President’s Message

I am delighted and excited to be your President this year and thank everyone for their support and continued participation on the Board and Committees. As we begin our new year and look back on the previous few years, a big thank you to Joe Cannella for his leadership – we couldn’t have done it without you!

While the IIA implemented several significant changes last year, I’m proud to say our Chapter has rolled with the changes and continues to be successful. It also proved to be a successful year for providing relevant and useful chapter events and conferences to our members as well as promoting our profession locally. This would have been impossible without the leadership provided by our Committees and Board Members. An extra special thanks to our Programs Committee for going above and beyond to not only find speakers, but improve FraudFest by adding a social – it was a lot of fun!

As we begin the new year, I want to personally thank the members and all the volunteers for their dedication and commitment to The IIA and the profession. We all have challenges with pressures on our finances, work/life balance, and the stresses of everyday life. I commend you for finding the time to be a part of your chapter, especially those who perform a volunteer/leadership role in the chapter by providing service to our members.

Thank you to our current members who have agreed to serve in the same roles and for the folks who are taking on new roles - you will be the ones to take our Chapter to the next level. I am grateful to be working with a great team that pitches in to make serving a fun job.

As a member-based organization, the success of our chapter is directly tied to the efforts of each one of our members, and especially those who commit the extra effort to make sure we continue to deliver exciting events. Connecting with the other members outside of work is also a good opportunity to learn from other professionals and build relationships that may help you in the future. I want to make sure all members know the new officers (see last page of newsletter) and give everyone an opportunity to provide some feedback on what we can do better or volunteer for the coming year. I am looking forward to next year and we will be developing our goals this month so now is a great time to reach out to me or any of our officers with your ideas.
President's Message (continued)

If you are interested in learning more about volunteering for the chapter, The IIA provides several training webinars for chapter leaders in their roles and responsibilities, and the chapter earns points for each volunteer that reviews a webinar. The following webinars are available 24/7:

* Academic Relations * Advocacy
* Chapters of Excellence Program * Certifications Reporting Portal
* Independent Validation of Financial Records * Membership
* Membership Reporting * New Leader Orientation
* Presidents/Presidents-Elect * Programming
* Succession Planning * Treasurer

Other news:

In addition, The IIA is offering the following webinars in June and July:

June 4, 2019
**Members-Only Webinar: Environmental Risk: Aligning Effort to Create Value and Mitigate Hidden Risks**

June 28, 2019
**Members-Only Webinar: Code of Ethics: Implementation Guides**

July 16, 2019
**Members-only Webinar: The Internal Audit/Audit Committee Relationship**

July 18, 2019
**FSAC Webinar: The 2019 Financial Services Regulatory and Legislative Landscape**

If you are thinking about studying the CIA, there are in person training sessions in Lake Mary:

**June 18–26, 2019 – IIA Headquarters Lake Mary**

June 18–19, 2019 **CIA Exam Preparation - Part 1: Essentials of Internal Auditing**
June 20–21, 2019 **CIA Exam Preparation - Part 2: Practice of Internal Auditing**
June 25–26, 2019 **CIA Exam Preparation - Part 3: Business Knowledge for Internal Auditing**

In closing, thank you again and I look forward to another successful year!

Thank you,
Lisa Siedzik
Upcoming Events

June Quarterly Meeting
Topic: Cyber Security and Computer Forensics
Date: June 20, 2019
Time: 12:00 pm—3:00 pm *(Join us at 12:00pm for lunch, networking, and a special volunteer recognition event prior to the training which begins at 1:00pm)*
Location: Santa Fe College Downtown Campus, Center for Innovation & Economic Development, 530 W. University Ave, Gainesville, FL, 32601

Cost: $25 members, $35 non-members

For Complete Line-up of Speakers and to Register:
[http://www.theiia.org/NorthCentralFL](http://www.theiia.org/NorthCentralFL)

CIA Learning System

The IIA created the CIA Learning System to help candidates prepare to pass the Certified Internal Auditor Exam. For more information about the CIA Learning System visit: [http://www.learncia.com/](http://www.learncia.com/)

Chapter Scholarships

As a commitment to educating and training local, deserving students and current or future internal auditors, the NCFIIA, offers exam certification and student membership scholarships annually. Such opportunities may be 100% or reduced cost of the examination for NCFIIA members. If you are interested in applying for an IIA exam or student membership scholarships then please contact the Advocacy/Academic Relations/Certifications Committee Member, Lily Ly, at LilyLy@ufl.edu or visit [https://chapters.theiia.org/north-central-florida/Pages/NCFIIA-Scholarships.aspx](https://chapters.theiia.org/north-central-florida/Pages/NCFIIA-Scholarships.aspx) for more in-
Roger Frank and Missy Tate’s presentation on the steps they took to investigate misappropriation of university funds was a crowd pleaser due to the many unexpected turns during the case.

