IIA NEPA CHAPTER

February Newsletter

Chapter Officers
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Todd Rehder, CPA, CIA
Mary Anne Kania, CIA, CISA, CRMA, CIPT
Paula Ralston Nenish, CIA, CRMA, CCBCO, CRCM
Karen Dillon, CPA

The Chapter is always looking for event speakers, volunteers, regional job postings, upcoming webinars and trainings, and any additional information that could be added to the newsletters.

Please Contact:
Secretary, Kaitlin Sypniewski
Chapter185@iiachapters.org
## EVENTS

### UPCOMING IIA NEPA EVENTS

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
<th>Location</th>
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</thead>
<tbody>
<tr>
<td>3/23/2021</td>
<td>Tax Update – 1 CPE</td>
<td>Zoom</td>
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<tr>
<td>4/13/2021</td>
<td>Next Generation of Internal Audit – 1 CPE</td>
<td>Zoom</td>
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<tr>
<td>5/5/2021</td>
<td>Where’s the Risk? – 1 CPE</td>
<td>Zoom</td>
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<tr>
<td>May - TBD</td>
<td>Ethics Presentation – 2 CPE</td>
<td>Zoom</td>
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### EVENTS IN OUR DISTRICT

Below is a list of upcoming district meetings, seminars and webinars:

<table>
<thead>
<tr>
<th>Date</th>
<th>Chapter</th>
<th>Description</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>3/4/2021</td>
<td>Central PA</td>
<td>Being Agile in Addressing Risk – Internal Audit’s Call to Action – 2 CPE</td>
<td>Zoom</td>
</tr>
<tr>
<td>3/9/2021</td>
<td>Lehigh Valley</td>
<td>IIA/ACFE Student Night 2021 – 2 CPE</td>
<td>Zoom</td>
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<tr>
<td>3/24/2021</td>
<td>Central NY</td>
<td>Maximizing Personal Leadership Power – 1 CPE</td>
<td>Zoom</td>
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### IIA LEARNING WEBINARS

Below is a list of upcoming IIA webinars.

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
<th>CPE Credits</th>
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</thead>
<tbody>
<tr>
<td>3/2/2021</td>
<td>Principal Partner Webinar – Workiva: Ready and Relevant Audit for an Evolving World</td>
<td>1 CPE</td>
</tr>
<tr>
<td>3/10/2021</td>
<td>Financial Services Encore Webinar: Driving Impact and Influence Through Data-Driven Auditing and Automation</td>
<td>1 CPE</td>
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<tr>
<td>3/11/2021</td>
<td>PSAC Webinar: Auditing Legal Marijuana</td>
<td>2 CPE</td>
</tr>
<tr>
<td>3/16/2021</td>
<td>Members-only Webinar: The State of Internal Audit Competency: Opportunities for Internal Audit Practitioners</td>
<td>1 CPE</td>
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</table>
Event Title: Next Generation of Internal Audit

Summary:
All businesses are changing as they embrace the innovation economy. Change is being driven by advances in technology and the use of data. Business models are being disrupted and upended, changing risks at pace not experienced before. Internal Audit must respond by innovating itself - by adapting, evolving, and transforming before becoming irrelevant. The presentation will explore the most important ways that leading Internal Audit organizations are innovating to help ensure its activities are valued and top organization risks are being proactively managed.

Presenter: Gordon Braun, CIA, CISA, CGEIT

Gordon Braun is a Managing Director at Protiviti where he leads the Protiviti Minneapolis office. For over twenty years, Mr. Braun has been providing risk consulting services across several industries. He is an active leader of Protiviti’s Central Area Internal Audit and Financial Advisory practice and has a particular focus on assisting clients with the assessment and management of business risks associated with the deployment and maintenance of technology. Mr. Braun has served as an engagement leader on multiple outsourced and co-sourced internal audit engagements. He has lead multiple Sarbanes-Oxley initiatives and has consulted with more than a dozen organizations on establishing or enhancing their Enterprise Risk Management capabilities. Before joining Protiviti, Mr. Braun was with Arthur Andersen for three years. He earned his B.A. in English and Computer Applications from the University of Notre Dame and his MBA in Finance and Economics from the University of Chicago Booth School of Business. Mr. Braun has presented at many chapters of NACD, FEI, IIA and ISACA, at national webinars, SAP conferences, and multiple universities across the Midwest. He is also currently serves on multiple non-profit boards.

