Greetings NCEB Chapter Members –

Welcome to the 2021-2022 chapter year! I am honored to serve as President for the chapter and look forward to serving the needs of our members along with leading and supporting the great work of our Chapter Leaders (Board of Governors, Officers and Committee volunteers).

I would like to begin by expressing gratitude to all the Members and Chapter Leaders for your participation and contributions and for making our prior chapter year a success. Despite the pandemic circumstances, we were able to offer all our events virtually. Some of the areas that were a great success included offering continuing education events, supporting academic events at local universities, offering a scholarship program, and holding board meetings. To read about the 2020-2021 chapter year highlights in greater detail, please view the NCEB Year-End Report.

The NCEB Board members will be taking this summer period (June – August) to plan exciting programs, seminars, and networking events for our members. I hope that most of you had the opportunity to take the Annual Membership survey, which was open until June 4, because we want to ensure that we plan activities accordingly. If you would like to share any other ideas for events for this upcoming chapter year or have any feedback, I would love to hear your thoughts. Please feel free to send your comments to me.

The NCEB chapter is continuously looking for Board member volunteers. Being on the Board is a great way to help our chapter operate effectively and work alongside amazing leaders from different organizations. Additionally, volunteering is a great way to enhance leadership experience and earn a maximum of 15 hours of annual CPE credit toward any IIA certifications you may have. If you are interested in volunteering and/or learning about the positions that are available, please contact me.

Please visit the following links for recent news from IIA Headquarters:

- Meet the IIA’s New President, Anthony J. Pugliese
- The IIA Appoints a New Chief of Strategic Initiatives
- IIA Comments on INTOSAI Performance Audit Guidance Draft
- Check out some of the IIA’s Blogs

If interested, please check-out and register for the IIA-San Jose Chapter’s upcoming event:

- June 22 and 23 (9AM-5PM): Women’s Leadership Seminar – Up to 16 CPEs

Thank you all for being a part of the IIA NCEB Chapter and for supporting the profession.

Michelle Dizon
Chapter President
info@iia-nceb.com

Greetings Everyone,

Planning is underway for the 2021-2022 Chapter year. Based on preliminary End-of-Year survey results, NCEB will likely produce four 2-hour Programs, four 8-hour Seminars, and will continue to look for partnering opportunities with other local chapters. As new Covid-19 cases continue to decline, we are hoping to return to in-person
Programs and Seminars but will continue to monitor to ensure the safety of our Members. Stay tuned for additional information, topics, and schedule.

If you have any questions, comments or concerns, please reach out to your VP of Programming, Ferdinand Erhard (ferhard@chevron.com) or your VP of Seminars, Philip Caoile (pcaoile@chevron.com).

Phil Caoile  
VP of Programs

Ferdinand Erhard  
IIA-NCEB VP of Seminars

Academic Relations

I would like to thank Rommel Ventura who served as the Academic Relations Chair over the past few years. We are wishing him a well-deserved retirement.

If you are interested in participating at Academic Relations events (e.g., present to university students on Internal Auditing, IIA, and IIA-NCEB) or in joining the Academic Relations Committee, please send an e-mail to awmm@chevron.com. NCEB chapter engages with various Bay Area schools to promote the Internal Auditing profession. Your participation at these events is a great way to promote the profession of internal audit to the next generation of auditors. Presenting to students is also a great opportunity to practice and enhance your presentation skills in an informal setting. Thanks for your support. Let me know if you have any questions and I look forward to working with you.

Amos Njoh  
Academic Relations Chair

New Members

Welcome New Members!

We have welcomed two new members to the IIA NCEB Chapter. Thank you for joining us – we are looking forward to getting to know you!

- Kameran Dage

- Courtney Drefahl

Maya Shrivastava  
Membership Officer

Member Spotlight

The NCEB Chapter Board extends its congratulations to the following individuals who have recently celebrated an anniversary as a member of the Institute of Internal Auditors. Thank you for your valuable contribution to the progress, development, and growth of the internal audit profession.

30 year members
- Kathryn L. Lindquist

25 year members
- Vandna Peermohamed
- Dino J. Mendoza
- Valerie Ann Zelmer

20 year members
- Dianna C. McIntosh
- Ahmad Ghafouri
- Janice Lee
- Dorothy Lipari

15 year members
- Cenen Herrera
- John Peters
- Miguel Barajas
- Jessica Burch
- Mukund Desai
- Robert Huey
- Carolina Zalis

10 year members
- Goran Brkic
- Robert Job
- Kevin Lee
- Gregory Mitchell
- Keri Lundeen
- Sally Walsh

Maya Shrivastava  
Membership Officer
Certifications

CIA Learning System Study Experience

Thinking about using the CIA Learning System to study for the CIA exam? Check out this three-minute video to learn more about the study system the IIA has to offer.