Charles King, Director in KPMG’s Internal Audit and Enterprise Risk practice, provided valuable insights for getting organizations started with a data analytics program.

Many attendees found Nathan Mueller’s candidness on how he embezzled $8 million refreshing, compared to past fraud speakers. Mr. Mueller walked through how the fraud was started and how he redeemed himself.

The networking social and food (of course!) at Pomodoro’s was a big hit.

Bill Blend, Shareholder and member of the Firm’s Governmental Practice Group, covered professional ethics and how its presence or lack of impacts fraud.

This year’s FraudFest drew the largest number of attendees in the conference’s history.

The $50 Amazon gift cards and Gleim CIA Study System were highly coveted raffle prizes.

The 13th Annual FraudFest Conference
University of Florida accounting students continued to impress the Chapter at the 4th annual audit case competition. The case study revolved around potential accounting entry fraud perpetrated from a weak control environment. Student teams were paired with a North Central Florida IIA member who helped them understand internal audit a little better as they worked through identifying the control weaknesses, plans for additional audit procedures, and correction action plans for the fictitious company featured in the case. The Chapter Board sincerely thanks the following mentors for sharing their knowledge with the students: Joe Cannella, Parvaneh Fazeli, Andrew Gibb, Sachiko Leon, Laura Ling, Heather Lobsiger, Lily Ly, Aracelis Maldonado, Tammy Rice, Missy Tate, and Qian Yuan. These students could potentially be our next generation of internal auditors!

This competition would also not be possible without the judges who took the time to review the student teams’ executive summaries and queried the students during the live competition to determine which team had the best command over the case study. Special thanks are extended to Carlos Holt (City of Gainesville Auditor), Joe Lyden (Controller, Gleim Publications Inc.), Matt Motta (PwC), and Dakota Spencer (Associate Accountant, James Moore and Co.) for serving as judges.

1st Place Winners
Ashley Isom, Kaylee Davis, Sofia Gomez, with mentor Missy Tate.

2nd Place Winners
Zachary Ware, Brandon Richter, Tyson Fisher, with Lily Ly (competition coordinator).

3rd Place Winners
David Howle, Justin Brouda, Ryan Griffin, with Lily Ly (competition coordinator).
May was Internal Audit Awareness Month

May was Internal Audit Awareness month and the North Central Florida Chapter did a great job promoting the profession. Proclamations for the profession were made by the City of Gainesville and Marion County. See the proclamations for yourself!

Below: Staff from the University of Florida Office of Internal Audit and City Auditor of Gainesville accepted the proclamation from Mayor Lauren Poe.
Above: City of Ocala and Marion County Clerk of Court auditors were present to accept the Internal Audit Proclamation from the Board of County Commissioners of Marion County.

PROCLAMATION

BOARD OF COUNTY COMMISSIONERS
OF MARION COUNTY, FLORIDA

WHEREAS, Internal Auditors help their organizations meet their objectives by monitoring risks and ensuring controls in place are adequate to mitigate those risks; and

WHEREAS, Internal Auditors – along with the board, executive management, and the external auditors – are a corporate governance and help their organizations comply with new legislation and regulations for enhanced corporate governance; and

WHEREAS, The Institute of Internal Auditors (IIA), an international professional organization with global headquarters in Lake Mary, Florida, has 180,000 members in internal auditing, governance, internal control, information technology, audit, education, and security. With representation from more than 190 countries, The Institute is the acknowledged leader in certification, education, research, and technological guidance for the profession worldwide; and

WHEREAS, Marion County has six Institute of Internal Auditors members in the north Central Florida Chapter, and many others affiliated with Internal Auditors and their livelihood; and

WHEREAS, The Institute is celebrating International Internal Audit Awareness Month in May in the year 2019;

NOW THEREFORE, the Board of County Commissioners of Marion County, Florida, by virtue of the authority vested in us do hereby proclaim that the month of May, 2019 be known as

“INTERNATIONAL INTERNAL AUDIT AWARENESS MONTH”

in Marion County and encourages all citizens of Marion County to join in recognizing professional Internal Auditors for their contribution.

DULY PROCLAIMED this 21st day of May, 2019.

BOARD OF COUNTY COMMISSIONERS
MARION COUNTY, FLORIDA

MICHELLE STONE, CHAIRMAN
DAVID MOORE, VICE CHAIR
KATHY BRYANT, COMMISSIONER

LEF GOLD, COMMISSIONER

CARL ZALAK, III, COMMISSIONER

ATTEST:

DAVID R. ELLERFRENN M., CLERK
I hope everyone enjoyed this issue of the NCFIIA Chapter Newsletter, *Audit Tales*. If anyone has suggestions or would like to submit an article for the next issue, please let me know. Feel free to e-mail newsletter article contributions to me at LilyLy@ufl.edu. Please visit the Chapter website at www.theiia.org/NorthCentralFL which is updated often. I hope to see everyone at the next meeting.  

*Lily Ly, Editor*