Hello All,

Following are the 2 upcoming members-only webinars from IIA, in addition to our chapter events:

19-February-2019
**Members-only Webinar: Auditing Third Party Risk Management**

19-March-2019
**Members-only Webinar: Audit and Culture**

The CGAP and CFSA will transition from certification programs to assessment – based certificate programs, and the CCSA will be realigned and integrated within the Certification in Risk Management Assurance (CRMA) program. These certificates will remain valid and will continue to be supported and recognized by the IIA. Individuals will these certificates can maintain their certificates and continue to use these designations by earning and reporting the required continuing professional education (CPE) hours. These individuals also have unique opportunity to earn CIA through a CIA challenge exam. Learn more about the transition and CIA Challenge Exam [here](https://chapters.theiia.org/northern-california-east-bay/index2.php).

If you like our events and want to volunteer reach out to me or any of the officers/governors. **We have VP of Seminar role open for chapter year 2019-2020 chapter year. This position helps identify the topics, speakers, and location for next year events.**

Thanks,

Mushtari Nagpurwala
Chapter President
Northern California East Bay Chapter

Greetings,

Our third seminar for 2018-2019 chapter year will be “Forensic Data Mining Technique and Practice” on Thursday, February 21 (12-4:30pm, registration/lunch will start at 11:30am) in Chevron’s Bishop Ranch office in San Ramon. This is a great opportunity to earn 4 CPE credits at a very low cost which will include lunch too! Our speaker was originally scheduled to present an all-day seminar in person in San Ramon, but unfortunately he will not be able to travel to San Ramon and he will present this seminar through webinar (4.5 hours). This webinar will be presented by Lanny Morrow who is a Managing Consultant for BKD CPAs & Advisors based in Kansas City, MO and his specialties are in Forensics & Valuation Services. He frequently speaks and writes on both topics, including contributions to university textbooks and the Association of Certified Fraud Examiners’ Fraud Magazine publication. His forensic investigation experience includes Foreign Corrupt Practice Act (FCPA), public corruption, fraud/white collar crimes, corporate espionage and theft of intellectual property.

The registration invite was sent during week of February 4th. Incase if you didn’t get a chance to register yet, please register for this seminar through the below web link. The registration ends on Tuesday, February 19 at 1pm.


If you have any questions, please let me know (AGhafouri@chevron.com). Look forward to see you at the seminar.
Greetings Everyone,

Our next program event is quickly approaching. The program event will be “Communication Skills for Internal Auditors” on Thursday, March 7th. This is a great opportunity to earn 2 CPE credits! This event will be presented by Marina Gouchian – E-Arc, Director of Internal Audit.

Registration will start soon, so be on the look-out for your invite.

If you have any questions, please let me know (mabarajas@chevron.com).

Miguel Barajas
VP Programs

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**Employment Opportunity**

**Internal Auditor**

While we’ve been named a ‘best place to work,’ our proudest accomplishment comes from serving nearly 17 million AAA members. Through our commitment to service, growth and our people, CSAA Insurance Group will create AAA members for life by fulfilling our promise to be there when they need us.

We are looking for a data savvy, experienced auditor to join our team. In this position you will be responsible for conducting or assisting in the performance and documentation of audit projects. You will provide assistance to senior auditors in the execution of audit tests and programs that evaluate CSAA IG’s system of financial and operational controls, company goals and objectives, accuracy/integrity of data and compliance with regulation (including Model Audit Rule). You will provide input on continuous auditing analytics and review data mining results.

**Job Summary**

- Leads or assists in performing audit engagements.
- Assists in the development of project objectives and programs to test internal controls. Defines project objectives and/or programs on simple audit projects.
- Performs testing to evaluate the design, accuracy and adequacy of internal controls.
- Performs risk assessment to determine audit scope (areas of testing).
- Drafts or assists in the preparation of audit work papers and audit reports for audit projects.
- Assists in the internal control over financial reporting compliance effort, including review of process documentation and testing of controls.
- Works with audit analytics subject matter expert in developing new analytics to uncover control breakdowns, fraud, premium leakage, and other non-compliance behavior.

**Knowledge, Skills and Abilities**

**Required:**

- Effective written and verbal communication skills
- Ability to apply critical thinking and analytic techniques
- Knowledge of Institute of Internal Auditor’s professional standards

**Preferred:**

- Knowledge of ACL and TeamMate software
- Ability to quickly comprehend the critical inputs, outputs and processes within a variety of business units and apply that understanding to planning, assignment, and/or execution of tasks for an audit project required.
- Ability to identify areas for continuous auditing efforts, develop the analytics needed to perform those duties and implement for regular review and follow up

**Education, Work Experience, Licenses & Certifications**

**Required:**
- Bachelors or higher level degree in finance, accounting, business administration or related field of study, or equivalent work experience
- 2-3 years of experience in auditing, accounting and/or financial/data analysis, of which 2 years is internal auditing experience

Preferred:
- Sarbanes-Oxley or Model Audit Rule experience
- Actively pursuing Certified Internal Auditor certification

Job Posting Link:

Senior Auditor

AAA…we're one of the largest Membership organizations in the country, but we’re so much more than our legendary roadside service. We call our club's vision, mission, values, and supporting pillars "Our House" because they are the foundation for all that we do. We're working to transform AAA for the next century with a mission to create Members for life by unleashing the innovative spirit of our Team Members. We’re community minded, and celebrate the growth, development and successes of our diverse Team Members. Whether you’re just starting your journey or looking to grow your career, we’ll support you every step of the way.

Our Senior Auditor leads moderate to complex audits/projects. Provides assistance to Auditor IV or Audit Manager in the evaluation of AAA NCNU’s system of internal controls and execution of audit tests and programs. Periodically directs the work of other auditors. Looks for opportunities to improve the efficiency and effectiveness of policies and procedures.

