Hello All,

I hope you all enjoyed holidays and some time off. As we start the New Year, I wanted to share the following upcoming IIA events:

2019 GAM (General Audit Management) conference is hosted at Dallas from March 11-13. It is a great networking and training for seasoned and emerging audit leaders across industries.

Members only webinar on January 15th on Enhancing and Protecting Organizational Values. This webinar is discussing the 7th edition of Sawyer’s book. The book is available for pre-order no IIA website for $199.99 (members price).

This is a good time to become IIA member with benefits like savings on books, certifications, conferences and CPE reporting.

New CCMS Login Process:

The current CCMS requires all certified individuals and in-process candidates to log into the system using their ID number. In the new CCMS, individuals will be required to use their primary email address. Therefore, please update your primary email address in your CCMS profile before end of January 2019. This will ease the process for all certified individuals and in-process candidates when they enter into the new CCMS.

If you are interested in joining our local chapter leadership reach out to me directly.

Hope to see you at one of our events.

Thanks,

Mushtari Nagpurwala
Chapter President
Northern California East Bay Chapter

Greetings,

I would like to wish you and your family Happy New Year! Our third seminar for 2018-2019 chapter year will be “Forensic Data Mining Technique and Practice” on Thursday, February 21 (8:30am-4:30pm, registration/breakfast will start at 8am) in Chevron’s Bishop Ranch office in San Ramon. This is a great opportunity to earn 8 CPE credits! This event will be presented by Lanny Morrow who is a Managing Consultant for BKD CPAs & Advisors based in Kansas City, MO and his specialties are in Forensics & Valuation Services. He frequently speaks and writes on both topics, including contributions to university textbooks and the Association of Certified Fraud Examiners’ Fraud Magazine publication. His forensic investigation experience includes Foreign Corrupt Practice Act (FCPA), public corruption, fraud/white collar crimes, corporate espionage and theft of intellectual property.
You will receive the registration e-mail for this event by end of January and please register for it by Tuesday, February 19 (9am PST).

If you have any questions, please let me know (AGhafouri@chevron.com).

Ahmad Ghafouri
VP Seminars

Programs

Greetings Everyone,

Our first program for 2019 will be here soon. The program event will be “GDPR/ California Privacy and Cybersecurity” on Thursday, January 17 in the San Ramon Community Center. This is a great opportunity to earn 2 CPE credits! This event will be presented by Orus Dearman – Grant Thornton.

Registration will start soon, so be on the look-out for your invite.

If you have any questions, please let me know (mabarajas@chevron.com).

Miguel Barajas
VP Programs

Employment Opportunity

Internal Auditor

While we’ve been named a ‘best place to work,’ our proudest accomplishment comes from serving nearly 17 million AAA members. Through our commitment to service, growth and our people, CSAA Insurance Group will create AAA members for life by fulfilling our promise to be there when they need us.

We are looking for a data savvy, experienced auditor to join our team. In this position you will be responsible for conducting or assisting in the performance and documentation of audit projects. You will provide assistance to senior auditors in the execution of audit tests and programs that evaluate CSAA IG's system of financial and operational controls, company goals and objectives, accuracy/integrity of data and compliance with regulation (including Model Audit Rule). You will provide input on continuous auditing analytics and review data mining results.

Job Summary

- Leads or assists in performing audit engagements.
- Assists in the development of project objectives and programs to test internal controls. Defines project objectives and/or programs on simple audit projects.
- Performs testing to evaluate the design, accuracy and adequacy of internal controls.
- Performs risk assessment to determine audit scope (areas of testing).
- Drafts or assists in the preparation of audit work papers and audit reports for audit projects.
- Assists in the internal control over financial reporting compliance effort, including review of process documentation and testing of controls.
- Works with audit analytics subject matter expert in developing new analytics to uncover control breakdowns, fraud, premium leakage, and other non-compliance behavior.

Knowledge, Skills and Abilities

Required:

- Effective written and verbal communication skills
- Ability to apply critical thinking and analytic techniques
- Knowledge of Institute of Internal Auditor's professional standards

Preferred:

- Knowledge of ACL and TeamMate software
- Ability to quickly comprehend the critical inputs, outputs and processes within a variety of business units and apply that understanding to planning, assignment, and/or execution of tasks for an audit project required.
- Ability to identify areas for continuous auditing efforts, develop the analytics needed to perform those duties and implement for regular review and follow up
Education, Work Experience, Licenses & Certifications

Required:
- Bachelors or higher level degree in finance, accounting, business administration or related field of study, or equivalent work experience
- 2-3 years of experience in auditing, accounting and/or financial/data analysis, of which 2 years is internal auditing experience

Preferred:
- Sarbanes-Oxley or Model Audit Rule experience
- Actively pursuing Certified Internal Auditor certification

