When you have to be right

TeamMate Solutions

Key Audit Benefits
- Extensive integration with Microsoft Office allows auditors to work in a familiar environment that supports their working practices.
- Rich content libraries from AuditNet, as well as COBIT and other industry standard frameworks allow you to build your audit programs quickly and with confidence.
- Intuitive design and interface lets users get comfortable quickly.

Key Controls Benefits
- Shared Risk and Control libraries, result in better coverage and less duplication of testing.
- Integrated software allows for seamless collaboration between Audit and Compliance, driving productivity, knowledge sharing, and efficiency.

Key Analytics Benefits
- Perform work that could not be accomplished any other way, enabling you to expand the value you can provide.
- Save time, often 20 hours per user each month, by enabling your auditors to work more efficiently and effectively.
- Provide deeper insight into underlying records, uncover items of interest, and add value to the work you’re already doing.
TeamMate offers a comprehensive audit management system designed to help auditors and audit department leadership manage all aspects of the audit process. TeamMate allows your organization to identify risks and create assessment reports, schedule projects and allocate resources, capture time and expenses, track audits and issues, and create and manage audits via an advanced electronic working papers database.

TeamMate is the industry standard in audit management systems, and is used by 100,000 auditors from more than 2,500 organizations worldwide.

Whether your audit department is large or small, TeamMate is scalable and can be configured to accommodate your needs. It increases the efficiency and productivity of your entire audit process including: risk assessment, scheduling, planning, execution, review, report generation, trend analysis, committee reporting, and storage.
Complete Audit Documentation System
• Unique database set-up and advanced replication features allow the entire team, regardless of location, to access the audit file simultaneously. Replication allows remote review without interrupting the ongoing audit work.
• Security features include automatic encryption and compression of all audit data.
• User-friendly interface that integrates closely with Microsoft® Word and Excel, and provides simple navigation through any audit file.

Audit Universe Risk Assessment
• Advanced risk assessment system that enables you to develop a truly risk-based audit plan, based on scoring methods and criteria that work best for you.
• User-defined fields make it easy to customize. You control risk weightings and calculations, to align with your own risk assessment methodology.
• Risks and controls identified during the risk assessment are seamlessly carried forward into your audit files to facilitate testing and reporting.

Resource and Project Scheduling
• Provide users with the ability to schedule projects and resources in such a way that there is a clear visual demonstration of personnel assignments and tracking of projects in an annual plan.
• Designed to be flexible enough to work easily for both small and large groups by allowing multiple schedulers, resource teams and state-of-the-art Gantt interfaces to display assignments.
• Easy-to-use Drag’n Drop features allow you to select several resources and projects and with a single drag motion add them to the schedule.

Time and Expense Capture
• Fully integrates with project scheduling and can pre-populate audit assignments and non-working events such as vacation to simplify the time entry process.
• View the annual plan to determine completeness and drill down through reports to analyze time and expense variances.

Centralized Audit and Issue Tracking
• Customizable, user-defined dashboards provide views to all your key data with drill-down capabilities to underlying details.

Dashboard view
• Allows easy web-based searching through your department’s complete database of key audit data, e.g. issues, reports, plans, risks and controls.
• Accessible to key stakeholders, allowing management to focus on the overall objectives and management of audit results without the need to look at details.
TeamMate CM focuses on the management and testing of any set of controls an organization may choose to follow or be required to implement. It provides built-in workflows around the assessment, process owner review, testing, documentation, retesting, and certification processes. A truly unique user-defined structure based on Dimensions and Perspectives of data gives you the ability to view and interact with controls in the way that best suits your needs.

This structure differs from traditional organizational hierarchies in that it orients each user’s experience around their specific role, the parts of the organization with which they are concerned, and their specific area(s) of interest. This innovative design leads to much greater efficiency when completing controls work as well as better insight into the potential impact the given control environment and the failure of any given control could have on an organization.

Dimensions
The ability to define multiple Dimensions, or ways to view your organization, is a powerful feature of TeamMate CM.

- Up to 30 separate user-defined Dimensions can be established based on a structure such as your organizational hierarchy, financial statement accounts, or operational processes. A dimension may also be defined to align with a control framework, such as COSO or COBIT.
- Switch from one dimension to another to get a clear view of whether any gaps exist or if there are any areas lacking controls. You can truly see how a control “lives” within different parts of your organization.

Perspectives
TeamMate CM offers a number of Perspectives, or views, of your data that promote efficiency and focus in daily activities based on your role. (e.g., control owner vs. control tester)

Configure your own views within an Assessment, including or excluding specific columns of data, in the order in which you want to see them. You can also determine the types of data that are displayed. Build your own set of Custom Perspectives to view and report on data as you wish to see it.

What makes TeamMate CM different?

Flexible relationship between entities, processes, financial statement accounts, and other reporting structures
Our approach allows you to create a relationship between dimensions such as entities and processes to each instance of a risk or control.

Flexible certification options capture key sign-offs
A single assessment can have multiple certifications, each with multiple signers, where you define the certification statement and design the workflow.

Update control information and apply the changes to active instances of that control in your assessments
Eliminate the time and effort to update each instance of a control individually.

Key Features

Self-Assessment Change Management
Allow business contacts to manage their own narratives, self-identify issues, and edit their controls during the self-assessment process. A track changes option gives you the option to view and approve all changes before committing the updates to the live assessment.

Flexible Workflow
All items in TeamMate CM (risks, controls, work papers, etc.) use a common and robust workflow structure that can be applied consistently across all objects or configured differently for individual objects such as risks, controls, etc.