Online Exam Testing Still Available

To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures due to COVID-19, The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), Internal Audit Practitioner, and CIA Challenge exams from home. This flexible testing option will be available for a limited time. Visit the CIA and CRMA Test from Home page for more information on the online experience.

IIA North America Members Only Webinar Series

Each month, the IIA hosts one to three webinars that are free for IIA members, including those listed in the Specialty Membership section. Please check the IIA Learnings Webinar site often to see what new offerings are available.

June 10th: Managing Cybersecurity Supply Chain Risks in Election Technology (Public Sector Audit Center)

June 15th: Exploring Agile Auditing (Members Only Webinar)

July 14th: Pulse 2021 for Financial Services Audit Teams (Financial Services Audit Center)

July 20th: Writing Actionable Audit Observations and Laser Focused Reports (Members Only Webinar)

Kathryn Kark
Certifications Officer

Employment Opportunity

CSAA Insurance Group – Sr. IT Auditor

We are actively hiring for a Senior IT Auditor. Join us and support CSAA Insurance Group, a AAA Insurer, in achieving our goals! We are looking for motivated, innovative individuals, who think big and move fast with a passion for service excellence.

Essential Responsibilities

- Leads and assists in performing moderate to complex audit engagements, in conformance with Institute of Internal Auditors (IIA) standards.
- Leads engagements, assigns, reviews and evaluates work product of audit team.
- Evaluates the design, effectiveness and adequacy of internal controls.
- Identifies control weaknesses and/or control gaps and develops recommendations for risk mitigation and process improvement.
- Identifies opportunities for improved efficiency and effectiveness of existing processes.
- Prepares audit work papers and audit reports for management review.Communicates audit results to clients and executive level management. Coordinates client responses to recommended actions.
- Follows up on open audit issues and evaluates management action plans.
- Provides guidance to lower-level auditors on work quality and productivity.
- Assists in the Model Audit Rule compliance effort through evaluation of process documentation and internal controls.
- Periodically conducts and/or supports investigations of abuse or irregularities.
- Looks for opportunities to improve efficiency and effectiveness of policies and procedures.

What would make us excited about you?

- Bachelors or higher level degree in Computer Science or related field of study, or equivalent combination of education and relevant IT or other business work experience
• 3 – 5 years’ experience in information technology auditing, of which 3 years is internal auditing experience
• Certified Information Systems Auditor (CISA) or Certified Information Systems Security Professional (CISSP) upon hire; if employee does not have CISA at hire, must obtain within one year
• Knowledge of major computing platforms (e.g., Windows, UNIX, AIX, Oracle, SQL, DB2), operating systems, networks, database management systems, telecommunications, internet technologies and cloud computing
• Demonstrated strong written and verbal communication skills
• Knowledge of technical security and controls concepts
• Knowledge of Institute of Internal Auditors (IIA) and Information Systems Audit and Control Association (ISACA) professional standards and principles
• Information technology experience (preferred)
• Data analytic experience (preferred)
• Sarbanes-Oxley or Model Audit Rule experience (preferred)

Why join us?
• Make a difference. We don’t just go to work. We’re a team of employees committed to AAA members, our communities and each other.
• Lifestyle. We drive strategy through innovation. We do honorable work, and we live our core beliefs
• Recognition. We offer a total compensation package, performance bonus, 401(k) with a company match, and so much more!
• Read more about what we offer and what it is like to be a part of our dynamic team at https://careers.csaa-insurance.aaa.com

Internal Auditor
Lawrence Livermore National Laboratory

https://jobs.smartrecruiters.com/LLNL/374399000043716-internal-auditor
[jobs.smartrecruiters.com]

Auditor – AAA NCNU – Walnut Creek, CA

Qualified and interested candidates please send resumes to Vicky.Bell@norcal.aaa.com.

At AAA, our Team Members strive to deliver amazing service and help our Members outsmart life’s roadblocks. We believe everything you do outside of work adds to who you are at work. We’re working to transform AAA for the next century with a mission to create Members for life by unleashing the innovative spirit of our Team Members.

Why Work For Us?
• Benefits – Medical, Dental, Vision, wellness program and more!
• 401k Matching – $1 for $1 company match up to 6% of pay
• Annual Incentive Plan – Eligibility to participate in AAA NCNU annual bonus plan
• Paid Time Off – Team Members accrue paid time off monthly with an additional 24 hours per year earmarked for volunteer activities
• Collaborative Environment – AAA will value your contribution to providing exceptional service to our members

Summary
Our Internal Auditor participates in moderate to complex audits/projects.

