# Seminar Agenda

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>8:30 a.m. – 9:00 a.m.</td>
<td>Registration &amp; Continental Breakfast</td>
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<tr>
<td>9:00 a.m. – 12:00 p.m.</td>
<td>Morning CPE Sessions</td>
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<tr>
<td>12:00 p.m. – 1:00 p.m.</td>
<td>Lunch at Casey's Corner Restaurant (inside the hotel)</td>
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<tr>
<td>1:00 p.m. – 5:00 p.m.</td>
<td>Afternoon CPE Sessions</td>
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## Ethics - What’s Your Moral Compass? and Auditing Corporate Governance - It’s Not Numbers

**Presented by: Thomas R. Strause, Financial Outsourcing Solutions**

**9:00 a.m. – 12:00 p.m. - (1) CPE credit, Behavioral Ethics & (2) CPE credits, Auditing**

Join us for a morning discussion about ethics and auditing corporate governance:

In the session on Ethics – What’s Your Moral Compass, the difference between ethics and values will be discussed, the importance of ethics in business will be stressed, accompanied by business ethics examples and ethical dilemmas.

In the session on Auditing Corporate Governance – It’s Not Numbers, corporate governance will be described, corporate scandals will be shared, followed by how to audit corporate governance. A case study on Wells Fargo will close out the session.

**Thomas R. Strause, MBA, CIA, CFE, CBA, CFSA, CISA, CICA**

Tom serves as a partner of Financial Outsourcing Solutions, providing internal audit, consulting, compliance risk management, and IT risk management to financial institutions. He has experience with management and administration, strategic planning, financial analysis, asset management, systems and automation, business development, process improvement and generation, cost reduction, policy formulation, and project management from an internal audit perspective. Tom formerly held various internal audit positions, has implemented both FDICIA and S-OX audit requirements, and is a speaker on internal audit and compliance for the PA Association of Bankers, IIA, and other financial institutions. He earned his B.A. in Accounting from Albright College and his MBA from St. Joseph's University. Tom is a Certified Internal Auditor, Certified Fraud Examiner, Certified Bank Auditor, Certified Financial Services Auditor, Certified Information Systems Auditor, and a Certified Internal Controls Auditor. He is a member of the Association of CFE’s, ISACA, and the IIA.
Preventing Workplace Violence

Presented by: Susan Hosage, OneSource HR Solutions; and
Kellie Knesis, Wright Center for Community Health

1:00 p.m. – 2:00 p.m. - (1) CPE credit, Personnel/Human Resources

Join us for the first afternoon session to learn about an employer/employee perspective on preventing workplace violence. This subject is all too common today, so attend to learn an employees’ responsibility to report violence; warning signs, risk factors, and root causes; actions employers and employees can take to mitigate risk; an employer’s duty to engage law enforcement; what employees can expect when they report potential threats; and monitoring workplace violence program effectiveness.

Susan Hosage, MS, SPHR, SHRM-SCP

Susan is a Human Resources (HR) strategist, consultant, educator, and executive coach. Her career includes management, strategic planning, and human resources assignments with local, national, and international companies. Susan is a Sr. Consultant with OneSource HR Solutions in Wilkes-Barre, PA and works with a variety of companies to identify opportunities for improved compliance, operational performance, and growth. She also served as a member of Misericordia University's graduate faculty and has been a featured speaker at BLR’s National Workplace Violence Symposium. Susan is a published author and frequent expert HR contributor to books, articles, and blogs. She earned a B.S. in Business Administration and M.S. in Organizational Management from Misericordia University. Susan also holds a graduate certificate in Executive and Professional Coaching from the University of Texas at Dallas - Naveen Jindal School of Management. She is a certified Senior Professional in Human Resources (SPHR) from the Human Resources Certification Institute (HRCI) and holds a Senior Certified Professional (SCP) designation from the Society for Human Resources Management (SHRM).

