareness of today’s cybersecurity challenges
- Defining the organization’s risk profile, assessing current capabilities and focusing efforts
- Regulatory requirements and upcoming legislation related to specific industries and data types
- Technical skills and cutting-edge tools to perform effective cybersecurity testing
- How can Artificial Intelligence, Machine Learning and Robotics enable the internal audit team?
- Assessing crisis management and communication plans
- The SEC’s perspective on cybersecurity and internal controls
- Incorporating cybersecurity into your risk assessment and internal audit plan
- Reporting to the Audit Committee

**CPE credits:** 7 hours  
**Knowledge Level:** Intermediate  
**Field of Study:** Auditing, Business Management and Organization
EVENT RE-CAP:

INTERNAL AUDITING CAREER FAIR

The NY IIA Young Professional Internal Auditing Career Fair held on October 24 was a resounding success. The event was graciously hosted by St. John’s University at their Manhattan campus with a total of 115 candidates, volunteers and employer representatives attending. It was an afternoon of resume exchanging and networking that will culminate in additional employment and advancement opportunities for those who attended.

In addition to hosting this networking event, the NY Chapter is ready to support your ongoing needs in finding your next internal auditing position and for organizations to identify internal audit talent to meet their needs. In fact, the NY Chapter website is an excellent resource for future candidates to find out about available positions. Just go to the link for “Careers” to see the postings. We’ve received a few postings and have included them, and expect to receive more in the future, so please check our website periodically as we continue to expand on this feature. If you are an employer interested in posting your internal audit position, please job postings send them to Angelina.Fridman@pwc.com.

EMERGING RISKS AND HOT TOPICS FOR HIGH IMPACT INTERNAL AUDIT

On October 18th, PwC hosted a workshop on “Emerging Risks and Hot Topics for High Impact Internal Audit” which contained a variety of topics, including but not limited to: auditing organization culture, risk considerations for organizations’ digital transformation, and mindfulness, presence, and leadership. The audience consisted of companies from different industries and included IIA members that work in the second and third line of defense at their organizations. The attendees were very active in their contributions during the presentations, and one member, Tim Spillane, even voiced the following, “The presentation was right on target in terms of providing the audience with the latest developments in digital finance and audit technologies. The presenters were not in a lecture mode and did a great job interacting with the audience.” The workshop received a lot of positive feedback and the attendees were pleased with the content.
REMINDER!

THE CENTER FOR EXECUTIVE EDUCATION OF THE TOBIN COLLEGE OF BUSINESS OF ST. JOHN’S UNIVERSITY
is offering a comprehensive CIA Examination Review Course using the new format for the CIA Exam. Courses are at their Manhattan campus at 101 Astor Place.

About the class
This premier CIA Exam preparation program is the only IIA-partnered, instructor-led program in the New York metropolitan area, and is based on the IIA’s CIA Learning System. It delivers the global CIA exam syllabus in a blended learning format of customized workbooks and web-based learning software (provided with the registration fee). It is also CPE eligible.

Mike Fucilli, our experienced instructor, will lead you through the entire CIA exam syllabus in a structured and interactive live classroom environment to ensure that you understand and can apply the critical CIA topics. Prepare to pass the CIA Exam and arm yourself with critical tools and knowledge to excel in your internal audit career.

Our instructor: Mike Fucilli / CIA, QIAL, CGAP, CRMA, AND CFE
Mike is a Chief Auditor Executive with 39 years of internal auditing experience that includes Assurance & Consulting Services, Public Sector, Banking, Manufacturing, COSO, and Technology & SOX Reporting. Mike is a well-known, accomplished instructor and teaches the CIA Review class using the IIA’s CIA Learning System.

