Greetings Members!

We had our first program for the chapter year using Zoom on Thursday, September 17 and thanks for attending it. Overall, the Program went well using Zoom; however, we did have some technical issues with the polling questions and have contacted the IIA headquarters for assistance and plan to have it resolved for the next chapter event. We are currently working with IIA-San Jose and San Francisco chapters for a partnership opportunity for December seminar and will provide you more details in the November newsletter.

If you are currently a member of the NCEB chapter and experiencing hardship due to the COVID-19 pandemic and would like to attend NCEB’s October fraud seminar on October 21-22 “complimentary”, please send an e-mail to info@iia-ncarb.com.

Please see below for some recent news from the IIA headquarters.

- International Conference - Scheduled for November 2-4, 2020. Sessions will also be translated into 16 languages!
- The IIA’s Internal Audit Competency Framework - Provides a clear and concise professional development plan for internal auditors at every level of their career. It also serves as an effective onboarding tool or a multi-year training plan that helps chief audit executives and leaders continuously identify and fill skill gaps within the audit function.
- The IIA Releases ‘Auditor Independence in Times of Crisis’ - Exploring how CAEs and their teams are being asked to offer more hands-on help to support management.

Ahmad Ghafouri
Chapter President
Northern California East Bay Chapter

Greetings All,

Hoping you are staying safe and healthy during these challenging times. Our first seminar for the 2020-2021 Chapter year is scheduled as 2-days, 4-hours each fraud training sessions. Kindly note the following date and times as you will receive an invitation e-mail in early October with more details.

- October 21: 12:30-4:30 PM (PST); Toby Groves (speaker) on “The New Psychology of Fraud”
- October 22: 8 AM-12 PM (PST); Fraud panel speakers from Chevron. Note the root causes, identifications, and suggested controls would be applicable to many other industries (e.g., corruption and licensing, contracting fraud, document fraud, etc.). The speakers will be Anastasia Nazarova on fraud schemes in oil and gas industry and Robin Pacheco on fraud in credit card services.

Our December seminar will be a collaboration virtual event with the IIA-San Jose and -San Francisco chapters.
Francisco Chapters. We are still working on the dates, times, and speakers. We will have more information in next month’s newsletter and on the IIA-NCEB webpage.

Ferdinand Erhard
VP of Seminars

Programs

The next program event is tentatively scheduled for Thursday, January 14, 2021 from 2-4 PM (PST). The topic is still to be determined and will likely be conducted in a webinar format using the IIA’s Zoom platform. Please stay tuned for additional details.

If you have any questions or concerns, please let reach out to me via email at pcaoile@chevron.com.

Phil Caoile
VP of Programs

Academic Relations

Ahmad Ghafouri, Erica Baines, and I attended the online Meet the Firms event for California State University, East Bay students on September 18th. Erica, Susan Walsh, and I also attended a similar online event for Saint Mary's College on September 30th. Thank you to our volunteers for meeting students online and helping answer their questions on Internal Audit profession, IIA, and IIA-NCEB. We found it a bit challenging to get the usual number of students we interact with but our commitment remains the same as seen by one of the students' and shared in his note below:

"Thank you for the opportunity to speak with you! You have given me more insight into the internal audit career path and I will follow up with through email. Thank you so much for your time and have a wonderful day!" - Justin Huff

Rommel Ventura
Academic Relations Chair

---

Member Spotlight

Welcome to the Member Spotlight! You will get to know one of your fellow NCEB chapter members and learn a little bit about them.

**Name:** Karen Shattuck

**Current Position:** Controller

**Company/Organization:** Simpactful LLC

**Educational Background:** DVC, Purdue University Online

**Year joined IIA:** 2020

**What made you join the IIA?** Reputable organization.

**What member benefits have you found to be most beneficial to you?** I enjoy the monthly newsletter.

**Any personal information that you would like to share about you (fun fact, hobby, family, etc.):** I enjoy gardening, cooking and playing with my grandbabies.
Certifications

Continuing Professional Education (CPE) / Continuing Professional Development (CPD) Reporting Now Open

Don’t forget to report your CPE/CPD! Please note that CPE requirements are NOT being waived during the COVID-19 situation. If you hold an IIA certification and are required to report your annual training hour requirements, the reporting portal is open. The deadline to complete the submission is December 31. Reporting is complementary for IIA North America members. Log in to the Certification Candidate Management System (CCMS) to complete the CPE or CPD Reporting Form.

CIA Exam Review

We are working with IIA-San Jose chapter to set up another CIA exam review course. If you are interested in attending, please respond to our survey and let us know which session(s) you would like to sign up for. Details and pricing will be shared in the next newsletter. If you have questions, please reach out to melissa.rice@csaa.com.

CIA Exam Fees Not Changing in 2021

Good news! Pending the Global Board Approval in November, the IIA is planning to keep CIA exam fees the same through 2021. The next proposed fee increase is anticipated to take place in April 2022.

IIA North America Members Only Webinar Series

Each month, the IIA hosts one to three webinars that are free for IIA members, including those listed in the Specialty Membership section. Please check the IIA Learnings Webinar site often to see what new offerings are available.