Contact Information:
952.229.2281 (Work)
gordon.braun@protiviti.com

*Register on our chapter website: https://chapters.theiia.org/northeastern-pennsylvania/Pages/default.aspx
MAY IS INTERNAL AUDIT AWARENESS MONTH!

Northeastern Pennsylvania IIA Chapter Hosts: 1 Free CPE Event – May 5th, 2021 at 12pm

Event Title: Where's the Risk? - Using Enterprise Level Risks to Conduct Risk-Based Audits

Abstract:
Is your audit plan stuck on repeat? Do the same control activities seem to be recycled for every audit? Move beyond a location or process-based audit universe using links to enterprise level risks. Move beyond testing control activities using a framework and tools to ensure full coverage, prioritization, and consideration of risks. This presentation will give you practical tools, examples, and insights used by ConocoPhillips to elevate your risk-based auditing.

Key Takeaways:

1. Creating a risk-based audit universe
2. Understanding various categories, sources, and frameworks for risk
3. Tools to identify, assess, measure, and link risks
4. Implementing a risk-based approach within your organization

Presenter: Sarah Kuhn, CRMA CCSA

Sarah began her internal audit career in 2003, at Dollar Thrifty Automotive Group as an audit intern while pursuing her degrees in Accounting and German at the University of Tulsa. During her time at DTAG, she was recognized as the headquarters’ employee of the month for identifying a significant cost savings and rose to the position of senior auditor before joining ConocoPhillips in the fall of 2006. At ConocoPhillips, she currently serves as an audit consultant in the assurance and advisory segment of internal audit. In 2008, Sarah was honored as a Journal Record Achiever Under 40 for the state of Oklahoma. Sarah has been an active member of the Tulsa IIA chapter for 15 years and just finished serving as the chapter President. She also serves as the Women’s Network Co-Lead and the Finance Function Lead Recruiter for ConocoPhillips. Sarah has been a guest speaker at local and national conferences on topics ranging from career skills to internal audit topics. In her spare time Sarah loves to travel and has visited over 40 countries.

*Register on our chapter website: https://chapters.theiia.org/northeastern-pennsylvania/Pages/default.aspx
IIA CONFERENCES

Below is a list of a wide variety of dynamic events that the IIA offers that focuses on emerging issues in internal auditing. IIA conference are designed to allow for networking with peers, gaining understanding of leading practices, and taking your career to the next level.

<table>
<thead>
<tr>
<th>Date(s)</th>
<th>Description</th>
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<tbody>
<tr>
<td>3/16/2021 to 3/18/2021</td>
<td>General Audit Management (GAM) Virtual Conference</td>
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<tr>
<td>6/13/2021 to 6/16/2021</td>
<td>2021 IIA International Conference</td>
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<tr>
<td>8/9/2021 to 8/11/2021</td>
<td>Governance, Risk, and Control Conference</td>
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<td>9/27/2021 to 9/28/2021</td>
<td>Financial Services Exchange</td>
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<td>9/29/2021 to 9/30/2021</td>
<td>Women in Internal Audit Leadership Forum</td>
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DISTRICT INFORMATION BY CHAPTERS

<table>
<thead>
<tr>
<th>Chapter</th>
<th>Website</th>
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<tr>
<td>Philadelphia</td>
<td><a href="https://chapters.theiia.org/philadelphia/Pages/default.aspx">https://chapters.theiia.org/philadelphia/Pages/default.aspx</a></td>
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<td>Lehigh Valley</td>
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<td>Central Jersey</td>
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<td>Central Pennsylvania</td>
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<td>Northeastern PA</td>
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<td>Central New York</td>
<td><a href="https://chapters.theiia.org/central-new-york/Pages/default.aspx">https://chapters.theiia.org/central-new-york/Pages/default.aspx</a></td>
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- A key goal is to increase collaboration between chapters.
- Visit each chapter’s website for full details on chapter events and seminars.
<table>
<thead>
<tr>
<th>IIA EDUCATIONAL GUIDE</th>
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<tr>
<td>CIA Learning System Online Classes</td>
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<td>IIA Research Foundation Bookstore</td>
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</table>
As the only globally recognized internal audit certification, becoming a Certified Internal Auditor® (CIA®) is the optimum way to communicate knowledge, skills, and competencies to effectively carry out professional responsibilities for any internal audit, anywhere in the world. As always, the chapter encourages all members to work towards certification.

