President’s Message

Hello Chapter Members,

Happy New Year and welcome to 2020! There are some great events being planned for you along with an exciting FraudFest event this year. As part of my planning for the next few months I encourage all members to attend at least one event. Another area I am encouraging is that each member consider joining the Chapter’s Board. We are always looking for people who would like to be on the Board of Governors or even hold a position. This is a great way for you to participate and provide value not only to the members, but to the profession of Internal Audit. Please let me know if you have an interest and I’ll be glad to answer any questions you have.

To keep this short and sweet, I wish everyone a very happy kick-off to 2020 and the best throughout the year.

Thank you,
Lisa Siedzik
**Upcoming Events**

**January Training**

**Topic:** 2020 Taxes, Including recently passed Secure Act  
**Date:** January 30, 2020  
**Time:** 12:00 pm—1:00 pm (*This is a “brown bag” lunch meeting so feel free to bring your lunch*)  
**Location:** Ayers Plaza, North Tower Conference Room 550, 720 SW 2nd Ave, Gainesville, FL, 32601  
**CPE:** 1 hour  
**Cost:** FREE

**FraudFest Annual Conference**

**Dates:** March 18-19, 2020  
**Time:** 8:00 am—5:00 pm  
**Location:** Best Western Gateway Grand, 4200 NW 97th Blvd, Gainesville, FL 32606  
**CPE:** 16 hours  
**Cost:** $275 members, $325 non-members

For Complete Line-up of Speakers and to Register:  
[http://www.theiia.org/NorthCentralFL](http://www.theiia.org/NorthCentralFL)
It’s a new year and it’s a great time to make a resolution to get your CIA designation this year. There are plenty of resources to help you achieve your goals!

Upcoming CIA Exam Prep Courses

Register for any of these trainings at https://na.theiia.org/training/Pages/Exam-Review.aspx

**February 20–26, 2020 – IIA Headquarters Lake Mary**
- February 18–19, 2020  CIA Exam Preparation - Part 1: Essentials of Internal Auditing
- February 20–21, 2020  CIA Exam Preparation - Part 2: Practice of Internal Auditing

**July 14–22, 2020 – IIA Headquarters Lake Mary**
- July 16–17, 2020  CIA Exam Preparation - Part 2: Practice of Internal Auditing

**September 22–30, 2020 – IIA Headquarters Lake Mary**
- September 24–25, 2020  CIA Exam Preparation - Part 2: Practice of Internal Auditing

**November 10–18, 2020 – IIA Headquarters Lake Mary**
- November 12–13, 2020  CIA Exam Preparation - Part 2: Practice of Internal Auditing
- November 17–18, 2020  CIA Exam Preparation - Part 3: Business Knowledge for Internal Auditing

CIA Learning System

The IIA created the CIA Learning System to help candidates prepare to pass the Certified Internal Auditor Exam. For more information about the CIA Learning System visit: http://www.learncia.com/

Chapter Scholarships

As a commitment to educating and training local, deserving students and current or future internal auditors, the NCFIIA offers exam certification and student membership scholarships annually. Such opportunities may be 100% or reduced cost of the examination for NCFIIA members. If you are interested in applying for an IIA exam or student membership scholarships then please contact the Advocacy/Academic Relations/Certifications Committee Member, Lily Ly, at LilyLy@ufl.edu or visit https://chapters.theiia.org/north-central-florida/Pages/NCFIIA-Scholarships.aspx for more information. Application deadline: February 29, 2020.
14th Annual FraudFest Conference  
March 18-19, 2020

If you’ve been a regular attendee at FraudFest then you would expect that the North Central Florida Chapter seeks out only the best speakers for its members. This year is no different! This year’s speakers and topics focus on emerging trends in technology and assessing organizational culture and its effect on risk management. We will have speakers such as Vaugh Williams (Information Security and Technology Advisor, Verteks Consulting) presenting about The Dark Web and Blockchain. Alejandro Alvarez (Crowe) will speak on machine learning and artificial intelligence. Former Secret Service Agent, Bobby Fultz (Fraud Investigation Manager, Vystar Credit Union), will cover financial crimes from his 20+ years of experience. To top this event off, we will have Ann M. Butera, founder of The Whole Person Project, presenting on how culture and generation impact fraud risks and financial crimes and the techniques to mitigate those risks. The Whole Person Project’s website states that it “specializes in assisting companies to introduce, implement and manage change triggered by growth, technology, shifting markets, significant legislation, and mergers and acquisitions.” Being that the company has been around since 1983, much can be learned from a company that has been around long enough to consult for businesses that have seen significant changes over multiple decades. You don’t want to miss out on this conference! Here’s the link to register: http://www.eiseverywhere.com/509893

Special thanks to John Byrd, Programs Committee Chair, for lining up the impressive list of speakers!
Are you Ready for the
5th Annual Student Audit Case Competition?

The NCFIIA is hosting its 5th Annual Student Audit Case Competition and the competition is growing! We’ve had participation from University of Florida students during the past four years, but this year, Santa Fe College students are also invited to compete for team prizes ($450 first place, $375 second place, and $300 third place). Hence, the Chapter needs more volunteers now than it ever has! The Chapter would not be able to hold such a successful event year after year without the support of its members. The Chapter invites you to volunteer for any of these roles:

Mentors – You will be assigned one team, consisting of three students, to answer questions they may have as they prepare a written executive summary on the control weaknesses they’ve noted in the case study and the next steps they will take as internal auditors for reviewing the issues further. If your team is selected as a finalist, then the team may also seek your input on their presentation slides. Approximate volunteer hours: 2

Finalist Selection Committee – You will serve on a committee consisting of 2-3 internal auditors to review the executive summaries submitted by the teams and select the top 10 teams that will be finalists for the live presentation on April 10th. Approximate volunteer hours: 4 hours, but could be more depending on how many teams apply for the competition

Judges – Attend live presentations on Friday, April 10th, from 8:30am-12pm. Pose questions to the teams on their presentation to assess the students’ command over the case study and internal auditing. Score the teams to determine top three teams. Approximate volunteer hours: 4

Based on the average number of teams participating in the past, the Chapter needs at least 18 volunteers. If you love internal auditing, then this is your chance to provide some insight into how great the profession is. Your volunteerism might just influence another generation of internal auditors!

If you’re interested in helping with the competition, please email Lily Ly at lilyly@ufl.edu. Thank you!
I hope everyone enjoyed this issue of the NCFIIA Chapter Newsletter, *Audit Tales*. If anyone has suggestions or would like to submit an article for the next issue, please let me know. Feel free to e-mail newsletter article contributions to me at LilyLy@ufl.edu. Please visit the Chapter website at www.theiia.org/NorthCentralFL which is updated often. I hope to see everyone at the next meeting.

*Lily Ly, Editor*