President’s Message

Tracy West, Chapter President

Hi All,

For those who may not have heard, I have taken a new role leading the Internal Audit function at Del Monte and my new contact information is as follows:

Tracy.West@Delmonte.com
925-949-2725

As with any career change, there is a steep learning curve and now more than ever, I appreciate our profession's support and sound methodologies, the exceptional trainings provided by our chapter and the power of our network.

Our chapter will be very busy over the next couple of months. We are sending Ruth Powell and Cheryl Louie to the IIA Leadership Conference, and will be evaluating proposals for an internship, supporting an internal audit course at a Bay Area university and developing our succession plan and list of potential officers and committee members for 2015-16. The proposed slate will be put to a vote at our May social, which I hope you all can attend.

Please also note some upcoming dates for major IIA events.

March 9 - General Audit Management (GAM) Conference, Las Vegas, NV
July 5 - The IIA's International Conference, Vancouver, BC, Canada
August 17 - The Governance, Risk and Control (GRC) Conference, Phoenix, AZ

Please don't hesitate to contact me with your feedback or questions. We hope we are meeting your professional needs and would love to hear from you.

Thank you,

Tracy West, Chapter President
Northern California East Bay Chapter

Programs

Ruth Powell, VP of Programs

We had a great presentation at our January program, “Best Practices for Securing Mobile Content”, presented by Ojas Rege from MobileIron on January 15th. We had 71 people attend this joint event with the San Francisco chapter of ISACA.

We are looking forward to our February program, “Use of Social Link Analysis to Identify Conflicts of Interest” on February 19th from 2-4 PM at Chevron’s Concord Auditorium presented by Nadia Brannon and Jason Brenier of Ernst & Young. Attendance at this event will earn two CPE. Registration for all programs is open until 10AM the day of the event.

Our March 19th program will also be held at Chevron’s Concord Auditorium. Make plans to attend “The Auditor’s Guide to Protecting Data in 2015: A Practical Approach to Managing Increasing Risk Levels” presented by Jill Czerwinski, Crowe Horwath LLC.

Be sure to mark your calendars for our final events of the year:

- **April 16th** from 2-4PM, “IT Audit Panel Discussion”, Moderated by Thierry Dessange, Safeway. Panelists include: Scott Lowry, VMWare; Kendall Tieck, Workday; and Steven Berberich, Cisco; and
• May 21st for our grand finale, “NCEB-IIA Amazing Race and Barbecue” at Diablo Vista Park in Danville!

Looking forward to greeting you at our next program!

Thanks, Ruth Powell, VP of Programs

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**Seminars**

*John Driscoll, VP of Seminars*

On January 29, 2015 in the Chevron Concord Auditorium, John Hall delivered a one-day seminar that focused on managing fraud risks in procurement and contracting. John provided excellent guidance for protecting organizations against misconduct and fraud. The seminar received very high marks in our surveys. Thank you John for the excellent training class!!!

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**Academic Relations**

*Brenda Roberts*

**Student Networking Event** – This was a very successful event. Students from several Bay Area colleges and universities were in attendance at our January event to learn about a good start to the first job – interviewing questions, some tips on how to maneuver through office politics and business etiquette, and dressing for success. Many thanks to Jennifer Farinha, Director of Human Resources – Core-Mark, Inc. for her presentation, to Chrystal England and PwC for the use of their “top of the mark” conference room, and to volunteers, Carol Blanco and Chris Flores for their coordination efforts in bringing the evening together – Thank you!

**Upcoming Event:**

**Thursday, March 5, 2015**

**Panel Discussion - Certifications**

**Accounting Students Organization**

San Francisco State University

Library 244

Time: 5:00pm – 7:00pm

This presentation will highlight the credentials that are familiar in the internal audit profession. Many of us are Certified Internal Auditors (CIA), but it is not uncommon for these professionals to attain other certifications as well, including CPA, CFE and CISA. Each of our panelists will represent one of these credentials and highlight the value of this credential to the progress of their career.

We are looking for volunteers to help with set up and registration. This is a great way to give back to the professional community. Please contact us if you would like to participate.

Thank you!

**The Academic Relations Committee co-chairs:**

Thank you!

Brenda Roberts

*Brenda_Roberts@att.net*

510.517.1067

Semira Jahanian

*Semira.Jahanian@bhnetwork.com*

925.226.9343

If you are interested in teaching as an adjunct professor, please contact:

Dr. Carol Graham, Professor of Accounting – School of Management

*Graham@usfca.edu*

(415) 422-5647

Dr. Nancy Mangold, Chair and Professor, Department of Accounting and Finance

Director, MS in Accountancy Program

California State University, East Bay

*Nancy.Mangold@csueastbau.edu*

John O'Shaughnessy

Professor of Accounting

College of Business

San Francisco State University

*Joshaun@sfsu.edu*
Membership

Leona Jay

Please join me in welcoming the following new members to our chapter in January.
Jenny Mostek
Helen Tam
Amin Khorasanee
Yzan Sadeq
Elizabeth Campidonica
Ramzey Abedelal
Rachel Lange
Vinh Huynh
James Hardesty
Catherine Blackmon
Gordon Jang
Katherine Sun
Kalwinder Dhami
Frederick Trevor

If you have changed jobs, your mailing address, or your phone number, please remember to update your profile on the IIA website.

