Seminar Agenda

8:30 a.m. – 9:00 a.m.  Registration & Continental Breakfast
9:00 a.m. – 12:00 p.m.  Morning CPE Session
12:00 p.m. – 1:00 p.m.   Lunch at Casey's Restaurant (inside the hotel)
1:00 p.m. – 5:00 p.m.   Afternoon CPE Sessions

CPE Information

The Northeastern Pennsylvania Chapter of The Institute of Internal Auditors is a licensed CPE Program Sponsor by the Commonwealth of Pennsylvania. The seminar (morning and afternoon sessions combined) qualifies for a total of 7 CPE credits. Refer to the session descriptions for CPE categories.

Compliance with the Fair Labor Standards Act & Common Wage and Hour Issues

Presented by: Mallory Cooper-Kreidler, U.S. Department of Labor, Wage and Hour Division

9:00 a.m. – 12:00 p.m. - (3) CPE credits, Other Category

Join us for a morning discussion about wage and hour issues presented by a Wage and Hour Investigator. This morning session will focus on the following areas:

- About the U.S. Department of Labor, Wage and Hour Division
- Regulatory Changes
- Common Wage and Hour Issues (Fair Labor, i.e. minimum wage, overtime, child labor; and Family Medical Leave Act)
- The Fair Labor Standards Act: Executive, Administrative, and Professional Exemptions

Mallory Cooper-Kreidler

Mallory is a Wage and Hour Investigator for the U.S. Department of Labor, Wage and Hour Division (WHD), based out of the Wilkes-Barre District Office, which has jurisdiction over counties in Northeastern and Central PA. The Wage and Hour mission is to promote and achieve compliance with labor standards to protect and enhance the welfare of the Nation’s workforce. The WHD enforces Federal minimum wage, overtime pay, recordkeeping, and child labor requirements of the Fair Labor Standards Act. WHD also enforces the Migrant and Seasonal Agricultural Worker Protection Act, the Employee Polygraph Protection Act, the Family and Medical Leave Act, wage garnishment provisions of the Consumer Credit Protection Act, and a number of employment standards and worker protections as provided in several immigration-related statutes. Additionally, WHD administers and enforces the prevailing wage requirements of the Davis Bacon Act and the Service Contract Act and other statutes applicable to Federal contracts for construction and for the provision of goods and services.
The Moving Target: Understanding Data Breach Trends, Attack Vectors, and Preventative Controls

Presented by: Joseph Strain and Peter Kolokithias, RSM US, LLP (RSM)

1:00 p.m. – 3:00 p.m. - (2) CPE credits, Computer Science Category

Join us for the first afternoon session to learn about data breach trends and attack vectors, and the controls that can be implemented to help prevent them. With the evolving nature of cyber-attacks, organizations must focus on continually improving controls to properly mitigate risk. Regardless of the industry, the reality is that all companies have data that is valuable, or critical. All too often we learn of the many companies that have been impacted by data breaches – join us to expand your knowledge and learn how your industry can be impacted, since no industry is immune to attacks.

Joseph Strain, CISA, PCI QSA

Joseph serves as Manager of Risk Advisory Services of RSM US, LLP who is a system security specialist with experience analyzing network traffic, configuring intrusion detection and firewall systems and evaluating security controls. His experience in system administration and computer security allows him to provide valuable insight in understanding and evaluating IS security controls, and understanding the security and risk implications of IT-connected business processes. He earned a B.S. in computing technology with a concentration in computer security from Drexel University and an Associate of Applied Science in networking and system administration from Burlington County College. Joseph is a Certified Information Systems Auditor, and is a Payment Card Industry (PCI) Qualified Security Assessor (QSA).

Peter Kolokithias

Peter serves as a Senior Associate of Risk Advisory Services of RSM US, LLP who works on IT risk advisory and security and privacy projects. He also has experience with Sarbanes-Oxley (S-OX) testing, Organization Controls (SOC) testing, access control testing, and vulnerability assessments. He earned a B.S. in computer science from Misericordia University where he took a specialized interest in the IT Security field which included self-directed study in this field. Peter is a member of the IIA, ISACA, and Infragard Philadelphia Members Alliance.

Practice Tips for Properly Structuring & Conducting Effective Internal Corporate Investigations

Presented by: Paul T. Rushton, Esquire of Rosenn, Jenkins & Greenwald, LLP

3:00 p.m. – 5:00 p.m. - (2) CPE credits, Business Law Category

Join us for a second afternoon session about internal corporate investigations that have been used in recent years by management and legal counsel for organizations to identify and address corporate malfeasance or other wrongdoing in the board room or the workplace. This session will focus on the following issues:

- Outline the investigative process that is typically utilized for such purpose
- Suggest tips for properly structuring and conducting an effective internal corporate investigation
- Identify certain instances in which a forensic audit conducted by an experienced accountant should be used as part of such investigative process
Paul T. Rushton, Esquire

Paul is a partner with the law firm of Rosenn, Jenkins & Greenwald, LLP, Chair of the firm’s Business & Finance Department, and member of its Management Committee. He specializes in business and finance law, including, without limitation, mergers and acquisitions, entity formation and governance, and contract preparation and negotiation. Paul has previously teamed with other firm lawyers with different specialties (such as labor law) to conduct internal corporate investigations on behalf of clients and other organizations. He regularly lectures and prepares articles on a variety of corporate or other subjects.

Location and Directions

The seminar will be held at the Hilton Scranton & Conference Center, 100 Adams Avenue, Scranton, PA, I-81, Exit 185. Contact the Hilton directly at (570) 343-3000 for overnight accommodations. Parking is available at the Medallion garage (adjacent to the Hilton) on Adams Avenue. Parking ticket validation is available for Medallion garage parking. Provide your ticket to the Seminar Coordinator for validation.

Registration and Payment

Registration fee for IIA members is $150.00 and $175.00 for non-members. The registration fee includes seminar materials, continental breakfast, lunch, and refreshments.

Registration must be received on or before May 4, 2018. The registration fee can be paid by cash or check. Checks should be made payable to IIA-NEPA Chapter and mailed to Paula A. Ralston Nenish, c/o The Dime Bank, 157 Brooklyn Street, Carbondale, PA 18407.

A full refund will be granted for cancellations received on or before May 3, 2018. Cancellations after this date are non-refundable.

Contact Paula A. Ralston Nenish, Seminar Coordinator at (570) 281-3330 with any questions.

Business casual dress is recommended.
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Complete this registration form (one form for each registration) and remit with payment by May 4, 2018.

Thank you!