President’s Message
Ruth Powell, Chapter President

Happy New Year!

I trust you are back to work and ready to face the challenges of the new year. Your chapter is getting off to a roaring start with two events in January. I hope you will be able to attend one or both of these interesting sessions!

For those of you who were able to join us on December 17, 2015 for our program: “Invest in Yourself and Others”, by Larry Harrington, VP, Raytheon Company, you got a special two-for-the-price-of-one event! Or should I say, three-for-the-price-of-one?? Larry, the Global Chairman of the IIA and the Chief Audit Executive of Raytheon Company, told us that while there has never been a better time to be an internal auditor, we must all take full responsibility for our career and develop a “self-employed” attitude to develop and expand our skill sets. Larry discussed “The 10 Imperatives (that) will help practitioners discover areas where they can grow professionally and increase their value to their organizations.” You can download a copy of a CBOK report, “Driving Success in a Changing World: 10 Imperatives for Internal Audit”, Written by Larry Harrington and Arthur Piper, at the IIA website:
http://theiia.mkt5790.com/CBOK_2015_10_Imperatives/?webSyncID=0a8f6f73-7ab0-4351-90a1-00c9fc505bbe&sessionGUID=771ee4bd-7a07-5dc2-5362-23e99a53ca4a. For more information on the “self-employed” attitude, you can check out, “We Are All Self-Employed”, by Cliff Hakim.

Following Larry’s talk we took a short intermission, enjoying appetizers, sweets and beverages while we mingled with our friends and colleagues. Next up was a brief, but interesting presentation by our Past President, Theresa Nidetz, on “Auditor’s Intuition – Using Your ‘Sixth Sense’”.

Here’s another reminder of The IIA’s free Members-only webinars. These events earn you one CPE – for free! The next webinar is scheduled for January 20th – “2016 Industry Outlook”, presented by Richard Chambers, president and CEO of The Institute of Internal Auditors (IIA). February brings two more free webinars – “Getting to Know the Proposed Changes to the Standards” on the 11th and “Filling the Void: Addressing Today’s Skills Gaps in Internal Audit” on the 16th. If you’d like to find out more about these free webinars, log into www.TheIIA.org and click on Training & Events - eLearning.

We are still in need of a Chairperson for our Certification Committee. If you are a CIA, this is a great opportunity to begin (or continue!!) your volunteer career. The primary objective of this role is to help candidates pursuing their designation with ways to prepare, and study for the examination(s). One example of the service done by this role is the article in this newsletter on CIA Certification opportunities. Please contact me if you are interested in exploring this opportunity.

We are interested in your opinions! After attending our events, please complete the e-mailed surveys. Or, you can e-mail me at RuthPowell@Chevron.com.

Happy New Year!

Ruth Powell, Chapter President
Northern California East Bay Chapter
Programs

Cheryl Louie, VP of Programs

Happy New Year! I hope everyone is well rested after the busy holidays.

About 60 members attended the December program with Larry Harrington, Global Chairman –IIA. Larry is a dynamic speaker who has travelled all over the world and reaching out to over 100,000 internal auditors. Larry kept us engaged with his theme “Invest in Yourself”. His presentation can be found on the NCEB website. Theresa Nidetz was gracious enough to present “Auditor’s Intuition – Using Your 6th Sense” for the second part of the program. It’s always refreshing to gain another perspective in using your professional skepticism and “go with it”.

Special thanks to Denice Haboush, my caterer friend who did a fabulous job with the hors d’oeuvres and various types of cookies.

Next up on January 21, 2016 “Audit Hot Topics and Trends” with Princy Jain and Monica Nayer from PWC. Sign up using Eventbrite. Please remember there are no drop-ins so register early.

Cheers!

Cheryl Louie, VP of Programs

Academic Relations

Ahmad Ghafouri

If you are interested to participate at Academic Relations events (e.g., present to university students on Internal Auditing, IIA, and IIA-NCEB) or to join the Academic Relations Committee, please send an e-mail to Ahmad Ghafouri (AGhafouri@chevron.com). We plan to attend various Bay Area schools in 2016 (e.g., California State University East Bay, UC Berkeley) to talk to students to promote the Internal Auditing profession, IIA, and IIA-NCEB. Presenting to students is also a great opportunity to practice and enhance your presentation skills in an informal setting.