Job Posting Link:
# 2018 – 2019 NCEB Board & Committees

## Officers
- **Chapter President**: Mushtari Nagpurwala, SRI International
- **VP of Programs**: Miguel Barajas, Chevron
- **VP of Seminars**: Ahmad Ghafoori, Chevron
- **Treasurer**: Catherine Blackmon, Chevron
- **Assistant Treasurer**: Michelle Dizon, Kaiser Permanente
- **Secretary**: Tyson Toscano, Chevron

## Term Governors
**Expiring in 2019**
- **Jason Philibert**: Fronteo
- **Perry Liu**: CSAA Insurance Group
- **Vin Taneja**: Retired
- **Ruth Powell**: Retired

**Expiring in 2020**
- **Theresa Nidetz**: AAA NCNU
- **Paul Schafer**: Lawrence Livermore National Laboratory
- **Tracy West**: Del Monte
- **Paul Becker**: Chevron

**Expiring in 2021**
- **Marina Gouchian**: E-Arc
- **Brenda Roberts**: City of Oakland
- **Nancy Yuen**: Google
- **Craig Isom**: Chevron

## Past Presidents
- **John Driscoll**: Retired

## Committee Chairs & Subcommittee Members
**Academic Relations Committee**
- **Chair**: John Pingatore, ORTC

**Hospitality Committee Chair**
- **Chair**: Anya Givental, Chevron

**Hospitality Committee Member**
- **Member**: Susan Walsh, Chevron

## Email Addresses
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<th>Name</th>
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<tbody>
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**District Representative**

Ruth Powell

Retired

ruthpowell19@hotmail.com
September 13th
Chief Audit Executive Discussion Panel
San Ramon Community Center, San Ramon
Brenda Roberts, City of Oakland; Paul Schafer, LLNL; and Lusine Yeghiazaryan, Go-Pro
The panelists will share how audit is approached within their organization and how the area of audit is changing daily. What are most common challenges faced within audit in the area of RPA, SaaS, recruiting etc. Best practices, what has worked best for them and where they see audit in the future.

October 25th
Harnessing the Power of Continuous Monitoring and Top 10 Characteristics of a World Class Audit Organization
San Ramon Community Center, San Ramon
Robert Mainardi – Mainardi & Company
Learn and discuss the continuous auditing methodology and detailed steps of each phase as well as practice each step. Understand the key differences between continuous auditing and monitoring. Also, learn how to successfully plan, develop, implement, sell, and maintain a continuous auditing program. Identify and discuss the critical characteristics which define the most effective and efficient audit departments from around the world. Learn how to evaluate and score your department’s status and achieve maximum production in partnering with clients to meet/exceed their objectives.

December 13th
The Seduction of Fraud: Deception and Manipulation that Lead to Fraud, Waste, and Abuse in the Workplace and Beyond!
San Ramon Community Center, San Ramon
Steve Morang – Frank, Rimerman + Co. LLP
This fun, fast paced all-day interactive training will use a combination of presentation, movie clips and role plays to take the audience into The Seduction of Fraud. Through case studies of historical and modern-day figures, ranging from Cieopatra and JFK to Bernie Madoff, the participants will become aware of the tools and techniques used by bad actors both in person and via social media to deceive and manipulate others.

January 17th
GDPR/California Privacy and Cybersecurity
San Ramon Community Center, San Ramon
Orus Dearman – Grant Thornton
This program will be a multi-topic presentation on Data Privacy/Security including additional information on GDPR and California Consumer Privacy Act.

February 21st
Forensic Data Mining Tech and Practice
Chevron, San Ramon
Lanny Morrow – BKD, LLP
This course will begin with fraud statistics/motivations and then jump into traditional fraud schemes and analytics designed to catch them with common audit/analysis tools like Excel, ACL, Idea, Access, SQL. Then flows into advanced analytics with analysis of textual data, email, social media, internet history, digital forensics, and machine learning/statistical analysis. We then cover the importance of data visualization and reporting techniques and end the day with selected advanced topics such as handling big Data, open-source intelligence techniques, and considerations for coming disrupting technologies like blockchain and the internet of Things, and how they will impact fraud investigations.

March 7th
Communication
Chevron, San Ramon
Marina Goughian, E-Arc CAE and Chapter Board of Governor
This program will cover the following: TBD

April 18th
Committee of Sponsoring Organizations (COSO)/Enterprise Risk Management (ERM), Robotics Process Automation (RPA), Cyber & Vulnerability Management
Chevron, San Ramon
Bob Hirth, Scot Glover – Protiviti
Purnima Renjen – Deloitte & Touche LLP
Orus Dearman & Dhawal Thakker – Grant Thornton
This seminar will be a multi-topic presentation on COSO/ERM, RPA, Cyber and Vulnerability Management.

May 30th
EVENT TO BE ANNOUNCED