Kellie Knesis, MS, SPHR, SHRM-SCP

Kellie is a seasoned Human Resources professional with broad experience in areas such as employee/labor relations, compensation and benefits as well as Human Resource Information systems. She is currently employed by the Wright Center for Community Health in Scranton as Vice President of Human Resources. Kellie previously worked in various HR roles for Frontier Communications (formerly Commonwealth Telephone Enterprises) and Mercy Health Partners. Kellie has served as adjunct faculty at the University of Scranton as well as Misericordia University. Kellie received her BS in Human Resources from King’s College and MS in Organizational Management from Misericordia University. She is also certified as a Senior Professional in Human Resources (SPHR) from the Human Resources Certification Institute as well as a Senior Certified Professional (SCP) from the Society for Human Resources Management. She is a board member of the NEPA Society for Human Resources Management where she is chair of the Finance Committee and previously held the role on Membership Committee.
Preparing for and Surviving Harmful Events

Presented by: Paul J. Mendofik

2:00 p.m. – 5:00 p.m. - (3) CPE credits, Other

Join us for a second afternoon session about subject matter that can be of use to all of us – Preparing for and Surviving Harmful Events. This session will provide an introduction on mass shooting in the U.S., planning activities and behavioral clues by lethal offenders, a discussion on the expansion of the Department of Homeland Security “RUN-HIDE-FIGHT” action steps, and address threat assessments and emergency plans.

Paul J. Mendofik

Paul proudly served in the United States Marine Corps. His law enforcement background includes service to local and State jurisdictions. He is retired from the Pennsylvania State Police where he had a variety of assignments. These included but were not limited to: supervision; leadership; program development; criminal investigations; training and emergency planning. Paul's additional experience has included several years of work as a contractor in the area Homeland Security and lethal school violence. He has conducted training and provided presentations throughout the United States and in Canada. As an American Red Cross Instructor and Instructor Trainer, he has applied those skills to diverse audiences since 1985. Recently he also became a "Stop the Bleed" Instructor through the American College of Surgeons program. Paul currently applies these skills to various programs targeted to enhance survivability and minimize victimization in violent circumstances.

Location and Directions

The seminar will be held at the Hilton Scranton & Conference Center, 100 Adams Avenue, Scranton, PA, I-81, Exit 185. Contact the Hilton directly at (570) 343-3000 for overnight accommodations. Parking is available at the Medallion garage (adjacent to the Hilton) on Adams Avenue. Parking ticket validation is available for Medallion garage parking. Provide your ticket to the Seminar Coordinator for validation.

CPE and Program Information

The Northeastern Pennsylvania Chapter of The Institute of Internal Auditors is a licensed CPE Program Sponsor by the Commonwealth of Pennsylvania. The seminar (morning and afternoon sessions combined) qualifies for a total of 7 CPE credits (categories described above). CPE certificates will be provided to attendees following seminar completion.

The seminar will be provided in a live, group setting. The level of knowledge for the seminar is basic, which includes fundamental principles or skills to attendees having no prior exposure to the subject areas. No prerequisites are suggested prior to seminar registration.

Business casual dress is recommended.

Contact Paula A. Ralston Nenish, Seminar Coordinator at (570) 281-3330 with any questions.
Registration fee for IIA members is $150.00 and $175.00 for non-members. The registration fee includes seminar materials, continental breakfast, lunch, and refreshments.

Registration form and fees (paid by cash or check) must be received on or before **May 10, 2019**. Checks should be made payable to *IIA-NEPA Chapter* and mailed to Paula A. Ralston Nenish, c/o The Dime Bank, 157 Brooklyn Street, Carbondale, PA 18407.

A full refund will be granted for cancellations received on or before May 8, 2019. Cancellations after this date are non-refundable.

### Seminar Registration Form – May 17, 2019

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<td>Seminar fee enclosed</td>
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Complete this registration form (one form for each registration) and remit with payment by **May 10, 2019**.

Thank you!