Remaining Class dates (dates for Part 1 and Part 2 passed)
Part 3: Internal Audit Knowledge Elements November 23, December 7 and December 14, 2019

Registration Portal
https://sjureg.mycoursecenter.com/collections/internal-audit-curriculum/products/cia-exam-prep

MISSING CPEs?
If you recently attended a NY IIA event and did not receive your CPE certificate, or you misplaced a prior one and would like it to be re-issued, please contact Laura Richard (laura.richard@ey.com) or Min Kim (MKim@nyrainc.com)

CPE REPORTING
CPE Reporting Window Open:
All certified individuals must report annual continuing professional education (CPE) credit hours by December 31st. This lets you display your certification credential(s) and makes you eligible to be included in The IIA Certification Registry.

CERTIFICATION LAPSED?
Re-certify & Re-join for $270
Campaign: Beginning in November and running through December 3, 2019 - for $270 - members who have allowed their certification to lapse, and are currently non-members, receive a bundle rate for both (a $240 savings). Have members use the following link to take advantage of this valuable promotion – reinstate your CIA and become a member today.
GAM 2020 - REGISTRATION IS OPEN!

Click here to register.

GAM 2020 Registration Is Open and will be March 16-18, 2020 in Las Vegas. Last year’s event sold out! Members, you can save $200 by registering early by December 31st. Access the chapter leader’s toolkit to download digital assets for emails, newsletters, social media, and more.

The 9th Annual Government Audit Conference is now open for registration and will be held on Friday, January 31, 2020 at Hotel Pennsylvania in midtown Manhattan. Please register at the following link: https://www.eiseverywhere.com/e/home/500740/1001635/

During the Annual Government Audit Conference, meet Daniel Samson, author of *The Audit Value Factor: Making Management’s Head Turn* which empowers readers with a systematic method to build and maintain a value-centric internal audit organization. The book explores how to identify, quantify, and articulate value for customers.

*The Audit Value Factor* offers easy to use tools and practical strategies that deliver tangible and immediate benefits for the internal audit team.
CALL FOR VOLUNTEERS

We are always seeking volunteers for workshops and conferences, academic relations, and social events. Please contact Anna Walters at anna.walters@scotiabank.com and/or Diana Barros at diana.barros@scotiabank.com if you are interested.

SHOUT OUT:

We’d like to thank Stephanie Preter, who did an amazing job at the “Emerging Risks and Hot Topics for High Impact Internal Audit” workshop, being a “journalist” for the day. Her work provided photos and her summary, which included awesome feedback from one of the registrants.

 Been involved in a recent activity, have a success story you would like to share, or want to recognize someone? Email:dorian.fryson@scotiabank.com

**PROMOTIONS**

- **Hosting a Chapter Event around the Holidays:** A top recruiting opportunity! Engage non-member attendees and let them know they can save 20% off an individual professional membership using **promo code CHE19** through December 31, 2019.

- **Beginning-of-Year Campaign:** January 1, 2020, through February 28, 2020, all new professional members will receive a 20% discount.
FEATURED ARTICLES

To Rate or Not to Rate: That is the Question

Summarizing audit reports with a rating remains controversial: Boards and senior management love them for their brevity, while process owners fret they may portray them unfairly. Read the article here.

The Road Ahead for Internal Audit: 5 Bold Predictions for the 2020s - read Richard Chambers’ blog.

Note: Internal Auditor’s blogs reflect the personal views and opinions of the authors. These views may differ from policies and official statements of The Institute of Internal Auditors and its committees and from opinions endorsed by the bloggers’ employers or the editors of Internal Auditor.


Introducing the inaugural edition of a bold new report on risk. It is the only report that provides perspectives from all the key players in the risk management process. Get your copy of the most holistic view of risk ever penned.
IIA CIA LEARNING SYSTEM

NEW! The IIA's CIA Learning System Version 6.0
Now aligned with the 2019 CIA exam.

GET PREPPE & CERTIFIED BY THE LEADER. The IIA's CIA Learning System.

Online and in-person Instructor-led courses available. Find out more here on a free webinar.

IIA BOOKSTORE HIGHLIGHTS

IIA MEMBERS CAN RECEIVE UP TO 20% OFF SELECTED PRODUCTS.

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