IIA - NORTHERN CALIFORNIA EAST BAY (NCEB) CHAPTER

YEAR-END REPORT
CHAPTER YEAR 2020-2021
(June 2020 – May 2021)
MEMBERSHIP

• 323 Members

• Member organizations with 10 or more members include the following:

  - Executive, Legislative, and Other General Government Support
  - Oil and Gas Extraction
  - Professional, Scientific, and Technical Services
  - Banking & Financial Institutions

• Industry Code Counts with 20 or more members include the following:
  - Bank of the West
  - Chevron
  - Kaiser Permanente
  - Simpson Manufacturing Company
  - TriNet
NCEB is “Ruby Chapter” (20 Years of Gold achievement) through earning Chapter Achievement Points (CAP)\(^{(1)}\) which are credits earned by the Chapter throughout the year.

\(^{(1)}\) CAP credits are used to determine whether Chapters are active enough to earn special designations like Bronze, Silver, Gold, Platinum (10 of 11 years with Gold achievement), Ruby (20 years of Gold achievement), Sapphire (25 years of Gold achievement), and Diamond (35 years of Gold achievement).
PROGRAMS / SEMINARS

13 programs and seminars totaling 40 Continuing Professional Education (CPE) hours were offered virtually due to the COVID-19 pandemic in 2020-2021.

5 Programs (8 CPE)
1. California Consumer Privacy Act and Supporting Data Privacy (September 17, 2020) (2 CPE)
2. Emerging IT Trends, Threats and Risks (January 14, 2021) (2 CPE)
3. Agents of Change: Internal Auditors in an Era of Disruption (Richard Chambers): IIA-NCEB, IIA-San Francisco, IIA-San Jose joint Program to celebrate May International Internal Audit Awareness Month (May 13, 2021) (No CPE)
5. Supply Chain Risk Management (May 27, 2021) (2 CPE)

8 Seminars (32 CPE)
1. The New Psychology of Fraud (October 21, 2020) (4 CPE)
2. Fraud Case Studies (October 22, 2020) (4 CPE)
3. Various topics: IIA-NCEB, IIA-San Jose, and IIA-San Francisco joint Seminar (December 9, 2020) (4 CPE)
4. Various topics: IIA-NCEB, IIA-San Jose, and IIA-San Francisco joint Seminar (December 10, 2020) (4 CPE)
5. The 21st Century Successful Auditor (February 24, 2021) (4 CPE)
6. Resolving Conflict While Successfully Holding People Accountable (February 25, 2021) (4 CPE)
7. Auditing Virtually & Agile Auditing (April 8, 2021) (4 CPE)
8. Auditing Culture & Ethical Values in an Organization (April 9, 2021) (4 CPE)
• Virtual Meet the Firms Events using Brazen at California State University East Bay (September 18, 2020)

• Virtual Meet the Firms using HandShake St. Mary's College (September 30, 2020)

• Student networking event via Zoom with the San Francisco State University’s Accounting Students Organization (October 22, 2020)

• Launch of the Student Liaison Program (March 11, 2020)
OTHER HIGHLIGHTS

• Joint events (15 CPEs) with IIA-San Francisco & San Jose were offered “complimentary” to NCEB members.
  o December 9 & 10, 2020 (Deloitte Speakers – 8 CPE)
  o April 14, 21, & 28, 2021 (KPMG Speakers – 6 CPE / Note: This event was an IIA-San Francisco program and was offered to NCEB members complimentary)
  o May 13, 2021 (Richard Chambers – No CPE)
  o May 19, 2021 (Kristen Moninger – 1 CPE / Note: This event was an IIA-San Jose program and was offered to NCEB members complimentary)

• Offered “complimentary” Program to all NCEB members on September 17, 2020 (2 CPE) and 50% discount on May 27, 2021 (4 CPE).

• Offered all NCEB Programs/Seminars “complimentary” to NCEB members who were experiencing hardship due to the COVID-19 pandemic.

• Offered scholarships (individual membership, CIA application/exam fee/study materials) to NCEB members who were experiencing hardship due to the COVID-19 pandemic. Scholarship was awarded to one applicant for CIA exam study materials and exam application fees.
• 29 new members joined NCEB chapter.

• 6 members earned Certified Internal Auditor (CIA) designation. Of the six, 1 member also earned Certified Financial Services Auditor (CFSA) designation.

• 8 new leaders (Certification Officer, Membership Officer, Academic Relations Chair, Hospitality Chair, Audit Committee Chair, Chapter Achievement Program Administrator, Academic Relations Member, and one Governor) were elected to IIA-NCEB Leadership Team for 2021-2022 chapter year.

• 9 Chapter Leaders attended the IIA Leadership Academy virtually during April 26-29.

• IIA-NCEB Newsletter was issued monthly: https://chapters.theiia.org/northern-california-east-bay/Pages/Newsletters.aspx

• 10 Board meetings were held virtually.
OFFICERS

CHAPTER PRESIDENT
AHMAD GHAFOURI

Vice President of Programs
PHIL CAOILE

Vice President of Seminars
FERDINAND ERHARD

Treasurer
MICHELLE DIZON

Assistant Treasurer
COREY MANTLE

Secretary
ANYA GIVENTAL

Certification Officer
MELISSA RICE

Membership Officer
JING LI

TERM GOVERNORS

Expiring in 2021
AHMED TANTAWY
BRENDA ROBERTS
NANCY YUEN

Expiring in 2022
WILLIAM WARNER
VIN TANEJA
TRACY WEST

Expiring in 2023
CORI ROTHE
ZIAD HITTI
DANIELLE RITTER
<table>
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<tr>
<th>Committee Chair/Member</th>
<th>Name</th>
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<tbody>
<tr>
<td>Academic Relations Chair</td>
<td>Rommel Ventura</td>
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<tr>
<td>Academic Relations Committee Member</td>
<td>John Pingatore</td>
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<tr>
<td>Hospitality Chair</td>
<td>Bobbie Aufdermauer</td>
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<tr>
<td>Hospitality Committee Member</td>
<td>Susan Walsh</td>
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<td>Hospitality Committee Member</td>
<td>Shannon Wilhite</td>
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<td>Seminars/Programs Committee Member</td>
<td>Carol Blanco</td>
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<tr>
<td>Seminars/Programs Committee Member</td>
<td>Miguel Barajas</td>
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<td>Website</td>
<td>Bill Warner</td>
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<tr>
<td>Newsletter Editor</td>
<td>Corey Mantle</td>
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<td>Chapter Achievement Program</td>
<td>Phillip Poma</td>
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<td>Chapter Specialty Centers</td>
<td>Bill Warner</td>
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<tr>
<td>Nominating Committee</td>
<td>Ahmed Tantawy</td>
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<tr>
<td>Record Retention Committee Chair</td>
<td>Connie Chin</td>
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<tr>
<td>Record Retention Committee Member</td>
<td>Cori Rrote</td>
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<tr>
<td>Academic Board Advisor</td>
<td>Erica Baines</td>
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THANK YOU!
TO ALL NCEB CHAPTER LEADERS/VOLUNTEERS AND MEMBERS FOR MAKING 2020-2021 ANOTHER SUCCESSFUL CHAPTER YEAR!

INTERESTED IN VOLUNTEERING OR LOOKING FOR ADDITIONAL INFORMATION?

INFO@IIA-NCEB.COM

HTTPS://CHAPTERS.THEIIA.ORG/NORTHERN-CALIFORNIA-EAST-BAY