The CIA Exam was updated in 2019 and is now available in 13 languages. With additional languages to be released in 2021, this is the perfect time to take a fresh look at CIA.

Adding the CIA credential to your resume, LinkedIn profile, and business card will help you stand out and demonstrate you are:

- A credible and trusted internal auditor.
- A true expert who understands and can apply the International Standards for the Professional Practice of Internal Auditing.
- A stronger, more competent professional who is knowledgeable in organizational governance.
- Committed to the profession of internal auditing and equipped for career-advancing opportunities.
- Differentiated from your peers.

Plus, CIAs earn an average of $38,000 more annually than those without a certification.* Join the over 160,000 CIAs in 170+ countries awarded the designation that adds immeasurable distinction with only three letters.
The IIA’s Audit Executive Center (AEC) is an exclusive member-based resource for chief audit executives (CAEs), enabling them to be more successful and relevant in all that they do. Since 2010, the AEC has assisted CAEs in responding to the unique challenges, emerging risks, and increasing demands of the internal audit profession. Visit at: https://www.theiia.org/centers/aec/Pages/default.aspx

As an exclusive product developed by The IIA, the Audit Intelligence Suite was designed with internal auditors in mind. The suite of services features stakeholder surveys, individual and team skills assessments, and benchmarking capabilities — offered a la carte or bundled. Visit at: https://www.theiia.org/centers/aec/Pages/audit-intelligence-suite.aspx
Chubb Associate Program – Audit Risk Analyst

Chubb is the world’s largest publicly traded property and casualty insurer. With operations in 54 countries, Chubb provides commercial and personal property and casualty insurance, personal accident and supplemental health insurance, reinsurance and life insurance to a diverse group of clients. The company is distinguished by its extensive product and service offerings, broad distribution capabilities, exceptional financial strength, underwriting excellence, superior claims handling expertise and local operations globally.

About our Chubb Associate Program

Chubb is looking for professionals with a Bachelor’s Degree in Accounting, Finance, Data Analytics, or MIS to join our Chubb Associate Program, an early career development program. This is a permanent full-time position and a compelling opportunity to join a global, growing, financially stable and successful company. As the industry leader, Chubb is an employer of choice for students aspiring to develop a meaningful career in a fast-paced, diverse company with offices in most major US cities.

At Chubb, we have designed our Chubb Associate Program to hone your skills and talents, to help you reach your career goals. By joining the Chubb Associate Program, you will be part of an experience that supports the well-rounded development of critical business skills which in turn contributes to the future success of our company. Our blended program is designed to include hands-on business assignments in a variety of disciplines, interactive classroom instruction, team assignments and direct interaction with many of our senior executives. As part of this program you will receive:

- Business training unique to a career at Chubb;
- Technical training to deepen your skill level within your chosen discipline; and an understanding of our broader industry;
- Interpersonal effectiveness training to help you gain strong communication and interactive skills;
- Access to additional training to compliment your professional development.

You, along with your program peers, form a unique community of achievers who we believe will become the future leaders of Chubb.

Position Summary

This Risk Analyst position is an entry level position within Internal Audit. It offers a chance to join a broadly diversified global insurance and reinsurance provider with a culture of excellence and remarkable growth potential. The Internal Audit Department plays a vital role in evaluating the design and effectiveness of control processes throughout the organization. The business knowledge and control assessment skills developed in the Internal Audit Department will position the successful candidate for a broad range of career opportunities within Chubb. In addition, the Chubb Excellence core training curriculum and our internal training program will help create the foundation for developing leadership competencies. For the individual who is naturally curious, analytical, and enjoys challenging assignments, we are offering the opportunity for a promising future that comes with working for an industry leader.

The successful candidate will assist in the planning and delivery of reviews and testing. The role is necessary to support the Chubb Audit plan. This will require an individual with a good knowledge of controls,
understanding of data analysis, good written and oral skills, attention to detail and the desire to learn and take on new roles.