Employment Opportunities

Professionals – Teaching positions are available. If you are a professional in Internal Audit, IT or IT Audit, Fraud Audit, Internal Investigations or have specific skills in Forensic Accounting and Data Analytics, you are needed!: Adjunct Professors are being recruited to teach one or two evenings per week at the Undergraduate and Graduate level at local universities. For some classes, a team teaching arrangement may be possible – guest speakers to present specific topics to support the curriculum are highly desired. Each school has certain requirements for Adjunct Professors, relating to educational degrees and level of teaching experience. If you are interested, please contact the following:

University of San Francisco
Dr. Carol Graham, Professor of Accounting – School of Management
graham@usfca.edu
(415) 422-5647

Employment Opportunities 2

The City of Oakland is seeking an experienced professional to join the City Auditor's Management team as the Assistant City Auditor. This is an exciting career opportunity for an experienced professional seeking new challenges in a dynamic city.

EXAMPLES OF DUTIES:

- Manage multiple performance audits of municipal services.
- Plan, organize, direct, review, and evaluate the work of audit and administrative staff.
- Direct and participate in the preparation, implementation, and monitoring of the department’s budget.
- Represent the City Auditor in a variety of interdepartmental, intergovernmental, and community matters and assume responsibility for the department’s operations in the absence of the City Auditor.
- Select, train, supervise, and evaluate subordinate staff.

Education: Bachelor’s degree from an accredited college or university in public policy, public administration, accounting, economics, or a related field. A Master’s Degree is highly desirable.

Experience: Five (5) years of professional auditing experience with a large municipality or comparable agency, including at least three of management or supervisory experience.

Certification: Possession of a professional designation such as a Certified Public Accountant, Certified Internal Auditor, Certified governmental Auditing Professional, Certified Information Systems Analyst, Certified Government Finance Manager or Certified Fraud Examiner is desirable.

How to Apply
http://agency.governmentjobs.com/oaklandca/default.cfm

The City of Oakland is an EEO/ADA Employer
Employment Opportunities 3

Internal Auditor

Overview
The Internal Auditor will support the Director of Internal Audit and is responsible for the company’s Sarbanes-Oxley 404 process including documentation, testing and coordination with finance and IT. The internal auditor will also be responsible for performing individual internal audit projects, as part of the total internal audit plan. This responsibility includes developing internal audit scope, performing internal audit procedures, and preparing internal audit reports reflecting the results of the work performed. Work performed will include coverage of functional and operating units and focusing on financial, IT, and operational processes. Additionally, the internal auditor performs follow-up on the status of outstanding internal audit issues. The internal auditor will also assist internal audit management with periodic reporting to the audit committee, development of the annual internal audit plan, and championing internal control and corporate governance concepts throughout the business. The Internal Auditor will maintain all organizational and ethical standards and completes all internal audit work in compliance with applicable standards and ensures all internal audit activities carried out or supervised are in compliance with applicable standards.

Essential Duties

- Assist in the Company’s Sarbanes-Oxley 404 process by documenting and testing internal controls over financial reporting.
- Interface with department personnel to ensure Sarbanes-Oxley 404 objectives, deliverables and timelines are met.
- Assist in the coordination and tracking of internal/external testing of controls and track the status of gaps and remediation actions.
- Assist in the coordination process to obtain management assessments of internal controls, including the identification of weaknesses and opportunities for improvement.
- Provide an objective, independent, risk-based evaluation of the adequacy and effectiveness of the company’s technology, operational and financial controls.
- Perform all phases of the audit process including planning, fieldwork, closeout, report writing, wrap-up and follow-up.
- Develop and execute test procedures to ensure that controls are operating as intended.
- Ability to work independently with limited required direction and guidance.
- Participate in special projects such as; risk assessments, providing assistance to external auditors (including performing testing, gathering requested documentation, etc.), system implementations and upgrades.
- Maintain comprehensive working papers to support and substantiate audit observations.
- Strong verbal and written communication skills to effectively present to peers and management.
- Understanding of internal auditing standards, ICFR, COSO and risk assessment practices.
- Experience in performing multiple projects and working with varying team members.
- Assist with investigations as needed.
- Work as liaison with business units to develop or streamline processes.

Other projects and responsibilities may be added at the manager’s discretion.

Job Requirements

Education: BA/BS in accounting, finance, MIS or other relevant degree.

Experience: Minimum 2-4 years of prior relevant audit experience, preferably including SOX and IT audits (ITGC, network security, DB, etc.).