We have a volunteer opportunity for our Chapter members to participate at “Meet the Firms” event at San Francisco State University on Thursday, February 18, 2016 (6-9pm). This is a great opportunity for us to meet many students at the same event. If you’re interested to volunteer at this event, please send an e-mail to Ahmad Ghafouri (AGhafouri@chevron.com).

Ahmad Ghafouri, Chevron
aghafouri@chevron.com

Seminars

Mushtari Nagpurwala

Happy New Year, everyone. I hope you were able to take some time off and enjoy it with family and friends.

The upcoming seminar is on January 13th 2016 at the Chevron San Ramon location on “Privacy Risk
Management” presented by Jay Ranade. Jay is a internationally renowned expert on computer, IT Risk management, disaster recovery, IT security, and IT controls.

He has written and published more than 35 IT-related books on various subjects ranging from networks, security, mainframe/distributed operating systems, and computer programming languages. He also has an imprint with McGraw-Hill with more than 300 books called the “Jay Ranade Series” with more than 7 million copies in print. His books have been translated in German, Portuguese, Spanish, Japanese, Chinese, and Korean. The New York Times critically acclaimed his book called the “Best of Byte”.

He teaches graduate-level classes on Information Security Management and Ethical Risk Management at New York University. Jay is also an adjunct professor at St John’s University and teaches graduate-level classes on Accounting Information Systems, IT Auditing, Internal Auditing, Security/Forensics, and Operational Risk Management.

I hope you are able to attend this event, registration is open till January 12th 2016.

Happy holidays to you,
Mushtari Nagpurwala, VP of Seminars

Certification
Prepare to Pass the CIA Exam – Self Study

If you’ve made the decision to enhance your career in 2016 by earning the Certified Internal Auditor® (CIA®) designation, NCEB can help you achieve your goals with The IIA’s CIA Learning System®.

The IIA’s CIA Learning System is an interactive print and online self-study review program designed by CIA-certified industry experts to help you learn, review, and apply the key CIA content. This approach will help you build on foundational elements of internal auditing to master key concepts you need to know to pass the CIA exam. This three-part program includes:

- Comprehensive reading materials teaching the entire global CIA exam syllabus in both printed and e-book formats.
- Interactive online study tools:
  - Pre- and post-tests to gauge your starting knowledge and advancements.
  - SmartStudy™ tools to help you create a customized study plan based on pre-test results.
  - Chapter quizzes to test your comprehension and retention.
  - Flashcards and glossary.
  - CIA practice exam to build confidence for the computer-based CIA exam.
- Online reports to track your progress and performance.

For more information or to try a demo, visit www.LearnCIA.com/3partcia/overview. Wondering how long it will take you to prepare for the exam? Create your free, personalized study plan at www.LearnCIA.com/3partcia/my-cia-review-plan.

As a member you receive a discounted price on The IIA’s CIA Learning System®.

Prepare to Pass the CIA Exam – Live Course!

The San Jose IIA chapter plans to offer CIA exam review courses during the first half of 2016 to help you prepare for your exam. They will be taught by Vicki McIntyre, CIA, CPE, CFSA, CRMA, and will take place on weekdays from 8:30am – 4:45pm on the following dates:

- Section 1: Thursday March 3-Friday March 4 (16 CPEs)
- Section 2: Monday April 4 - Tuesday April 5 (Sec. 2 Day 2 ends at 3:15pm) (15 CPEs)
- Section 3: Monday May 9 - Tuesday May 10 and Monday May 23 - Tuesday May 24 (32 CPEs)

All classes will take place at the ServiceNow facility at 2221 Lawson Lane, Santa Clara, CA 95054. Classes will meet in Building A. Lunch will not be provided but can be purchased onsite.
Participants are required to use the IIA’s CIA Learning Materials, version 4.0. These are included in the course price. (If you already have the V4 CIA Learning System materials, please contact agilmoreallen@gmail.com)

Register now at:
https://www.eventbrite.com/e/2016-cia-exam-review-classes-registration-19785458840

The Certified Internal Auditor® (CIA®) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field. Candidates leave the program enriched with educational experience, information, and business tools that can be applied immediately in any organization or business environment. The CIA exam is available through computer-based testing, allowing you to test year-round at more than 500 locations worldwide.