Responsibilities
- Execute operational and financial audits under supervision
- Use data analytical tools and innovative software to survey operations, document risks, and related controls. Evaluate the effectiveness of key controls.
- Identify control weakness and develop value-added audit comments and recommendations for improvement with operating management.
- Assist in supporting integrated operational audits within Chubb.

Qualifications
- Bachelor’s degree in a business related major (Accounting, Finance, MIS) in process or completed.
- MS Office experience, including Word, Excel, and Outlook.
- Excellent written and oral communications skills.
- Willingness to travel 15%, with some international travel.
- Must be a team player.
- Computer literacy essential with knowledge of data reporting tools (including QlikView) a plus.
- Property/Casualty Accounting experience or public accounting or internal audit a plus.

Who are we looking for?
Successful professionals at Chubb come from many backgrounds and experiences and bring that rich diversity with them to our company. They also have some things in common and these attributes will lead to your success at Chubb:
- **Results orientation**: a demonstrated ability to meet commitments under pressure.
- **Practical intellectual adaptability**: capable and successful at adapting to new knowledge.
- **Personal capacity**: accepting and welcoming of additional responsibility over time.
- **Communication skills**: an ability to communicate successfully in various settings.
- **Interpersonal/team skills**: works effectively with colleagues at all levels.
- **Self-leadership**: an ability to take full ownership of work and show initiative as needed.
- **Client focused**: understanding of the needs of customers and clients.
- **Work ethic**: a commitment to hard work and a high ethical conduct.

How to apply?

We post open roles to Handshake and Chubb’s website. Click **here** to look for openings and apply.
CAREER OPPORTUNITIES

Wayne Bank
Member FDIC

Job Posting Description

Job Title: Staff Auditor

Education / Training: Bachelor of Science degree in accounting, finance or business is required. Basic knowledge of bank regulations, Sarbanes-Oxley requirements, and U.S. generally accepted accounting principles and auditing standards preferred.

Experience: 0 - 5 years of related bank or audit experience.

Skills: Strong attention to detail and organization skills. Strong analytical, writing, grammar and mathematics skills. Strong working knowledge of Windows and Microsoft Office, including proficiencies in Microsoft Excel and Word. Good interpersonal, communication and leadership skills. Working knowledge of Adobe Acrobat preferred.

Duties: Helps to safeguard bank assets and ensure compliance with related laws and regulations. Develops a working knowledge of federal and state laws and regulations pertaining to banking. Abides by all Bank policies and procedures. Performs work efficiently, effectively and independently with limited supervision. Ensures completion of audits and other tasks within allotted timeframes. Reviews various items to ensure proper bank procedures are followed. Documents all necessary information for the audit file. Analyzes audit results, assists with preparing a report of the scope and findings of the audit, and communicates results to management. Communicates effectively with all areas and levels within the company. Assists with administrative tasks, special projects or investigations as directed. Travels to various locations within the Bank’s geographical area to perform audit procedures. Attends training opportunities as assigned, and attainment of professional certifications is encouraged (CPA, CIA, CFSA, CRMA, etc.). Works occasional overtime as directed.

Job Location / Travel: This position is based in the Honesdale Corporate Center or the Scranton Office. Some remote work may be authorized. Traveling, including some overnight travel, to the Bank's branch locations, other locations within the Bank’s footprint and / or training opportunities is required (approximately 30% or less). A valid driver’s license and reliable transportation are required.

To Apply: Visit https://www.waynebank.com/employment, or in person / by mail:

Wayne Bank
Human Resources Department
717 Main Street
Honesdale, PA 18431

Wayne Bank is an Equal Opportunity Employer
| Blog: I Still Believe Internal Audit Shouldn’t Report to the CFO |
| Blog: How Do You Answer, “What Do Internal Auditors Do”? |
| Blog: It’s Hard for Internal Auditors to “Follow the Risks” When There Is No Consensus |
| Blog: Why Do They Think Internal Auditors Are Looking for Problems? |
| The Internal Audit Foundation Releases a New Book That Explores the Symbiotic Relationship Between Internal Audit and the Audit Committee |
| New CRMA® Exam Study Guide and Practice Questions Released |