IIA NORTH JERSEY CHAPTER LENDING LIBRARY

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There are also complimentary IIA Research Reports available on the IIA’s website. To access them, go to www.theiia.org/bookstore/. On the left side navigation there is a filter option for Research Reports. All of the free publications should be available in that category.

Please note that the newest publications are located towards the end of each category.

BOOKS AND VIDEOS

A) AUDITING SKILLS/MANAGEMENT
B) INFORMATION TECHNOLOGY
C) REFERENCE AND TEXTBOOKS
D) INTERNAL CONTROL/CSA
E) ENVIRONMENTAL AUDITING
F) COMMUNICATION
G) INDUSTRY SPECIFIC FRAUD
H) ETHICS AND THE LAW
I) AUDIT COMMITTEES/GOVERNANCE
J) GENERAL
K) IIA RESEARCH REPORTS

A) AUDITING SKILLS/MANAGEMENT OF THE AUDIT FUNCTION

1. Coordinating Total Audit Coverage - Felix et. al., 1998
2. Providing Bench Marking Service for Internal Audit Clients - Frigo, 1997
5. Outsourcing, Downsizing & Reengineering: Internal Control Implication - Marcella, 1995
8. Challenges and Opportunities of Small Internal Auditing Organizations - Ziegenfuss, 1994
10. The New Auditor in Charge with Accompanying Workbooks, 1991(VIDEO)
12. Sawyer on Internal Auditing: Tape 2 - Preliminary Surveys, Audit Programs (VIDEO)
13. Sawyer on Internal Auditing: Tape 3 - Field Work, Working Papers, Developing Deficiency Findings (VIDEO)
21. Adding Value: Seven Roads to Success — Roth, 2002
22. A Balanced Scorecard Framework for Internal Auditing Departments — Frigo 2002
23. Enterprise Risk Management: Putting It All Together — Walker 2002
28. Internal Auditing Around the World, Protiviti 2005
29. Continuous Auditing: An Operational Model for Internal Auditors — Abdolmohammadi, Sharbatouglie 2005
31. Four Approaches to Enterprise Risk Management and Opportunities in Sarbanes-Oxley Compliance, Roth, Espersen, Swanson 2007
32. The Internal Auditor at Work- A Practical Guide to Everyday Challenges — Pickett 2004
33. Strategies for Small Audit Shops — O'Regan 2002
34. Legal Services: Auditing The Process, Fargason 2009
35. Effective Sizing of Internal Audit Departments — Anderson, Christ, Johnstone and Rittenberg 2010
37. Imperatives for Change — Anderson, Sware 2011
38. Core Competencies for Today's Internal Auditor — Bailey 2010
40. Measuring Internal Audit's Value — Chen, Lin 2011
41. A Call to Action: Stakeholders' Perspectives on Internal Auditing — Bolger 2011
42. Become a Strategic Internal Auditor – Tying Risk to Strategy – Walker 2014
43. Lessons Learned on the Audit Trail – Chambers 2014
44. The Balanced Scorecard – Applications in Internal Auditing and Risk Management – Frigo 2014
45. Mergers, Acquisitions, and Sales – How Internal Audit Adds Value and Effectiveness – Pitchford 2014

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B) INFORMATION TECHNOLOGY

1. SAP R3: Its Use, Control & Audit - Coopers and Lybrand, 1997
2. WWW.STOPTHIEF.NET - Marcella 1999
3. UNIX - Its Control and Audit - IIA Research Foundation 1995
4. Information Technology and Systems Auditing, Vallabhaneni, 2000
5. An E Risk Primer — Parker, 2001
11. Global Technology Audit Guide — Information Technology Controls, The Institute of Internal Auditors, 2005
13. XBRL: Potential Opportunities and Issues for Internal Auditors — IIA Research Foundation, 2005
17. Auditing Security and Controls of Windows® Active Directory® and Group Policy Objects — Melber 2005

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C) REFERENCE AND TEXTBOOKS

1. A Commitment to Research - Wallace and White, 1994
2. How to Develop a Code of Conduct - Moore and Dittenhofer, 1992
3. A Common Body of Knowledge for the Practice of Internal Auditing - Gilhooley, 1992
4. Sawyer's Auditing - Sawyer, 1992
5. Internal Audit Sampling - Apostolou and Alleman 1991
7. Financial Planning and Budgets – Understanding the Auditing Process – Odermatt and Flueler 2014

