Greetings Members!

I hope you and your family had a nice Labor Day holiday weekend. Last week I attended a safety training (“Ready or Not? At-Home Emergency Preparedness”) and learned some information that I think it’s valuable to all of us as we are in the fire season in California, please see end of this newsletter for details.

Due to the COVID-19 pandemic we will hold all the NCEB programs and seminars virtually for the rest of 2020. Our first event for 2020-2021 chapter year will be a two hour program on Thursday, September 17, please see below “Programs” section for details including the registration link. This event is being offered complimentary to NCEB members as a “Thank You” for your membership. We are always looking for volunteers to assist with various chapter activities, if you are interested, please let me know (AGhafouri@chevron.com).

Please see below for some recent news from the IIA headquarters.

- **Curse of the Happy Workpapers**: In his blog, IIA President and CEO Richard Chambers, CIA, QIAL, CGAP, CCSA, CRMA, shares his personal reflections and insights on the internal audit profession.
- Both experienced and new internal auditors are encouraged to participate in The IIA’s 2020-2021 **Emerging Leaders Mentoring Program**. Meet your match and further your career goals as a mentor or mentee. Apply by Sept. 25.
- IIA is not waiving CPE requirements for its certifications during the COVID-19 situation.
- **Three Lines Model Webinar**: join us for a detailed overview of the new Three Lines Model. Available September 24th and 30th.

Ahmad Ghafouri
Chapter President
Northern California East Bay Chapter

Greetings All,

Our first seminar for the 2020-2021 Chapter year is scheduled as 2-days, 4-hours fraud training sessions in October. Kindly note the following date and times as you will receive an invitation e-mail in early October with more details.

October 21: 12:30 PM to 4:30 PM, Pacific; Toby Groves (speaker) on “The New Psychology of Fraud”

October 22: 8:00 AM to 12:00 PM, Pacific; Fraud panel speakers from Chevron.

Ferdinand Erhard
VP of Seminars
Programs

Next Program will be on Thursday, September 17, 2020 (2-4pm) on "California Consumer Privacy Act Overview" presented by Rohan Singla, Ryan Steidl, and Jessica Klein from Grant Thornton. This event will conducted in webinar format using the IIA's Zoom platform. If you would like to attend, please be sure to register using this link.

NOTE: The webinar session will be interactive. Poll questions will be presented to the attendees. You must respond to the poll question to receive CPE credits.

If you have any questions or need any assistance with registration, please let me know (pcaoile@chevron.com).

Phil Caoile
VP of Programs

Academic Relations

We are planning for upcoming virtual student events for Bay Area colleges. Students welcome and appreciate the opportunity to engage with professionals. Your participation at these events is a great way to promote the profession of internal audit to the next generation of auditors (and no commuting required this year). You can volunteer part-time (30-60 minute slots) for the Meet the Firm Events. Please contact us if you can participate in any of the online events so I can provide you with an outline of the talking points prior to the events.

Friday, September 18
Meet the Firms
CSU East Bay
Time: 1pm – 4pm
Notes: This is primarily a chat meeting with an option to enable video or audio to interact with the students using Brazen. Please let me know by September 11 if you can help out as I need to add you to the booth and have you check your browser’s compatibility.

Wednesday, September 30
Meet the Firms
Saint Mary’s College

Time: 1pm – 4pm
Notes: Still awaiting response to virtual meeting technology

Thursday, October 22
Internal Auditing - Presentation
Accounting Students Organization
San Francisco State University
Time: 5pm - 7pm
Notes: Please contact me if you are in management, a CAE, or an Accounting major that has/had a career in internal audit. I would love to have you share your experience and career tips in this panel meeting via Zoom.

Thank you.

Rommel Ventura rommel@chevron.com
John Pingatore JPingatore@ortc.com

IIA-NCEB Chapter Certification Spotlight

Welcome to the Certification Spotlight! Periodically you will get to know one of your fellow certified chapter members and learn a little bit about how their certification has impacted them.

Name: Natasha Bansal
Organization: CSAA Insurance Group
Role: Senior Internal Auditor
Certification: Certified Internal Auditor
Year Obtained: 2017

What made you want to get your certification?
I decided to obtain the CIA certification to reinforce my knowledge and understanding of internal audit and effectively carry out the day-to-day responsibilities of an internal auditor.

How has it helped you in your career?
I have been able to apply the knowledge obtained from the CIA materials to my everyday auditing activities, all the way from planning an audit engagement to writing an effective audit report. Being knowledgeable of the standards has also
helped ensure I produce a quality work product. I also believe the certification helps build confidence in your knowledge of internal auditing and enables the auditee to view you as a credible, competent, and trusted auditor.