Essential Functions
• Proposes audit objectives and programs to test internal controls for moderate to highly complex audits/projects.
• Performs risk assessment to determine audit scope for complex audits/projects.
• Provides consultation to business units and project managers on internal controls and process dependencies between organizational units.
Periodically conducts and/or supports investigations of abuse or irregularities.
Develops test methodology for moderate to highly complex business processes.
Performs testing to evaluate the design, accuracy and adequacy of internal controls. Testing includes reviewing transactions for proper approvals, adequate documentation and appropriateness.

Knowledge/Skills/Abilities
- Language skills sufficient to communicate clearly with clients in writing, by phone or in person.
- Ability to apply critical thinking and analytical techniques.
- Knowledge of IIA professional standards.
- Ability to quickly comprehend the critical inputs, outputs and processes within a variety of business units and apply that understanding to planning, assignment, and/or execution of tasks for an audit project.
- Knowledge of property and casualty insurance, ACL software, and TeamMate software (preferred).
- Understanding of IT risk assessment and audit procedures.

Education & Experience / Licenses & Certification
- Bachelors or higher level degree in Finance, accounting, or related field of study or equivalent experience
- 2 – 5 years - experience in auditing, accounting, and/or financial analysis required, of which 2 years is internal auditing experience
- CPA (preferred)
- Certified Internal Auditor (preferred)
# 2021-2022 NCEB Board & Committees

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<thead>
<tr>
<th>Role</th>
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<tr>
<td>President</td>
<td>Michelle Dizon</td>
<td><a href="mailto:mdizon@coopervision.com">mdizon@coopervision.com</a></td>
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<tr>
<td>VP of Seminars</td>
<td>Phil Caoile</td>
<td><a href="mailto:Pcaoile@chevron.com">Pcaoile@chevron.com</a></td>
</tr>
<tr>
<td>VP of Programs</td>
<td>Ferdinand Erhard</td>
<td><a href="mailto:erhf@chevron.com">erhf@chevron.com</a></td>
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<tr>
<td>Treasurer</td>
<td>Corey Mantle</td>
<td><a href="mailto:corey_mantle@bio-rad.com">corey_mantle@bio-rad.com</a></td>
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<tr>
<td>Secretary</td>
<td>Anya Givental</td>
<td><a href="mailto:anya.givental@chevron.com">anya.givental@chevron.com</a></td>
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<tr>
<td>Certifications Officer</td>
<td>Kathryn Kark</td>
<td><a href="mailto:kathbk1211@gmail.com">kathbk1211@gmail.com</a></td>
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<tr>
<td>Academic Relations Chair</td>
<td>Amos Njoh</td>
<td><a href="mailto:AWMM@chevron.com">AWMM@chevron.com</a></td>
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<tr>
<td>Hospitality Chair</td>
<td>Jonathan Smith</td>
<td><a href="mailto:jonathan.smith@chevron.com">jonathan.smith@chevron.com</a></td>
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<tr>
<td>Membership Officer</td>
<td>Maya Shrivastava</td>
<td><a href="mailto:Maya.Shrivastava@us.gt.com">Maya.Shrivastava@us.gt.com</a></td>
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<tr>
<td>Website Administrator</td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
</tr>
<tr>
<td>Chapter Editor</td>
<td>Phillip Poma</td>
<td><a href="mailto:Phillip.Poma@csaa.com">Phillip.Poma@csaa.com</a></td>
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<tr>
<td>Academic Relations Committee Members</td>
<td>John Pingatore</td>
<td><a href="mailto:JPingatore@ortc.com">JPingatore@ortc.com</a></td>
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<td></td>
<td>Jason Salgado</td>
<td><a href="mailto:salgado5@llnl.gov">salgado5@llnl.gov</a></td>
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<td>Record Retention Committee Chair</td>
<td>Connie Chin</td>
<td><a href="mailto:chin22@llnl.gov">chin22@llnl.gov</a></td>
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<tr>
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<td>Cori Rother</td>
<td><a href="mailto:rothe2@llnl.gov">rothe2@llnl.gov</a></td>
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<td><a href="mailto:ahmed.tantawy@us.gt.com">ahmed.tantawy@us.gt.com</a></td>
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<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
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<td>Michelle Dizon</td>
<td><a href="mailto:mdizon@coopervision.com">mdizon@coopervision.com</a></td>
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<tr>
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<td>Paul Becker</td>
<td><a href="mailto:pbecker@chevron.com">pbecker@chevron.com</a></td>
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