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D) INTERNAL CONTROL/CSA

3. The Internal Auditor's Role in Management Reporting on Internal Control - Wallace and White, 1994
4. Sawyer on Internal Auditing: Tape 1-The Nature of Internal Auditing, Control (VIDEO)
5. Internal Control — Integrated Framework, COSO, 1994
6. Risk-Based Internal Auditing and Dynamic Control Assessment: Revolutionizing Internal Audit Services, Bank of Canada 1998

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E) ENVIRONMENTAL AUDITING

1. The Role of Internal Auditors in Environmental Issues - Hill, 1992

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F) COMMUNICATION

2. Conducting the Post-Audit Conference - Crockett, 1993
3. Sawyer on Internal Auditing: Tape 4 - Selling Audit Findings, Reports, Dealing with People (VIDEO)
5. People-Centric Skills – Interpersonal and Communications Skills for Auditors and Business Professionals, Goldberg and Rosenfeld 2014

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G) INDUSTRY SPECIFIC AUDITING

1. ISO Standards and Their Impact on Internal Auditing - Ridley, 1996
2. Use and Audit of Performance Measures in the Public Sector - Hillison, Hollander, Icerman, Welch, 1995
3. Value-For-Money Auditing in the Public Sector - Sheldon and McNamara, 1991
4. Internal Auditing in a Just-in Time Manufacturing Environment - Barefield and Young, 1988
5. Pre-Award Construction Contract Auditing - Knowels and Pastel, 1992
6. Internal Audit of Purchasing Practice Set - McNamee 1992
7. Auditing Investments - Davison 1999
13. Internal Audit Capability Model (IA-CM) for the Public Sector, IIA Research Foundation 2009
H) FRAUD, ETHICS AND THE LAW

2. Ethics and The Internal Auditor: Ten Years Later - Dittenhofer and Sennetti, 1994
3. Fraud Auditing and Forensic Accounting - Gray and Gray, 1994
5. Frequently Asked Questions Regarding the Sarbanes-Oxley Act Executive Certification Requirements — Protiviti 2002
8. Proactively Detecting Occupational Fraud Using Computer Audit Reports — Lanza, 2004
10. Then and Now: Expectations and Reality of Sarbanes-Oxley — Gray 2008
12. The Anti-Fraud Toolkit – Prevent, Detect and Eliminate Fraud – DVD and Workbook Binder -Hall 2014

I) AUDIT COMMITTEES/GOVERNANCE

1. The Audit Committee: An International Perspective - Vanasco, 1994
3. Audit Committee Effectiveness - What Works Best - Price Waterhouse Coopers, 2000
5. Corporate Governance and Accountability - What Role for the Regulator, Director, and Auditor, Bavly, 1999
6. Internal Audit's Role in Corporate Governance: Sarbanes-Oxley Compliance — Roth and Espersen, 2003
7. Factors Affecting Corporate Governance and Audit Committees in Selected Countries — Soltani, 2005
8. Audit Committee Effectiveness — What Works Best — Price Waterhouse Coopers, 2005
9. Essential Project Investment Governance and Reporting — Rollins and Lanza, 2005
10. Audit Committee Effectiveness — What Works Best — Price Waterhouse Coopers, 2011

J) GENERAL

1. A Common Body of Knowledge for the Practice of Internal Auditing - Gilhoooley, 1992
2. Sawyer's Internal Auditing - Sawyer, 1992
4. Coaching for Improved Work Performance, Fournies 20
5. 101 Ways to Cut Business Insurance Costs Without Sacrificing Protection, McIntyre, Gibson and Bregman 1996
6. The Board — Behind Closed Doors With the Directors of DFP, Inc. Ukropina, 2003
8. Moving Internal Audit Back into Balance — A Post-Sox Survey, Protiviti, 2005
9. Learn Excel from Mr. Excel — Bill Jelen, 2005
11. A Global Summary of the Common Body of Knowledge, Burnaby et.al. 2006
XBRL - The Impact on Assurance, New Challenges for the Audit Profession  This research, issued in March 2009, provides an overview of XBRL and the opportunity it presents for internal auditors to provide value throughout the entire compliance and reporting process.

International Financial Reporting Standards (IFRS): What Internal Auditors Need to Know  This research report, released in January 2009, provides internal auditors with an overall knowledge of IFRS and how and when it will potentially impact their organizations.

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