Essential Functions
- Performs risk assessments to determine audit scope (areas of testing) for moderate to highly complex audits/projects.
- Defines audit objectives and programs to test internal controls for moderate to highly complex audits/projects.
- Conducts entrance, status and exit meetings with business partners for moderate to highly complex audits.
- Develops test methodologies for moderate to highly complex business processes. Performs testing to evaluate the design, accuracy and adequacy of internal controls. Testing includes reviewing transactions for required approvals, adequate documentation and appropriateness. Identifies deficiencies and develops recommendations for risk mitigation and process improvement. Looks for opportunities to improve the efficiency and effectiveness of policies and procedures.
- Prepares draft audit reports for management review. Prepares of audit work papers. Communicates audit results to business unit management. Coordinates business unit responses to recommended actions.
- Depending on assignments, responsibilities include participating in information technology risk assessments, IT audits, compliance assessments, and IT consulting audits/projects.
- Provides consultation to business units and project managers on internal controls and process dependencies between organizational units.
- Assigns, reviews and evaluates the work of other auditors and provides input to management for audits/projects he or she is leading.
- Periodically conducts and/or supports investigations of abuse or irregularities.

Knowledge/Skills/Abilities
- Language skills sufficient to communicate clearly with employees at all levels in the organization in writing, by phone and in person
- Ability to quickly comprehend the critical inputs, outputs and processes within a variety of business units and apply that understanding to planning, assignment, and/or execution of tasks for an audit project
- Ability to apply critical thinking and analytical techniques
- Detail oriented
• Knowledge of IIA professional standards
• Knowledge of ACL software (preferred)
• Understanding of IT risk assessment and audit procedures
• Knowledge of TeamMate software (preferred)
• Knowledge of property and casualty insurance (preferred)

**Education & Experience / Licenses & Certification**

• Bachelors or higher level degree in finance, accounting, or related field of study or equivalent experience
• 3 – 5 years’ experience in auditing, accounting, and/or financial analysis is required, of which 2 years is of internal auditing experience
• CPA (preferred)
• Certified Internal Auditor (preferred)

# 2018 – 2019 NCEB Board & Committees

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### Committee Chairs & Subcommittee Members

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September 13th
Chief Audit Executive Discussion Panel
San Ramon Community Center, San Ramon
Brenda Roberts, City of Oakland; Paul Schafer, LLNL; and Lusine Yeghiazaryan, Go-Pro
The panelists will share how Audit is approached within their organization and how the area of audit is changing every day. What are most common challenges faced within audit in the area of RPA, DevOps, recruiting etc. Best practices, what has worked best for them and where they see audit in the future.

October 25th
Harnessing the Power of Continuous Monitoring and Top 10 Characteristics of a World Class Audit Organization
San Ramon Community Center, San Ramon
Robert Malnardi – Malnardi & Company
Learn and discuss the continuous auditing methodology and detailed steps of each phase as well as practice each step. Understand the key differences between continuous auditing and monitoring. Also, learn how to successfully plan, develop, implement, sell, and maintain a continuous auditing program.
Identify and discuss the critical characteristics which define the most effective and efficient audit departments from around the world. Learn how to evaluate and score your department’s status and achieve maximum production in partnering with clients to meet/exceed their objectives.

December 13th
The Seduction of Fraud: Deception and Manipulation that Lead to Fraud, Waste, and Abuse in the Workplace and Beyond!
San Ramon Community Center, San Ramon
Steve Morang – Frank, Rimmerman + Co. LLP
This fun, fast-paced all-day interactive training will use a combination of presentation, movie clips and role-plays to take the audience into The Seduction of Fraud. Through using case studies of historical and modern-day figures, ranging from Cleopatra and JFK to Bernie Madoff, the participants will become aware of the tools and techniques used by bad actors both in person and via social media to deceive and manipulate others.

January 17th
GDPR/ California Privacy and Cybersecurity
San Ramon Community Center, San Ramon
Orus Dearman – Grant Thornton

February 21st
Forensic Data Mining Tech and Practice
Chevron, San Ramon
Lanny Morrow – BKD, LLP
This course will begin with fraud statistics/motivations and then jump into traditional fraud schemes and analytics designed to catch them with common audit/analysis tools like Excel, ACL, IDEA, Access, SQL. Then, jump into advanced analytics with analysis of textual data, email, social media, internet history, digital forensics, and machine learning/statistical analysis. We then cover the importance of data visualization and reporting techniques and end the day with selected advanced topics such as handling Big Data, open-source intelligence techniques, and considerations for coming disruptive technologies like blockchain and the Internet of Things, and how they will impact fraud investigations.

March 7th
Communication
Chevron, San Ramon
Marina Gaucho, E-Arc CAE and Chapter Board of Governor
This program will cover the following:

April 18th
Committee of Sponsoring Organizations (COSO)/Enterprise Risk Management (ERM), Robotics Process Automation (RPA), Cyber & Vulnerability Management
Chevron, San Ramon
Bob Hirth, Scott Glover – Protiviti
Purnima Renjen – Deloitte & Touche LLP
Orus Dearman & Dhawal Thakker – Grant Thornton
This seminar will be a multi-topic presentation on COSO/ERM, RPA, Cyber and Vulnerability Management.

May 30th
Code of Ethics for Internal Auditors
TBD
Vicki McIntyre - First Plus Resolutions Inc.
This course is designed to give Internal Auditors at all levels, in business and industry and the public sector, familiarity with the Institute of Internal Auditors (IIA) Code of Ethics, its Principles and Rules of Conduct. This course will explore the applicability and enforcement of the Code of Ethics and can include case studies around ethical dilemmas.