Work Paper Support
Work papers are an integrated part of the control structure and can be associated to any entity, risk, control, etc.

Reporting
The ability to define custom perspectives (views) of control data and export those details (including text-based responses) provide unlimited reporting capabilities.
Examples of Regulatory Compliance & Control Frameworks easily managed by TeamMate CM

TeamMate CM easily handles any compliance management activities where identification, evaluation, testing and certification reporting are required.

**Internal Controls over Financial Reporting:**
- SOX (U.S.)
- Turnbull Guidance and UK Corporate Governance Code (U.K.)
- Deutsche Corporate Governance Kodex (Germany)
- Code-Tabaksblat (Netherlands)
- Loi sur la Sécurité Financière – 2003 (France)
- Bill 198 aka C-Sox (Canada)
- Financial Instruments and Exchange Act of Japan aka J-Sox (Japan)
- King III Act of Corporate Governance (South Africa)
- Compliance Law 262/2005 aka L262 (Italy)
- SCIIF (Spain)
- TC-SoX 11 (Turkey)
- Svensk Kod För Bolagsstyrm (Sweden)

**Financial Institutions and Insurance:**
- Basel III
- Solvency II
- Dodd-Frank Act (USA)
- MARisk (Germany)
- FINMA (Switzerland)
- BRSA (Turkey)
- BSA (US)
- USA PATRIOT Act (US)
- FDICIA (US)
- Model Audit Rule 205 (US)

**Privacy:**
- PCI DSS
- General Data Protection Regulation – GDPR (EU)
- Personal Information Regulations (NPPI)
- HIPAA
- Country-specific Data Privacy laws and regulations

**Control Frameworks:**
- COSO
- COBIT

**Anti-fraud/Anti-Corruption:**
- FCPA
- AML
- OFAC
- UK Anti-bribery Act

**Specialized Regulatory Compliance Management:**
- FISCAM (US Government IT)
- OMB Circular A-123 (US Government Internal Controls)
- OSHA
- ADA (American Disability Act)
- EH&S
- ISO Standards
- Gaming and Gambling Control Board compliance
TeamMate Analytics is a suite of more than 150 Computer Aided Audit Tools (or CAATs) that allows auditors to easily perform powerful data analysis to deliver significant value for their team, their internal or external clients and their organization as a whole.

Once installed, TeamMate Analytics is there to help you every time you open Excel. All you will see is a new tab added to the Ribbon bar, which gives you access to all of the TeamMate Analytics tools.

Who's using TeamMate Analytics
More than 60,000 auditors in over 70 countries around the world use TeamMate Analytics to conduct their work more efficiently and effectively.

Internal Auditors
• TeamMate Analytics is used by Internal Audit teams at small companies as well as well-known Fortune Global 500 companies, with teams ranging from 1 up to 100+ auditors.

External Auditors
• TeamMate Analytics is being used in audit practices of all sizes, from sole practitioner CPAs right up to Big 4 global accounting firms.

Government Auditors
• Government auditors across the world at both local and state level use TeamMate Analytics, as well as global intergovernmental organizations.

Fraud Examiners
• Professional fraud examiners use TeamMate Analytics to provide forensic investigation services to their clients.

Education
• Universities and other education providers use TeamMate Analytics both for their own internal audits and to train the next generation of accountants how to use Computer Aided Audit Tools.

TeamMate Analytics Toolbar
This includes a variety of tools designed to make your life easier whenever you’re working in Excel. The toolbar includes tools for cleansing and manipulating data to get it ready for data analysis including fixing numeric, date and text fields. There are tools for presenting your work easily and effectively including applying formatting and inserting headers and a sheet index. Other time saving tools include Global Find and Replace and Extract Visible Cells.

Pre-Defined Section Modules
TeamMate Analytics includes 8 unique Section Modules, which are each focused on different areas that internal auditors are requested to test but often lack the expertise such as payables, receivables, inventory, non-current assets, journals and expenses. Each Section Module includes up to 26 different tests that can be run on a single report, all in one go, performing a huge amount of data analysis and focusing the user on just the transactions that may be of interest.

Custom Section Modules
Scripting without learning a different language. In most data analytic tools if you want to create and provide users tests, it takes a data analytics expert to create and distribute scripts using an application specific scripting language. TeamMate Analytics allows you to accomplish the same goal of providing predefined analytic tests to your users, without learning an application specific scripting language or code.
Sampling
In many cases, TeamMate Analytics allows you to test 100% of a population, and therefore eliminate sampling and sampling risk altogether. However, when sampling is unavoidable, you can use TeamMate Analytics to select robust samples using Monetary Unit Sampling (MUS), Random Sampling, Stratified Sampling, Cluster Sampling or Systematic Sampling. You can also use the MUS tool to statistically extrapolate errors in the sample over the entire population.

Data Analytics Tools
TeamMate Analytics includes a whole host of standalone data analytics tools. All the ones you might expect from a fully-featured data analytics tool, including Join 2 Sheets, Append Sheets, Ledger Split, Exception Report, Column Statistics, Gaps, Benford’s Testing, Advanced Summary and Aging. There are also other tools included that are not available in other data analytics tools, or would require you to write custom scripts, such as Out of Mask, Highlight Changes, Sequential Invoices, Specific Comments, Analytical Review, Number Checker, Lead Scheduler, Outliers, Top and Bottom. There’s a tool included in TeamMate Analytics for virtually every data analytics task that you would wish to perform.

Quick Visualizer
This time-saving tool allows easy and flexible automated visualization of data, column by column and creates simple, visually appealing charts that can be customized in Excel.