- October 8th: Assessing Risk in the Public Sector (Public Sector Audit Center)
- October 19th: Governance Over AI and Robotics (IIA Members Only Webinar Series)

Melissa Rice
Certifications Officer

Please send your announcements, articles, employment advertising and other information to the editor, Phillip Poma at: phillip.poma@csaa.com

Visit the NCEB Chapter Website by scanning the QR code below with your smartphone!
# 2020-2021 NCEB Board & Committees

## Officers

<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chapter President</td>
<td>Ahmad Ghafouri</td>
<td><a href="mailto:AGhafouri@chevron.com">AGhafouri@chevron.com</a></td>
</tr>
<tr>
<td>VP of Programs</td>
<td>Phil Caoile</td>
<td><a href="mailto:Pcaoile@chevron.com">Pcaoile@chevron.com</a></td>
</tr>
<tr>
<td>VP of Seminars</td>
<td>Ferdinand Erhard</td>
<td><a href="mailto:erhf@chevron.com">erhf@chevron.com</a></td>
</tr>
<tr>
<td>Treasurer</td>
<td>Michelle Dizon</td>
<td><a href="mailto:michelle.dizon2@gmail.com">michelle.dizon2@gmail.com</a></td>
</tr>
<tr>
<td>Assistant Treasurer</td>
<td>Courtney Mantle</td>
<td><a href="mailto:corey_mantle@bio-rad.com">corey_mantle@bio-rad.com</a></td>
</tr>
<tr>
<td>Secretary</td>
<td>Anya Givental</td>
<td><a href="mailto:anya.givental@chevron.com">anya.givental@chevron.com</a></td>
</tr>
<tr>
<td>Certifications Officer</td>
<td>Melissa Rice</td>
<td><a href="mailto:Melissa.Rice@csaa.com">Melissa.Rice@csaa.com</a></td>
</tr>
</tbody>
</table>

## Term Governors

### Expiring in 2021
- Ahmed Tantawy
  - Email: Ahmed.Tantawy@us.gt.com
- Brenda Roberts
  - Email: brenda_roberts@att.net
- Nancy Yuen
  - Email: yuennancy@gmail.com

### Expiring in 2022
- William Warner
  - Email: William.warner@chevron.com
- Vin Taneja
  - Email: vkt50@yahoo.com
- Tracy West
  - Email: Tracy.West@DelMonte.com

### Expiring in 2023
- Cori Rothe
  - Email: rothe2@llnl.gov
- Danielle Ritter
  - Email: Danielle.Ritter@Trinet.com
- Ziad Hitti
  - Email: ziad.hitti@gmail.com

## Committee Chairs & Subcommittee Members

<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic Relations Chair</td>
<td>Rommel Ventura</td>
<td><a href="mailto:rommel@chevron.com">rommel@chevron.com</a></td>
</tr>
<tr>
<td>Academic Relations - Committee member</td>
<td>John Pingatore</td>
<td><a href="mailto:JPingatore@ortc.com">JPingatore@ortc.com</a></td>
</tr>
<tr>
<td>Hospitality Chair</td>
<td>Bobbie Aufdermauer</td>
<td><a href="mailto:Bobby.Eddy@chevron.com">Bobby.Eddy@chevron.com</a></td>
</tr>
<tr>
<td>Hospitality - Committee Member</td>
<td>Susan Walsh</td>
<td><a href="mailto:susan.walsh@chevron.com">susan.walsh@chevron.com</a></td>
</tr>
<tr>
<td>Hospitality - Committee Member</td>
<td>Shannon Wilhite</td>
<td><a href="mailto:Shannon.Wilhite@delmonte.com">Shannon.Wilhite@delmonte.com</a></td>
</tr>
<tr>
<td>Programs/Seminars - Committee Member</td>
<td>Miguel Barajas</td>
<td><a href="mailto:mabarajas@chevron.com">mabarajas@chevron.com</a></td>
</tr>
<tr>
<td>Programs/Seminars - Committee Member</td>
<td>Carol Blanco</td>
<td><a href="mailto:cabl@chevron.com">cabl@chevron.com</a></td>
</tr>
<tr>
<td>Website</td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
</tr>
<tr>
<td>Newsletter Editor</td>
<td>Phillip Poma</td>
<td><a href="mailto:Phillip.Poma@csaa.com">Phillip.Poma@csaa.com</a></td>
</tr>
<tr>
<td>Position</td>
<td>Name</td>
<td>Email</td>
</tr>
<tr>
<td>----------------------------------</td>
<td>-----------------------</td>
<td>---------------------------------</td>
</tr>
<tr>
<td>Membership</td>
<td>Jing Li</td>
<td><a href="mailto:jing.li@automationanywhere.com">jing.li@automationanywhere.com</a></td>
</tr>
<tr>
<td>Chapter Achievement Program</td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
</tr>
<tr>
<td>Chapter Specialty Centers</td>
<td>Ahmed Tantawy</td>
<td><a href="mailto:ahmed.tantawy@us.gt.com">ahmed.tantawy@us.gt.com</a></td>
</tr>
<tr>
<td>Nominating Committee</td>
<td>Ahmad Ghafoori</td>
<td><a href="mailto:aghafouri@chevron.com">aghafouri@chevron.com</a></td>
</tr>
<tr>
<td></td>
<td>Paul Becker</td>
<td><a href="mailto:pbecker@chevron.com">pbecker@chevron.com</a></td>
</tr>
<tr>
<td>Record Retention Committee</td>
<td>Connie Chin</td>
<td><a href="mailto:chin22@llnl.gov">chin22@llnl.gov</a></td>
</tr>
<tr>
<td></td>
<td>Cori Rothe</td>
<td><a href="mailto:rothe2@llnl.gov">rothe2@llnl.gov</a></td>
</tr>
<tr>
<td>Academic Board Advisor</td>
<td>Erica Baines</td>
<td><a href="mailto:ekbains@comcast.net">ekbains@comcast.net</a></td>
</tr>
<tr>
<td>Audit Committee Chair</td>
<td>Melissa Rice</td>
<td><a href="mailto:Melissa.Rice@csaa.com">Melissa.Rice@csaa.com</a></td>
</tr>
<tr>
<td>District Representative</td>
<td>Paul Becker</td>
<td><a href="mailto:pbecker@chevron.com">pbecker@chevron.com</a></td>
</tr>
</tbody>
</table>