**What advice would you give prospective certification candidates?**

While studying for the different parts of the exam may feel difficult at times, it is very well worth it as the study materials help you understand the ‘big picture’ of the internal audit profession and why we do what we do. The materials cover a wide variety of information which will help you develop the knowledge and skills necessary to be a proficient internal auditor.

### Certifications

#### IIA Certification Registry is Live

If you hold an IIA certification and voluntarily opted in to be listed, your certification can now be verified via the [IIA Certification Registry](#). Individuals can be located by certificate number or by name. The registry lists the certification holder’s first and last name, certification number, certification status and country of residence. Inclusion in the registry is voluntary and individuals can opt-in at the following points of time:

- Upon completion of the certification program.
- When the certification holder reports required CPE annually.
- Submit a support case from CCMS and request to opt-in.

For more information, visit the [IIA Certification Registry website](#).

#### IIA North America Members Only Webinar Series

Each month, the IIA hosts one to three webinars that are free for IIA members, including those listed in the Specialty Membership section. Please check the [IIA Learnings Webinar](#) site often to see what new offerings are available.

### Membership

#### Welcome New Members!

Since August 2020, we have welcomed two new members to the IIA NCEB Chapter. Thank you for joining us – we are looking forward to knowing you better!

- Kristan Ramos
- John Healy

Jing Li
Membership

### Employment Opportunity

NVIDIA is looking for an IT Audit Manager or Senior Manager – someone with a strong cyber background. Come join a great team. The Job description is below – please reach out to Bruce Carpenter, VP Internal Audit (bcarpenter@nvidia.com) with any questions.

Please send your announcements, articles, employment advertising and other information to the editor, Phillip Poma at: phillip.poma@csaa.com

Visit the NCEB Chapter Website by scanning the QR code below with your smartphone!
2020-2021 NCEB Board & Committees

**Officers**

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<thead>
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**Committee Chairs & Subcommittee Members**

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Get informed
How and where to receive emergency info and alerts

How will you receive emergency alerts?
- Wireless Emergency Alerts
- NOAA Weather Radio
- Local law enforcement
- Radio/Television

Wildfire
- PSPS shutoffs (PG&E: power outage alerts)
- Local air quality (airnow.gov)
- CA wildfire map (fire.ca.gov)

Earthquake
- Earthquake early warning app
- United States Geological Survey (USGS) earthquake warning
Make a plan
Disaster preparedness & emergency communication

Family disaster preparedness plan, including:
• Emergency preparedness kits
• Food and water in the home
• First aid supplies and medications
• Service animals/Pets

Family emergency communications plan
• Establish an evacuation plan and meet-up location for family
• Establish your out-of-area contact who will be called in case of a disaster
Prepare your home
Has your family or household...

- Recently checked your smoke detector?
- Practiced shutting off your gas, water and power?
- Identified emergency exits in your home, other than the front door?
- Copied important documents?
- Practiced ‘Stop, drop and roll’ with your family?
- Practiced ‘Drop and cover’?

*List above are a small set of examples; for more information visit Ready.gov and PG&E sites.
Build a ‘grab-and-go’ kit
Basics & beyond

Basics:
- Water, food, first aid supplies, masks, hand sanitizer, gloves, flashlights, and cash… and personalize from there!

Make sure to think through your family or household’s specific needs, including:

- Family medications, pet needs, children’s entertainment, electronics chargers, etc.
Build a ‘grab-and-go’ kit

- Backpack or bag to put emergency items in
- Tennis Shoes (keep either in bag or under your bed)
- Gloves to use if you have to dig through your stuff to find things (you don’t want to cut yourself)
- Flashlight & batteries
- Blanket (small)
- Cloths (be ready to not be at your place for 2 weeks, don’t back a lot but a couple pairs of everything)
- Water bottle (with water in it)
- Food (granola bars or something that you can have as a snack until you can find shelter)
- Medication (anything you take on a regular basis, allergy medication, Tylenol, Benadryl etc.)
- Folder with copies of Passport, Health Insurance, Degrees/Diploma, Drivers License, Social security Card)
- Flash drive that contains copy of your important files (your backups)
- Extra phone charger (you don’t want to only have your phone and no way to charge it)
- Small bag of toiletries (e.g. tooth brush and toothpaste, brush)
- Paper copy of important phones numbers (e.g. friends family emergency contact) just in case your phone is lost and write down credit /debit card numbers
- 50-100 in cash is smaller bills
- Deck of cards 😊 optional...something to pass the time as there will be a lot of waiting after an earthquake
- Something that will make you smile during a crisis a favorite picture, bag of candy, games
Resources

- PG&E power outage readiness
- PG&E power outage alerts
- Red Cross family emergency planning
- Ready.gov
- NOAA weather radio