About the Instructor:
Vicki McIntyre, CIA, CPA, CFSA, CRMA, is the President, FirstPlus Resolutions, Inc. Vicki has taught the comprehensive course using the IIA’s CIA Learning System numerous times, through Chapman University, UC Davis and Rice University, to federal government agencies and Fortune 500 companies, as well as overview courses at numerous IIA International and Regional Conferences, including the All-Star conference. As a 20+ year IIA volunteer leader, Vicki has been a 2-time chapter President, District Representative and District Advisor, and chaired the IIA’s Chapter Relations Committee for 2.5 years.

If you have questions, please contact Alisanne at agilmoreallen@gmail.com.

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**Employment Opportunity**

**Senior Auditor (Temporary Assignment)**

**Location:** San Francisco

**Job Description**
The Gymboree Corporation is the leading specialty children’s retailer with over 1,200 stores domestically and internationally and generating over 1 billion in sales yearly. The Gymboree Corporation continues to grow and expand at a rapid pace but without sacrificing our commitment to quality. Most of all, we pride ourselves in hiring great people with the talent it takes to keep up with our growth.

An excellent opportunity to join the Internal Audit team of a $1B+ retail Company. A rare opportunity at the senior auditor level to be highly visible to the executive management team and have an immediate impact on the organization. This role will focus initially on Sarbanes-Oxley compliance activities, but will over time expand to include partnering with the broader finance organization to drive process improvement and standardization as well as internal audit projects. Working under the guidance of the Internal Audit Senior Director and Internal Audit Manager your responsibilities will include:

- partner with management to ensure compliance with a robust control environment, and efficient operating practices.
- evaluate the adequacy of the design and operational effectiveness of the Company's internal controls and processes through walkthroughs, testing of controls and continuous monitoring of control compliance, reporting results to all levels of Company personnel
- promote internal controls awareness, and, as needed, perform various types of financial and operational audits.
- act as a liaison and coordinate activities with third party auditors and process owners to enable completion of testing by deadlines.
- establish risk based audit plans
- review test results as well as perform tests of company policies, procedures and internal controls in compliance with Sarbanes-Oxley (404) guidelines.
- insure compliance with accounting policies, procedures, and internal controls
- manage multiple priorities/projects timely including ad hoc projects as needed.

The Senior Auditor will work closely with various
levels of management, auditors and accounting personnel as a member of our Corporate Finance department. This is a hands-on position that requires an individual with a demonstrated ability to work independently and analyze, investigate and resolve accounting and operating related issues with many internal and external contacts. This candidate will be extremely flexible and enjoy a "startup" mentality and environment that changes day to day and will "assume positive intentions" at all times. Candidates who are personable, open to learning, collaborate well with others and have a positive attitude, and are more apt to saying “yes” or “we’ll find a way” (rather than “no” or “that’s impossible”) will be at the top of our list.

**Job Requirements**

- Bachelor’s degree in Business, Finance, and Accounting related field, or equivalent experience.
- Certified Public Auditor (CPA) and/or Certified Internal Auditor (CIA) strongly preferred.
- Minimum of three to five years of internal or external audit experience, including exposure to Sarbanes-Oxley Sections 302 and 404 and COSO.
- Demonstrated audit / SOX controls testing experience with the ability to apply the principles in business.
- Flexible and have the ability to adapt quickly to changing work environments / priorities and tight deadlines.
- Superior verbal and written communication skills.
- Strong interpersonal, presentation, and influencing skills with some experience dealing with people of various levels of seniority.
- Strong team player, analytical, and organizational skills are also a must.
- Ability to balance quality of work with speed of execution, and a good problem solver and “out-of-the box” thinker is required.
- Should have a good knowledge of accounting and have ability to analyze processes and provide recommendations for improvement of basic accounting practices, controls and efficiencies.
- Strong computer skills; Excel, Word, PowerPoint, and Access required. Retail industry experiences a plus. Oracle experience a plus.

[Apply](#)
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