Other Knowledge, Skills and Abilities: SOX, PeopleSoft, Oracle, ACL, SOC 1 & 2 audits, COSO
For More Information:
http://ch.tbe.taleo.net/CH09/ats/careers/requisition.jsp;jsessionid=23520B4724EEAC8A4B9E08C4E2A431BB.NA10_primary_jvm?org=TRINET&cws=1&rid=4174

or Jason.philibert@trinet.com

Visit the NCEB Chapter Website by scanning the QR code below with your smartphone!
# 2014 – 2015 NCEB Board

## Board of Governors

### Officers

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chapter President</td>
<td>Tracy West</td>
<td><a href="mailto:Tracy.West@delmonte.com">Tracy.West@delmonte.com</a></td>
</tr>
<tr>
<td>VP of Programs</td>
<td>Ruth Powell</td>
<td><a href="mailto:RuthPowell@chevron.com">RuthPowell@chevron.com</a></td>
</tr>
<tr>
<td>VP of Seminars</td>
<td>John Driscoll</td>
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</tr>
<tr>
<td>Treasurer</td>
<td>Cheryl Oldham</td>
<td><a href="mailto:cheryl.oldham@safeway.com">cheryl.oldham@safeway.com</a></td>
</tr>
<tr>
<td>Assistant Treasurer</td>
<td>Matt Bush</td>
<td><a href="mailto:Matthew.bush@safeway.com">Matthew.bush@safeway.com</a></td>
</tr>
<tr>
<td>Secretary</td>
<td>Mushtari Nagpurwala</td>
<td><a href="mailto:mushtari.nagpurwala@sri.com">mushtari.nagpurwala@sri.com</a></td>
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### Past Presidents

<table>
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<tr>
<th>Term</th>
<th>Name</th>
<th>Email</th>
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<tbody>
<tr>
<td>2009-2011</td>
<td>Brenda Roberts</td>
<td><a href="mailto:brenda_roberts@att.net">brenda_roberts@att.net</a></td>
</tr>
<tr>
<td>2011-2013</td>
<td>Paul Becker</td>
<td><a href="mailto:pbecker@chevron.com">pbecker@chevron.com</a></td>
</tr>
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### Term Governors

<table>
<thead>
<tr>
<th>Term Expires in 2015</th>
<th>Name</th>
<th>Email</th>
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<tbody>
<tr>
<td>Gabrielle Middleton</td>
<td><a href="mailto:Gabriellefmiddleton@gmail.com">Gabriellefmiddleton@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Jason Philibert</td>
<td><a href="mailto:Jason.philibert@trinet.com">Jason.philibert@trinet.com</a></td>
<td></td>
</tr>
<tr>
<td>Perry Liu</td>
<td><a href="mailto:Perry.Liu@goAAA.com">Perry.Liu@goAAA.com</a></td>
<td></td>
</tr>
<tr>
<td>Vin Taneja</td>
<td><a href="mailto:taneja1@llnl.gov">taneja1@llnl.gov</a></td>
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<tr>
<td>Theresa Nidetz</td>
<td><a href="mailto:tnidetz@comcast.net">tnidetz@comcast.net</a></td>
<td></td>
</tr>
<tr>
<td>Paul Schafer</td>
<td><a href="mailto:schafer2@llnl.gov">schafer2@llnl.gov</a></td>
<td></td>
</tr>
<tr>
<td>Kirk Rehage</td>
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</tr>
<tr>
<td>Tom Crane</td>
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<tr>
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<tbody>
<tr>
<td>Marina Gouchian</td>
<td><a href="mailto:marina.gouchian@safeway.com">marina.gouchian@safeway.com</a></td>
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</tr>
<tr>
<td>Anthony Redondo</td>
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</tr>
<tr>
<td>Jeff Romano</td>
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## OTHER CONTACTS

### CHAPTER REPRESENTATIVES

<table>
<thead>
<tr>
<th>Role</th>
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<th>Email</th>
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</thead>
<tbody>
<tr>
<td>District Representative</td>
<td>Kara Giano</td>
<td><a href="mailto:KGiano@golden1.com">KGiano@golden1.com</a></td>
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### COMMITTEE CHAIRPERSONS

<table>
<thead>
<tr>
<th>Committee</th>
<th>Chairperson</th>
<th>Email</th>
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<tbody>
<tr>
<td>Academic Relations</td>
<td>Brenda Roberts</td>
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<tr>
<td>Audit Committee</td>
<td>John Pingatore</td>
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<td>CIA Certification</td>
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<tr>
<td>Chapter Achievement Program</td>
<td>Bill Warner</td>
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<tr>
<td>Web Site</td>
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<td>Hospitality</td>
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<tr>
<td>Nominating Committee</td>
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</tr>
<tr>
<td></td>
<td>Paul Becker</td>
<td><a href="mailto:pbecker@chevron.com">pbecker@chevron.com</a></td>
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<tr>
<td></td>
<td>Leona Jay</td>
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<tr>
<td>Record Retention Committee</td>
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<td><a href="mailto:tnidetz@comcast.net">tnidetz@comcast.net</a></td>
</tr>
<tr>
<td></td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
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<tr>
<td></td>
<td>Daniel Borba</td>
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<td></td>
<td>Jason Salgado</td>
<td><a href="mailto:Jason.Salgado@safeway.com">Jason.Salgado@safeway.com</a></td>
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